

Agreement Between

SAINT MARY'S UNIVERSITY

www.smu.ca

and

**SAINT MARY'S UNIVERSITY
FACULTY UNION**

www.smufu.org

September 1, 2018

to

August 31, 2021



SAINT MARY'S
UNIVERSITY SINCE 1802

One University. One World. Yours.

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PARTIES TO THE AGREEMENT

This Agreement, hereinafter referred to as the “Agreement,” is entered into this 5th day of March, 2019, by and between Saint Mary's University, a body corporate, incorporated under the laws of the Province of Nova Scotia, hereinafter referred to as the "Employer" and the Saint Mary's University Faculty Union, hereinafter referred to as the "Union".

GENERAL PURPOSE OF THE AGREEMENT

The purpose of the Agreement is to promote and maintain harmonious relations between the University and its faculty and professional librarians, to promote fairness and equity in the relationship between the parties and among all individuals concerned, and to provide an amicable method of settling differences or grievances which may arise from time to time between the parties. The parties mutually recognize that the purpose of the University, as defined in the Saint Mary's University Act, 1970, is to provide a facility for higher education through teaching, research and community service. Both parties acknowledge that the University serves both its own members and society by advancing learning and disseminating knowledge through teaching, research, scholarship, and other creative activities. Both parties agree to work co-operatively towards developing the quality and effectiveness of the education provided by the University, and to encourage a climate of freedom, responsibility and mutual respect in the pursuit of the University's goals, and to jointly pursue the above objectives.

ARTICLE 1.0 DEFINITIONS

1.1

The following definitions refer to terms included in the Agreement:

- (a) "Employer" designates the Board of Governors of Saint Mary's University, as defined in the Saint Mary's University Act, 1970, as amended from time to time.
- (b) "Employee" means a member of the bargaining unit, as defined by LRB No. 2056 (Amended Section 22) dated the 25th day of April, 1974, and amended the 30th day of April, 1974, made by the Labour Relations Board (Nova Scotia), and as clarified by letter from the Labour Relations Board (Nova Scotia) dated the 30th day of April, 1974; and LRB No. 3496 dated the 7th day of February, 1989 save and except for all those employees described in LRB No. 4370 dated June 14, 1996.
- (c) "Faculty Member" designates a member of the faculty of Saint Mary's University employed to do teaching or research or both.
- (d) "Professional Librarian" designates a regular full-time Professional Librarian holding the rank of Librarian I, Librarian II, Librarian III or Librarian IV.
- (e) "Senate" designates the academic Senate of Saint Mary's University as defined in the Saint Mary's University Act, 1970.
- (f) "Faculty", "Division", "Department", "Program" and "Library" designate academic and administrative units into which Faculty Members and Professional Librarians are classified for their co-ordination and performance of their respective duties, and for the execution of the education activities of the University. The units at the present time are:
 - i) "Faculty of Arts" which at present consists of the Departments of Anthropology, Criminology, English, Geography, History, Modern Languages and Classics, Philosophy, Political Science, Religious Studies, Social Justice and Community Studies Sociology; and the interdisciplinary programs of Asian Studies, Atlantic Canada Studies, Environmental Studies, Intercultural Studies, International Development Studies, Irish Studies, Latin American Studies, Linguistics, and Women and Gender Studies.
 - ii) "Sobey School of Business" which at present consists of the Departments of Accounting; Economics; Finance, Information Systems and Management Science; Management; and Marketing.
 - iii) "Faculty of Education".

- iv) “Faculty of Science”, which at present consists of the Division of Engineering; and the Departments of Astronomy and Physics, Biology, Chemistry, Environmental Science, Geology, Mathematics and Computing Science, Psychology and the interdisciplinary program of Forensic Sciences.
 - v) “Library”.
 - vi) “Faculty of Graduate Studies and Research”, which at present consists of the graduate programs of Applied Science, Astronomy, Atlantic Canada Studies, Computing and Data Analytics, Criminology, Geography, History, International Development Studies, International Master of Teaching English, Master of Applied Economics, Master of Applied Health Services Research, Master of Business Administration, Master of Business Administration (CPA Stream), Master of Finance, Master of Management in Cooperatives and Credit Unions, Master of Technology Entrepreneurship and Innovation, PhD in Business Administration (Management), Philosophy, Psychology, Theology and Religious Studies, and Women and Gender Studies.
- (g) "Department Chairperson" or "Chairperson", and "Director of Division", designates the Chairperson of a Department and the Director of the Division of Engineering respectively, appointed in accordance with the provisions of Article 13.0 of the Agreement.
- (h) "University Librarian" designates the Chief Librarian of the University Library.
- (i) "Programme Coordinator" presently designates the coordinator of a program identified in 1.1(f) above.
- (j) "Dean" designates the Dean of a Faculty. "Assistant" and "Associate Dean" designates the Assistant or Associate Dean of a Faculty.
- (k) "Academic Vice-President", “Academic Vice President”, “Academic Vice-president”, “Vice President, Academic & Research”, “Vice-President Academic and Research” and AVP, designates the Vice-President, Academic and Research of Saint Mary's University.
- (l) "President" designates the President of Saint Mary's University.
- (m) "Academic Year" designates that period extending from Wednesday following Labour Day weekend in September to and including Convocation Day in May of the following year.
- (n) Scholarship means the discovery, integration, interpretation and/or application of knowledge related to one’s discipline. Scholarship must normally be public, susceptible to critical review and evaluation by one’s peers, and accessible for

exchange and use by other members of one's scholarly community. Evidence of scholarship, including the scholarship of teaching, includes the following:

- i) Publication by a recognized publisher in print or electronic form including: books, textbooks, case studies, monographs, contributions to edited books, articles in refereed journals, book reviews, and presentation of refereed papers at professional and scholarly meetings;
 - ii) Recognition by one's peers including: participation as an editor or as a member of an editorial board of a journal or scholarly publication, and evaluating or refereeing the work of other scholars;
 - iii) Intellectual and creative contributions to an academic discipline including: designing, developing and conducting major research projects; success in obtaining research and publication funding; conducting contract, community-engaged, and/or applied research from which a report, study or text results; developing computer software; commissions to create work of academic or artistic value; and creative and artistic works, productions, and performances related to an academic discipline.
 - iv) The scholarship of teaching consists of original and innovative thought and analysis related to pedagogy and/or learning that is disseminated publicly for peers to review, critically evaluate, and apply, or recognition by one's peers internally and externally that an individual is a leader, or possesses outstanding stature or expertise, in the scholarship of teaching. Good teaching does not constitute the scholarship of teaching.
- (o) "Academic Administrator" designates the President, Academic Vice-President, Deans and University Librarian.
- (p) "Day" means working day unless otherwise stated.
- (q) "Supernumerary Position" designates a faculty position that is an additional allocation to a Department or program that shall not be considered a position for the purpose of establishing allotments under Article 10.1.20(a) hereof.

1.2

Throughout the Agreement, the masculine includes the feminine and the plural includes the singular, and vice versa, as the context may require.

ARTICLE 2.0 RECOGNITION

The Employer recognizes the Union as the sole collective bargaining agent for the members within the bargaining unit as defined by LRB No. 2056 (Amended Section 22) dated the 25th day of April, 1974 and amended the 30th day of April, 1974, made by the Labour Relations Board (Nova Scotia), and as clarified by letter from the Labour Relations Board (Nova Scotia), dated the 30th day of April, 1974, save and except for all those employees described in LRB No. 4370 dated June 14, 1996. This Agreement shall also apply to all members employed as regular full-time Professional Librarians, as outlined in LRB No.3496 dated the 7th of February, 1989.

ARTICLE 3.0 UNION SECURITY AND CHECK-OFF

3.1 MEMBERSHIP

No Faculty Member or Professional Librarian is required to join the Union as a condition of employment. However, each Employee, whether or not they are a member of the Union, shall pay the equivalent of union dues to the union.

3.2 CHECKOFF PAYMENTS

The University shall deduct any union dues or initiation fees from each Employee in accordance with the Union's Constitution and By-Laws.

3.3 DEDUCTIONS

Deductions shall be made from each payroll and shall be forwarded to the Treasurer of the Union not later than the 15th day of the following month, accompanied by a list of names and ranks of Employees from whose salaries the deductions have been made.

3.4 DUES RECEIPTS

At the same time that Income Tax (T-4) slips are made available, the University shall supply to each Employee, without charge, a receipt in the name of the Union in the amount of Union dues paid by them in the previous calendar year.

3.5

The Union agrees and shall indemnify and save harmless the Employer from any liability or action of any kind whatsoever that may arise out of deductions made from the pay of any Employee pursuant to 3.2 hereof.

ARTICLE 4.0

EMPLOYER-UNION BARGAINING RELATIONS

4.1 REPRESENTATION

- (a) The Employer shall not bargain with or enter into any agreement with a member or group of members of the bargaining unit other than those designated by the Union, provided, however, that so long as the salary floors of the Agreement are adhered to, the Employer may bargain with individual Faculty Members or Professional Librarians with respect to an upward adjustment of salary levels.
- (b) The Employer shall notify the Union and provide a rationale of any upward adjustments in a Faculty Member's or Professional Librarian's individual salary in excess of that provided in Article 16.0.
- (c) The Employer and a Faculty Member or Professional Librarian may negotiate an agreement, in consultation with the Department or the Library, for a voluntary separation or for a voluntary reduced workload on an on-going basis (see Schedule C). In such negotiations the Faculty Member or Professional Librarian shall be entitled to representation by a representative of the Union or an agent of their choice. The agreement between the Employer and the Faculty Member or Professional Librarian shall be set out in writing in advance and a copy shall be sent to the Union at least 10 days before the agreement is signed.

4.2 ACCESS TO CAMPUS

Any duly designated representative or counsel of the Union shall have access to the University's premises to consult with Employees, Union officials or the Employer.

4.3 UNION OFFICE

The Employer will provide the Union with an office for the period of this Agreement, together with the necessary office equipment standard at the University, excluding a telephone.

4.4 UNION ACTIVITIES

The Employer shall allow the Union to hold meetings and to sponsor educational functions such as lectures, seminars, and workshops for members of the Saint Mary's University community on the University premises subject to the administrative regulations in force from time to time with respect to the scheduling of meeting and lecture facilities.

4.5 TECHNICAL INFORMATION

The Employer shall make available to the Union, on request, the following information: salaries and ranks of employees, full budgets approved annually

by the Board of Governors, annual financial statements of the University, and copies and contractual arrangements of pension and other benefit plans. It shall consider other requests for information. It is understood and agreed that information provided will be held in confidence.

4.6

Except to the extent and to the degree agreed upon by the Employer and Union, no work ordinarily performed or which could be performed by an Employee covered by this agreement shall be performed by another employee of the Employer or by a person who is not an employee of the Employer. This provision shall not exclude the appointment of part-time faculty in accordance with Article 10.1.21 nor the awarding of academic credit through articulation agreements or joint degree programs that have been approved by Senate, or transfer credits that are approved through procedures approved by Senate.

4.7

With respect to the percentage of credit courses taught by full-time vs part-time faculty:

- (a) In each academic year, the full teaching capacity of the authorized full-time faculty allotment including overloads as defined in 15.1.12(m) shall be greater than 70% of the total number of credit courses (FCEs) offered by the University.
 - i) Full teaching capacity shall be determined by summing the standard teaching loads assigned to each authorized faculty position, including overloads, as defined in Article 15.1.12, excluding any reduction(s) in a member's standard teaching load that has been granted for research or administrative purposes.
- (b) No later than February 1 the University shall provide the Union with a list of courses and lab sections taught, together with the names of instructors and the provision of Article 10 under which each instructor held an appointment.

4.8

In the event that the full teaching capacity of the authorized full time faculty allotment including both Tenure Stream and Lecturer Stream faculty falls below the level required under 4.7(a), the University shall authorize an appointment or appointments of Tenure Stream faculty under Article 10.1.23 sufficient to restore the percentage to at least the required level, taking into account any additional positions that have been authorized under 10.1.10(a) and (b) and/or 10.1.10g. In the allocation of the additional appointments the needs of those academic units whose full teaching capacity falls most below 70% shall be taken into account. The academic units receiving such appointments may proceed to fill those positions for the following academic year.

ARTICLE 5.0

EMPLOYER-UNION COMMITTEE

5.1

5.1.10

Without prejudice to the implementation or administration of the Agreement, an Employer-Union Committee shall be established to consider matters affecting the welfare of the University which arise from responsibilities of the Employer and Employees. The function of the Committee shall be to review complaints, suggestions or information placed before it by the Employer or Union and to make recommendations to the appropriate bodies or individuals concerning them.

5.1.20

The Employer-Union Committee shall not be a substitute for the process of grievance or arbitration and shall not consider matters concurrently under the grievance or arbitration procedures as defined in this Agreement.

5.1.30

The Committee shall consist of three (3) representatives of the Employer, one of whom shall be a Member of the Board of Governors of Saint Mary's University who is not a member ex-officio or through faculty or student appointment, and three (3) representatives of the Union who shall serve during the academic year. One member from the Union Representatives shall serve as Chair from July 1 to Dec 31 of each year and one member of the Employer Representatives shall serve as Chair from January 1 to June 30.

5.1.40

The Chairperson shall call a meeting when business arises or upon request from the Union or Employer. The Chairperson shall be responsible for the agenda and minutes.

5.1.50

Minutes of each meeting of the Committee shall be prepared and signed by the Chairperson and circulated to the members of the Committee and to the President and the President of the Union as soon as possible after adjournment.

5.2

OCCUPATIONAL HEALTH & SAFETY

The parties agree that the health and safety of Employees is an important mutual concern. The parties agree that they shall cooperate in promoting the health and safety of Employees at the University and in effecting compliance with the Nova Scotia Occupational Health and Safety Act.

5.2.1

The Employer and the Union recognize that acts or threats of physical violence in the workplace are occupational health and safety issues.

5.2.2 Refusal to Work

Refusal to work is covered by the Nova Scotia Occupational Health and Safety Act (sections 43/44). Website: <https://nslegislature.ca/sites/default/files/legc/statutes/occupational%20health%20and%20safety.pdf>

Employees wishing to refuse work must exhaust all avenues under the Occupational Health and Safety Act.

5.2.3 Access to Treatment

Where an injury occurs at the workplace such injury should be reported immediately to University Security who will then contact emergency services. Costs for the provision of such services above those covered by Provincial Health Services, SMUFU Health and Wellness Benefit Trust Plan, or other applicable insurance plans, will be covered by the Employer.

5.2.4 Access to psychological services and counselling

Employees who have been involved, directly or indirectly, or who may have witnessed a traumatic incident in the workplace, have access to psychological services and counselling through SMUFU Health and Wellness Benefit Trust Plan.

ARTICLE 6.0 NO STRIKES OR LOCK-OUTS

6.1

It is agreed that there shall be no strike, work stoppage, or lock-out, as defined by the Nova Scotia Trade Union Act, unless all the requirements, conditions and limitations specified in the said Act are adhered to.

6.2

In the event that any employees of Saint Mary's University, other than those covered by this Agreement, engage in a lawful strike or are locked-out, Employees covered by this Agreement shall not be required to perform work normally done by such striking/locked-out employees.

ARTICLE 7.0 VALIDITY

7.1

All provisions of the Agreement are subject to applicable laws now or hereafter in effect, including Saint Mary's University Act, 1970. If any proclamation, regulation, federal or provincial law now existing or hereafter enacted shall invalidate any portion of the Agreement, the remainder of the Agreement shall not be invalidated.

7.2

The Employer shall exercise its management functions in a manner that is reasonable and which recognizes the importance of maintaining a climate in which Employees can effectively carry out their responsibilities.

7.3 ACADEMIC GOVERNANCE AND COLLEGIALITY

7.3.1

The Employer acknowledges the right of Employees to participate in the formulation and/or recommendation of policies and procedures within the University through duly constituted collegial bodies and committees. The continued involvement and participation of Faculty Members and Professional Librarians in the selection of senior academic administrators is accepted and supported by the Parties to this Collective Agreement.

7.3.2

The Employer recognizes the right, privilege, and responsibility of Faculty Members and Professional Librarians to participate in the collegial processes of the University including, but not limited to, major planning exercises and initiatives.

7.3.3

Employees have a right to vote in Department and Faculty meetings except where there is a personal conflict of interest as specified in Article 15.3.30 or elsewhere restricted in this Collective Agreement.

7.3.4

Any policies affecting Employees introduced by the Employer and the implementation of such policies shall not be inconsistent with the provisions of this Collective Agreement.

7.3.5

No action or policy of Senate shall violate the rights of Employees as negotiated in this Collective Agreement.

ARTICLE 8.0 ACADEMIC FREEDOM, RIGHTS AND RESPONSIBILITIES

8.1 ACADEMIC FREEDOM – FACULTY MEMBERS

The common good of society depends on the search for knowledge and its free exposition. Academic freedom in universities is essential to both these purposes in the teaching function of the university as well as in its scholarship and research. Faculty shall not be hindered or impeded in any way by the University or the Faculty Union from exercising their legal rights as citizens. Academic freedom does not confer legal immunity, nor does it diminish the obligation of faculty to meet their contractual responsibilities to the university. The parties agree that they will not infringe or abridge the academic freedom of any Faculty Member. Faculty Members are entitled, regardless of prescribed doctrine, to freedom in carrying out research and in publishing the results thereof, freedom of teaching and of discussion, freedom to criticise the university and the faculty union, and freedom from institutional censorship. Academic freedom carries with it the duty to use that freedom in a manner consistent with the scholarly obligation to base research and teaching on an honest search for knowledge. In exercising their academic freedom, Faculty have a responsibility to respect the academic freedom and rights of other members of the University Community.

8.2 ACADEMIC FREEDOM – PROFESSIONAL LIBRARIANS

The University is committed to ensuring that its library provide to the University Community the opportunity to access all expressions of knowledge, intellectual activity, information and ideas, subject to the University's policies on confidentiality and its resources. It is the responsibility of Librarians to promote such access, and to responsibly resist any efforts to limit such access. Such responsibility implies the right to investigate, speculate and disseminate knowledge. This responsibility does not confer legal immunity, nor does it diminish the responsibility of Librarians to meet their contractual obligations to the University. Professional Librarians shall not be hindered or impeded in any way by the University or the Union from exercising their legal rights as citizens. Professional Librarians are entitled, regardless of prescribed doctrine, to freedom in carrying out their professional responsibilities, freedom of discussion, and freedom from institutional censorship. In exercising their academic freedom, Librarians have a responsibility to respect the academic freedom and rights of other members of the University Community.

8.3 ACCESS TO INFORMATION

The University is committed to ensuring that its Library and ITSS provide to the members of the university community covered by this Agreement the opportunity to access all expressions of knowledge, intellectual activity, information and ideas, subject to the University's policies on confidentiality and its available resources. It is understood that this access does not include access to the University's own administrative and financial databases. This right to access to information carries with it the duty to use such information in a responsible way, consistent with fair and ethical dealings with students and colleagues and consistent with the employee's performance of their employment responsibilities.

8.4 RESPONSIBILITIES OF FACULTY

A Faculty Member's responsibilities encompass teaching; scholarship; and service to the University, the profession and the community. There shall be a balance of these responsibilities as appropriate to the member's discipline. In carrying out these responsibilities, Faculty Members shall demonstrate performance appropriate to their rank, and evidence of continuing scholarly growth and professional development in their areas of responsibility.

8.4.10 Teaching

Faculty have an obligation to make all reasonable efforts to develop and maintain their scholarly competence and effectiveness as teachers, and to perform teaching duties as specified under procedures outlined in this Collective Agreement. The Employer shall provide Faculty with the resources necessary to assist them in maintaining their competence and effectiveness as teachers.

8.4.11 Scholarship

Faculty Members are entitled and expected to engage in scholarship (as defined in Article 1.1(n)), to show scholarly integrity therein, and to disseminate the results of their scholarship or exhibit the results of their creative work. It is the responsibility of the Employer to provide reasonable resources for the conduct of scholarly activity and its dissemination.

8.4.12 Service to the University, the Profession and the Community

- (a) Faculty Members have the right and the responsibility to participate in the functioning of their Departments, Faculties, and the University. Faculty Members also have the right to participate in the Faculty Union. Service to the University shall include, but not be limited to, administrative duties and committee service, where relevant, that is related to each of these units. Where participation in such bodies is by election or appointment, a Faculty Member shall be elected or appointed only with their consent. Those who have the responsibility to make such

appointments shall make every effort to ensure that academic, professional and University service commitments are equitably shared.

- (b) Faculty Members have the right and responsibility to participate in their profession, and academic community through active membership on appropriate bodies, such as councils, committees, Senate, editorial boards, and as referees for the evaluation of scholarly work.
- (c) Community Service includes participation in activities and organizations outside the University where the Faculty Member's academic, professional, teaching and/or research interests and competencies form the basis for such participation.

8.4.13 Annual Report of Activities - Faculty Members

- (a) Each Faculty Member shall submit an electronic copy of an annual report of Activities to their Chairperson, by October 15th of each year with the exception of probationary appointees who shall report in compliance with 10.1.12 and Faculty Members on approved leave who shall submit their annual report no later than thirty (30) days upon return from approved leave. The Chairperson shall make a copy immediately available electronically to all Department members and invite them to review and comment on the reports by November 15. The Chairperson shall summarize any comments that may be received and submit them to the Dean together with their own comments along with a copy of the reports by November 30. The Dean shall forward one copy of all annual reports for their faculty together with the Dean's comments to the Vice President, Academic and Research. A copy of the Dean's comments shall be sent to each Faculty Member and to their Chairperson. The Annual Report shall include a statement of activities for the previous September 1 to August 31 period excepting for periods of approved leave. The dates of the leave period shall be stated in the Annual Report. The Annual Report and the written feedback shall be kept in the Faculty member's official file.
- (b) The Annual Report for Faculty Members shall include the following information, as applicable:

Teaching

- Teaching responsibilities including courses taught, the number of students in each course, and supervision of graduate and undergraduate theses;
- Evidence of teaching effectiveness, as indicated in Article 15.6.

Scholarship

- Scholarly papers published in peer-reviewed journals
- Peer-reviewed Book Chapters or Books
 - a. Book Chapters

- b. Books
 - c. Edited Collections and Critical Editions
- Conference papers
 - a. Refereed
 - b. Invited
 - c. Non-refereed
- Other publications
 - a. Book reviews
 - b. Unrefereed books or book chapters
 - c. Unrefereed research papers
 - d. Contract reports
 - e. Popular articles or other items
 - f. Filed and approved patents
- Research and other scholarly work in progress;
- Research grants and contracts awarded, name of granting body, research title, amount awarded and the date awarded, and indication of peer review;
- Graduate degrees awarded or graduate studies in progress, and the expected date of completion, university, and title of thesis;
- Courses, workshops, or seminars completed;
- Awards and other honours received.

In describing their scholarship in their annual reports, Faculty Members shall use the citation or referencing system that is appropriate to their discipline. That information must include, however, the list of authors in the order that they appear in the publication, the date of publication, the title of the publication, the journal title in full (not abbreviated), the volume number and both the start and finish page numbers. In the case of books, the Faculty Member should indicate the name and location of the publisher; for book chapters the Faculty Member should also include the title of the chapter and the page number and the names of the editors and the title of the book in which the chapter is contained. If any of the above are listed as being submitted or in press, give name of journal or book, the date of submission (month and year), and if accepted give date of acceptance (month and year). If any of the above are electronic publications, give the URL for the publication.

Service

- departmental, Faculty, Senate, Board, Faculty Union and other University activities;
 - contributions to the Faculty Member's profession;
 - contributions to the Faculty Member's community;
 - in the case of Department Chairs, Program Coordinators, and Associate Deans, a summary of principal accomplishments in these roles;
 - any other information that the Faculty Member deems relevant.
- (c) The material listed under Scholarship, above, may be abstracted and compiled by the Vice-President, Academic and Research, or the Vice-President, Academic and Research's confidential delegate, to prepare statistical and aggregate data for reporting purposes to internal and external bodies and agencies.

8.4.14 Annual Report of Activities - Librarians

- (a) Each Librarian Member shall submit to the University Librarian an electronic copy of an Annual Report of Activities by October 31 of each year. The Annual Report shall include a statement of activities for the period from the previous September 1 to August 31, excepting for periods of approved leave. The dates of the leave period shall be stated in the Annual Report. The Annual Report will be kept in the Librarian's official file.
- (b) The Annual Report for Librarians shall include the following information:
- Publications;
 - Presentations at professional conferences;
 - Research and other scholarly work in progress;
 - Contribution to the library profession;
 - Service on committees within the university;
 - Courses, workshops or seminars completed;
 - Degrees, diplomas and/or certificates awarded or in progress and the expected date of completion;
 - Any other information that the Librarian deems relevant.

8.5 PRIVACY

- (a) Employees have a right to privacy in their personal and professional communications and files, including but not limited to any communication on

paper or in an electronic form. The level of privacy does not exceed, however, that of reasonable expectations.

- (b) In the spirit of Academic Freedom as defined in this Collective Agreement an Employee's office, classroom, work space, laboratory, computer accounts, or any material or intellectual property produced by the Employee shall not be subject to surveillance without just cause and prior notification.
- (c) Mail, telephone, E-mail, Internet and other services provided to Employees by the Employer are provided for the purpose of assisting Employees in the performance of their scholarship, research, teaching and service responsibilities, and may be used in the conduct of outside employment and professional activities, as specified in this Collective Agreement. Employees will use these services only for the performance of these duties and responsibilities.
- (d) Notwithstanding 8.5 (c), the occasional mailing of personal letters at the Employee's expense, use of local telephone services at no expense to the Employer, the receiving and sending personal e-mail messages and use of the Internet for personal reasons will not be a violation of 8.5 (c) absent advance notification from the Employer that either the quantity or nature of the usage constitutes a violation. In no case, will these services be used by Employees for commercial reasons except with permission of the Employer.
- (e) As per 8.5(a) Employee files and personal communications, including those that are stored or transferred electronically on University computer systems, are private. The Employer reserves the right to monitor and access user accounts in order to maintain the integrity of the computer system in a secure and reasonable manner. Only authorized personnel in the performance of their employment duties may access and monitor the use of information technology and computing facilities. The Union shall be provided with a list of these personnel.

The University will treat Employee communications and files as confidential and will not examine or disclose information without just cause nor disclose information to a third party unless it is for use in a disciplinary or criminal investigation or has been the subject of a subpoena served on a representative of the University. Authorization to search, access or disclose personal data will require the approval of the Vice President, Administration. The Union shall be notified of any exercise of this clause and the circumstances leading to that exercise.

- (f) If discipline is to be imposed for any misuse of the e-mail, Intranet/Internet, Voice Mail, or Mail systems it must be imposed in accordance with the provisions of Article 20.0 of this Collective Agreement.

ARTICLE 9.0 NO DISCRIMINATION

9.1

The Employer and the Union agree that there will be no discrimination in accordance with the *Nova Scotia Human Rights Act* against any Employee because of race, religion, colour, sex, national origin, creed, marital status, sexual orientation, gender identity, gender expression, physical or mental disability (except in those cases where the disability precludes the performance of employment duties), family relationship, place of residence, political affiliation, age, or membership, non-membership or activity in the Union or in any other organization not proscribed by the Criminal Code.

9.2

Employees with a physical or mental disability have the right to reasonable accommodation, up to the point of undue hardship to the Employer to meet the occupational requirements of the position. Once reasonable accommodation of such disability has been provided, the Employer shall expect the Employee to meet the bona fide occupational requirements of the position.

ARTICLE 10.0 APPOINTMENT

10.1 APPOINTMENT – FACULTY MEMBERS

10.1.10 Classes of Appointment

Appointments shall be of seven classes:

- (a) Those that confer tenure;
- (b) Those that confer probationary status on the appointee, it being understood that during the course of their appointment the Faculty Member will become entitled to consideration for promotion, renewal and tenure according to the procedures stipulated in Article 11.1;
- (c) Those made for a contractually limited term, i.e.,
 - i)
 - (a) Faculty Members who would otherwise be qualified for appointment under (a) or (b) of this Article may be appointed to one or more limited-term appointments of up to a total of 36 months in order to staff vacancies due to leave, or temporary disability, or to replace Faculty Members who have been appointed to Academic Administrative positions.

- (b) Vacancies arising out of Faculty Members being appointed to Academic Administrative positions shall be staffed by appointments made under (a) or (b) of this Article if such Academic Administrative appointments last for more than six (6) years. No individual shall be appointed for more than two consecutive three (3) year limited-term appointments.
 - (c) Any Faculty Member who, following a limited-term appointment under the provisions of this clause accepts an immediately subsequent probationary appointment in the same Department shall have the option to be credited with the time spent in the limited-term appointment when being considered for renewal of the probationary appointment, for tenure, for promotion and for sabbatical leave. The Faculty member's decision to receive, or not to receive, credit for up to three years spent in a limited term position shall be communicated in writing to the Academic Vice President. This decision shall be stated in the Faculty Member's letter of appointment (10.1.42).
- ii) Faculty Members who would otherwise be qualified for appointment under (a) or (b) of this Article may be appointed to a three (3) year limited-term appointment in order to staff allotments for new programmes, as provided for in Article 10.1.22 below, or to accommodate anticipated increases in enrolments or actual increases which appear to be of a purely temporary nature, as provided for in Article 10.1.23 below. If after two years, the new programmes prove viable, or the increase in enrolment appears likely to be of a continuing nature, appointments made under the provisions of this clause shall be deemed to have been initial probationary appointments and the provisions of Article 10.1.12 and 11.1.20 below shall apply. However, if the President concludes that two years was insufficient to determine the viability of new programmes or the persistence or likelihood of enrolment increases, appointments under the provisions of this clause may be renewed for a maximum period of two years by following the procedures outlined in Article 11.1 for renewal of appointments. At the end of four (4) years a decision shall be reached either to terminate such appointments at the end of their fifth year or to transform them into tenured positions in which case the incumbents shall be considered for tenure as if their appointments had been made under the provisions of clause (b) of this Article.
- iii) Distinguished visiting professors. No one shall receive two successive appointments for a contractually limited term under

the provisions of this clause without consultation with the Union and approval of the Department and the Employer, providing that in no case shall the total limited-term service exceed three (3) years.

- iv) Where the qualifications of applicants do not meet the requirements of the position, a terminal appointment may be made with the approval of the Department and in consultation with the Union. No one shall receive appointments for contractually limited term appointments under this article for more than a total of thirty-six (36) months. In no case shall anyone appointed to a contractually limited term under this clause receive a limited term position under any other provisions of Article 10.0. The Union shall be notified of all such appointments.

(d) Part-time appointments. (See Article 10.1.21)

- (e) Faculty members from other institutions and other professionally qualified persons who are expected to make a significant contribution to the teaching and/or research activity of the University may be given, upon the recommendation of the appropriate Department or Program and the Dean, the title of Adjunct Professor. Where the Department and the Dean disagree, the appointment shall be referred to the University Appointments Committee for resolution. Faculty who hold probationary or tenured appointments at Canadian Universities that are members of the Universities Canada shall be deemed to be qualified to hold an adjunct appointment at Saint Mary's upon presentation of evidence of their probationary or tenured status at their home university and upon approval of the relevant Department/Program. The university reserves the right to verify the candidate's credentials. The holding of such a title shall be reviewed every three (3) years. The Academic Vice-President, through the Dean of the relevant Faculty, shall advise Departments or Programs of the names of adjunct faculty members who are due for review and the Department or Program shall recommend either continuance or discontinuance of adjunct status. If the Department or Program, Dean and the Academic Vice-President agree on continuance or discontinuance their decision is final. If the Department or Program, Dean and the Academic Vice-President disagree on continuance or discontinuance the matter shall be referred to the University Appointments Committee.
- (f) Persons who hold research fellowships at Saint Mary's through external funding, or other qualified individuals supported by grants may be appointed as Research Fellows. Such an appointment must be approved by the appropriate department(s), centre(s), institute(s), or program(s), and by the Dean of Graduate Studies & Research. A Research Fellow shall not be a member of the Bargaining Unit, nor be covered by the Collective Agreement with the exception of this clause. A Research Fellow shall be entitled to use of University and departmental, program, centre, or institute support services on the same basis as a regular Faculty Member and shall be given by appropriate Department(s), Program(s), Centre(s),

or Institute(s) the opportunity to supervise graduate or honours students and, with the approval of the Appointments Committee, to teach up to the equivalent of two (2) full courses per year. A Research Fellow shall not participate in departmental, program, centre, or institute voting. Other entitlements must be agreed to by the appropriate Department(s), Program(s) Centre(s), or Institute(s) and the Dean of the Faculty or, in the case of Centre(s) and Institute(s), the Dean of Graduate Studies and Research. Once made, the appointment shall remain in effect for the duration of the aforementioned fellowship or for a period specified in the letter of appointment.

(g) Lecturer Stream Appointments (See Article 10.1.26)

10.1.11 Tenure

Tenure means permanency of appointment up to termination of employment and is subject to the right of the Employer to dismiss for just and proper cause in accordance with the provisions and procedures stipulated in Article 20.0. Permanency of appointment includes entitlement, during the appointment, to all rights, benefits and privileges specified in the Agreement.

10.1.12 Probationary Appointments

- (a) Faculty Members taking up a full-time University appointment under the terms set by 10.1.10(b) or 10.1.10(g) above shall be given an initial probationary appointment of three (3) years to be followed, if the appointment is renewed, by a second probationary appointment of two (2) years.
- (b) All Faculty Members on a probationary appointment (set by 10.1.10(b) or 10.1.10(g)) will be required to submit by July 31, a detailed annual report, as specified in Article 8.4.13, to the Chairperson. The Chairperson, after consultation with the Department, will provide written feedback to the Faculty member on the annual report submitted, by September 30. This written feedback to the probationary appointee shall be copied to the Dean. The Dean shall provide a separate written assessment to the Faculty Member by November 30. Both the annual report and the written feedback will be kept in the Faculty Member's official file.

10.1.13

Appointments to the rank of Lecturer or Assistant Professor shall ordinarily be probationary appointments. Appointments to the rank of Associate Professor or Professor may be tenured appointments.

10.1.20 Procedures for Appointment

It is understood and agreed that all appointments are first subject to all laws of the land including Canadian immigration regulations and subject also to any consensus arrived at among Canadian universities pertaining to priority consideration for Canadian applicants. Subject to the foregoing, the following procedure shall be used in making full-time academic appointments:

- (a) Departmental allotments for the following academic year shall be established by the University, after consultation with Departments and Deans. Departmental allotments of full-time faculty in the Tenure Stream, in the Lecturer Stream and for sabbatical leave replacements shall be made known to Departments as soon as circumstances reasonably permit, but in any event no later than October 31st, except that increases in allotments may be authorized after that date. This notification shall also include the number of supernumerary positions, if any, in the Department.
- i) Where increases in faculty allotments are contemplated, the Vice-President, Academic and Research shall notify all Departments of the number of new positions that are anticipated and invite formal submissions from Departments and recommendations from the appropriate Dean(s). Where downward adjustments are contemplated, the Vice-President, Academic and Research shall notify the Department(s) concerned and the Union and invite formal submissions from the Department(s) and recommendations from appropriate Dean(s). The Vice-President, Academic and Research shall consider Departmental submissions and the recommendations of Deans before arriving at a decision with respect to allotments and shall communicate their decision(s), with reasons, in writing to appropriate Chairs and Deans. The Vice-President, Academic and Research's decision shall be in accordance with the University's Academic Plan. The Vice-President, Academic and Research's final decision shall also be communicated to the President of the Union.
- (b) The Deans shall inform the Vice-President, Academic and Research and the Department Chairs of the existence of any vacancies or expected vacancies as soon as they become known. Vacancies shall be advertised in relevant Canadian academic and professional journals, including the CAUT Bulletin and University Affairs when published as well as in relevant non-Canadian academic and professional journals, where appropriate. Such advertisements shall be prepared by the Chairperson, approved by the Department, and forwarded to the Dean, together with a recommended list of publications in which the advertisement is to be placed. Should the Dean wish to change the advertisement, they will consult with the Department Chairperson regarding the change. In the event that the Chairperson disagrees with the Dean's changes, the Dean will forward the Chairperson's written comments on the changes along with the advertisement and the proposed list of publications to the Vice-President, Academic and Research for approval. The Vice-President, Academic and Research shall place the advertisements to appear as soon as possible, and shall, in addition, supply a copy of each advertisement to the University Appointments Committee and the President of the Union.

- i) The responsibilities of the University Appointments Committee shall be to review the dossiers, which shall include the candidate's letter of application and *curriculum vitae*, at least three letters of reference, and recommendations from the Department and the Dean. These recommendations should include an explanation of why the recommended candidate is best suited for the position, and a clear rationale for the proposed rank and step. In cases where an individual who is not a Canadian citizen or landed immigrant is being recommended, the recommendation shall also include a list of all applicants who were Canadian citizens or landed immigrants and a statement why each did not meet the minimum qualifications as laid out in the advertisement for the position. These recommendations shall be made in accordance with Article 10.4.
- (c) Applications for appointment shall be directed to the appropriate Department Chairperson. The Chairperson shall make all the applications and dossiers available to the Department and, on request, to the Dean.
- (d) Each Department shall establish a Selection Committee of three (3) members who hold appointments under 10.1.10(a), (b) or (g) subject to the requirements of 10.4.4 and who are elected by the Department. At the discretion of the Department, all Department members holding appointments under 10.1.10(a), (b) or (g), subject to the requirements of 10.4.4, may comprise the Selection Committee. The Selection Committee shall examine all dossiers, including proof of qualifications, treating them as confidential documents.
- (e) The Selection Committee shall establish a list of all applicants, submit their dossiers to the Department, and, after discussion with department members, present a short list of no more than three (3) recommended applicants for each position and their dossiers, in order of priority, to the Dean. Establishment of the short list and the ranking of the applicants on it shall be based solely on academic merit and teaching capability. The short list will be accompanied by a written statement outlining the reasons for the choice of candidates on the short list.
- (f) The Chairperson, with approval of the Dean and Selection Committee, shall arrange for interviews including, where possible, meetings between the candidates and the Dean, the Academic Vice-President, the President and the Department. Notice of such meetings shall be circulated to all Department Members through internal mail at least one week prior to the meeting.
- (g) Interviews shall be conducted by the Selection Committee and the Department shall schedule a meeting with the Candidate involving the Department and the Candidate.
- (h) Following majority approval of those Departmental Members holding appointments under 10.1.10(a), 10.1.10(b), 10.1.10(c)ii) and 10.1.10(g), the Selection Committee, through its Chairperson, shall recommend a specific

Candidate at a specific rank (including the year in rank in accordance with 10.1.30(b)v)), consistent with the criteria in Article 12.1 to the Dean with a rationale for the candidate's suitability for the appointment at that rank. The Committee in its recommendation may suggest a salary it deems appropriate and any special conditions for the appointment. The Chairperson of the Selection Committee shall also send the recommended Candidate's file to the Dean. Should the Dean question the Selection Committee's recommendations they shall meet with the Selection Committee to discuss their reservations. Following this meeting the Dean may make a separate assessment of the Candidate and forward it along with the Selection Committee's recommendation and the recommended candidate's file to the Academic Vice-President. The Dean may also forward to the Academic Vice-President their comments on the suitability of other Candidates who have applied for the position and in particular must comment on the Selection Committee's recommendation in the context of Articles 10.4.1 to 10.4.5. The Dean shall submit their recommendations and comments to the Academic Vice-President within five (5) working days of receipt of the Selection Committee report, except in cases where the Dean has questioned the Selection Committee's recommendation. In any case, the Dean shall submit their recommendation within ten (10) days of the receipt of the Selection Committee's report. The Dean shall forward copies of all their recommendations and comments regarding the appointment to the Department.

- (i) The Academic Vice-President shall convene a meeting of the Appointments Committee at least every ten (10) working days, unless no files are waiting for consideration. The Appointments Committee shall render its recommendation within seven (7) working days of the most recent meeting. Where circumstances warrant, the Academic Vice-President shall schedule a meeting of the Appointments Committee at the earliest opportunity.
- (j) The Academic Vice-President shall submit the Selection Committee's recommendation to the President accompanied by the written recommendations in the form of the minutes of the Appointments Committee meeting and the outcome of the vote, whether positive or negative but not the vote count itself. Should the Academic Vice-President not be in receipt of written recommendations from the Appointments Committee within five (5) working days from the Appointments Committee meeting specified in 10.1.20(i), the Academic Vice-President shall submit their own written recommendation to the President and the reasons why the Appointments Committee failed to provide a recommendation.
- (k) The final decision on the appointment shall be made by the President, if practicable, within five (5) working days of receiving a recommendation from the Academic Vice-President.

The final decision on the appointment shall rest with the President. In the event the President initially rejects the recommendation of the University Appointments Committee, the President shall communicate their written reasons to the Department and the Dean and shall meet jointly with the Department and the Dean

to discuss these reasons within ten (10) working days of receiving a recommendation from the Chair of the University Appointments Committee. Following this meeting, the Department and Dean may, within five (5) days of this meeting, submit to the President separate written responses and the reasons for it. Within five (5) working days of receiving such responses, the President shall communicate their final decision, and the reasons on which that decision is based, to the Committee, the Department and the Dean.

- (l) Members of the Department holding appointments other than under 10.1.10(a), 10.1.10(b), 10.1.10(c)ii) and 10.1.10(g) shall not participate in any procedures for appointment outlined in Article 10.1.20 except for 10.1.20(c) and 10.1.20(f).
- (m) Preliminary negotiations of the terms of the appointment shall be the responsibility of the Dean and may be delegated to the Department Chair. Such negotiations are done in consultation with the Selection Committee.
- (n) No candidate shall be appointed without adherence to the above procedures.
- (o) The University Appointments Committee and the Department shall be informed of all acceptances and rejections of candidates.

10.1.21 Part-Time Appointments

- (a) Part-time appointments shall be made with the approval of the relevant Department/Program. In recommending a candidate for a part-time faculty position the Department/Program shall specify the area(s) of specialization and the course level the individual is qualified to teach. A copy of this recommendation will be sent to the candidate.
- (b) The qualifications of part-time faculty being recommended by a Department/Program for employment in any of the degree programs of the university shall be reviewed by the Dean of the appropriate Faculty. The Dean shall advise the Department/Program within ten (10) days of receipt of the Department/Program's recommendation of their decision on the appointment. If the Department/Program and Dean agree on the appointment of an individual, their decision is final. If the Dean does not agree with the Department/Program's recommendation on the appointment then the matter shall be referred to the Appointments Committee.
- (c) Qualifications, including area(s) of specialization and the course level a part-time faculty member is qualified to teach, shall be reviewed, after initial appointment, in accordance with the relevant collective agreement (CUPE 3912).
- (d) Notwithstanding 10.1.21(b) and 10.1.21(c), faculty who hold probationary or tenured appointments at Canadian Universities that are members of Universities Canada shall be deemed to be qualified to hold a part-time appointment at Saint Mary's upon presentation of evidence of their probationary or tenured status at their home university and upon approval of the relevant Department/Program as

specified in 10.1.21(a). The University reserves the right to verify the candidate's credentials.

10.1.22 New Programmes

For the purpose of establishing new programmes on an experimental basis, the President may authorize allotments lasting for a period of three (3) years. Should the new programmes prove viable by the 31st of October of the third year of their existence, such allotments shall be made permanent. Should the programme not be viable, such allotments shall be abolished as of the 31st of October of the third year of their existence. Such new programmes shall be deemed to be established at the beginning of the academic year in which they are staffed. However, if the President concludes that two (2) years was insufficient to determine the viability of a new programme, such allotments may be continued to a maximum period of five (5) years from their initial authorization. At the end of four (4) years such allotments shall either be made permanent or shall be abolished. If these allotments are abolished no such new programmes may be initiated for a period of five (5) years. The Union shall be informed of all decisions relating to the duration of such allotments.

10.1.23 Enrolment Increases

The President may authorize allotments lasting for a period of up to three (3) years to accommodate anticipated increases in enrolment or to respond to what are perceived to be purely temporary increases. Should such increases in enrolment be judged to be continuing by the 31st of October of the third year of their existence, such allotments shall be made permanent. If an allotment is not made permanent it shall be abolished as of the 31st of October of the third year of its existence. Should the President conclude that two (2) years was insufficient to establish whether the increased enrolments were purely of temporary phenomenon, allotments established under this clause may be continued to a maximum duration of five (5) years. At the end of the fourth year of their existence such allotment shall either be made permanent or abolished. If such an allotment is abolished, no similar allotment shall be made for a period of three (3) years. The Union shall be informed of all decisions relating to the duration of such allotments.

10.1.24 Cross-Appointments

- (a) Where sound academic reasons exist for so doing, an Employee may receive an initial or subsequent appointment in two or more academic units within the University. The Employee shall be based in a home or primary unit and may have duties or responsibilities in a secondary unit. An Employee shall receive a Cross-Appointment only with their written consent and upon the recommendation of the relevant primary and secondary academic units.
- (b) Before an Employee agrees to a Cross-Appointment, they shall meet with the relevant Department Chairs and/or Program Directors and Deans to arrive at an

agreement on how the Employee's work, if any, is to be shared between the academic units concerned. The agreement shall also stipulate which academic unit is to have primary responsibility for the Employee in administrative and academic matters. The Employee shall retain residual rights in the primary academic unit to which the Employee shall return on a full-time basis should academic needs change.

- (c) The primary academic unit shall be responsible for all administrative and academic matters related to the Employee, including but not limited to appointment, renewal, promotion and tenure. In the case of renewal, promotion, and tenure the secondary academic unit(s) shall be invited to provide the primary unit with an evaluation of the Employee according to the procedures specified in this Collective Agreement for such evaluations. The Chair or Coordinator, or designate, of the secondary unit shall be entitled to participate in the primary unit's discussion of the faculty member's application for renewal, promotion and tenure. The primary academic unit shall consider any evaluations of the employee from secondary unit(s) as part of its own evaluation of the Employee.
- (d) In those cases where a cross-appointment is made as part of an Employee's initial appointment to the University, the secondary academic unit shall be invited to meet with all candidates for the position in question and shall be invited to submit its views on the candidates to the primary academic unit, which shall consider those views in making its recommendation on the appointment in accordance with Article 10.1.20.
- (e) Employees holding a cross-appointment shall have the right to attend Department/Program meetings, to vote, to be elected or appointed to committees, in all academic units to which the cross-appointment applies.
- (f) The terms and conditions of the Cross-Appointment shall be in writing signed by the Employee and the President, who shall have the final authority for making the appointment. Within 5 days of its signing the President shall send a copy of the agreement to the Chairs and/or Directors of the relevant academic units as well as the Union. In the case of new employees, the terms of the cross-appointment shall be specified in the Employee's letter of appointment (Article 10.1.40).

10.1.25 Interdisciplinary Programs

- (a) Senate has established the following interdisciplinary programs: Asian Studies, Applied Science, Atlantic Canada Studies, Environmental Studies, Forensic Science, International Development Studies, Irish Studies, Latin American Studies, Linguistics, and Women and Gender Studies. Any future interdisciplinary programs approved by Senate shall be included in the above list.
- (b) A Faculty member may be cross-appointed to an interdisciplinary program under the provisions of Article 10.1.24. When the cross-appointment involves an initial appointment to the University, the prospective Faculty Member shall also be appointed to an academic department.

- (c) At the time an initial cross-appointment is authorized, the Employer shall specify whether the interdisciplinary program or the department is to be the primary unit of the new Faculty Member. Unless specified otherwise, the cross-appointment shall be counted as part of the primary unit's allotment (Article 10.1.20(a)). Under no circumstance shall the cross-appointed position be part of the secondary unit's allotment other than what may have been mutually agreed upon by the primary and secondary units at the time the cross-appointed position was established.
- (d)

In cases where the Interdisciplinary Program is the primary unit, Faculty Members holding appointments or cross-appointments in the Interdisciplinary Programme shall be considered to be the "Department" in regard to Procedures for Appointment (Article 10.1.20). If the department to which the Faculty Member may be cross-appointed is uncertain, the Selection Committee (Article 10.1.20(d)) shall be expanded to include one representative from each of the possible departments to which the prospective Faculty Member might be appointed. After the short list has been developed by the Selection Committee and approved by the relevant Dean(s), the Chairs of the Candidates' secondary departments shall be notified of the likelihood of a cross-appointment to their units. Candidates shall be invited to meet with the Interdisciplinary Program members and the department to which they are likely to be appointed according to the relevant provisions of Article 10.1.20. A candidate must receive a positive recommendation from the department to be cross-appointed to the department.

- (e) All Faculty Members who currently hold an existing appointment in an interdisciplinary program shall be cross-appointed to an academic department through the provisions of Article 10.1.24. The Interdisciplinary Program shall remain the primary unit of the Faculty member and the department shall be the secondary unit. In no case shall the cross-appointment of a Faculty Member to a department be counted as part of the department's allotment (Article 10.1.20(a)). For greater clarity, this means that any vacancies in the department shall not be considered to be filled by the cross-appointment.

10.1.26 Lecturer Stream (LS)

1. Faculty who hold appointments in the Lecturer Stream have the primary responsibilities of teaching and service as specified in Articles 8.4.10 and 8.4.12. It is not expected that faculty in the Lecturer Stream engage in research; however, they are expected to engage in scholarship (Article 8.4.11) to the extent that it enhances their teaching or service activities.

2. Appointments to the Lecturer Stream shall not exceed 5% of the number of Faculty holding appointments as Assistant, Associate or Full Professors.
3. No more than two Lecturer Stream positions may be allocated to any one Department or comparable academic unit. Such allocations shall only be made upon the request of the academic unit in which the position will be housed.
4. Lecturer Stream positions shall be in addition to the academic unit's tenure stream allotment specified in Article 10.1.20(a) and shall have no effect on that allotment. It shall not be used to fill vacancies in an academic unit's tenure stream allotment. In the event that an academic unit's tenure stream allotment is reduced, a Lecturer Stream position cannot be created within two years of that academic unit's reduction in tenure stream allotment. Neither shall Lecturer Stream positions be used to fill vacancies created under the operation of Article 4.8.
5. Faculty holding Lecturer Stream appointments are subject to all terms and conditions specified in this Collective Agreement with respect to Faculty Members except as may be modified by Article 10.1.26.
6. The appointment procedures specified in Article 10.1.20 shall apply to the appointments made to the Lecturer Stream except that Lecturer Stream positions need not necessarily be advertized in the national media.
7. Evaluation of candidates shall be based solely on the requirements of the prospective position. The candidate who is clearly the best qualified shall be recommended, but when candidates are judged to be equally qualified, the best qualified candidate holding a part-time faculty appointment (Article 10.1.21) shall be recommended for the Lecturer Stream appointment.
8. An initial probationary appointment to the Lecturer Stream is at the rank of Lecturer (LS). An initial appointment shall be for a period of three years and may be renewed for an additional two years. In the last year of the second contract, that is during the fifth year of employment in the rank of a Lecturer (LS) at Saint Mary's University, the Lecturer (LS) shall be evaluated for promotion to the rank of Senior Lecturer (LS).
9. (a) Evaluation of candidates for promotion to the rank of Senior Lecturer (LS) shall be carried out according to the procedure specified for promotion in Article 10.1.26 – 9 and Article 11.0 except that the candidates shall only be evaluated in terms of their scholarship to the extent that it demonstrates currency in their field (Article 8.4.10).

Further, there is no requirement to obtain external letters of assessment regarding the candidates. All other procedures remain unaltered.

(b) For greater clarity: Candidates for promotion to Senior Lecturer (LS) shall inform their Department Chair by April 15 of their intention to apply for promotion and shall submit copies of their application to the Dean and the Department Chair by June 15. The Department shall complete its review and communicate its recommendation no later than February 15 of the Academic Year preceding the possible date of promotion. The Employer's decision on promotion shall be made no later than May 1 of the Academic Year preceding the possible date of promotion.

10. Promotion to the rank of Senior Lecturer (LS) carries with it permanence of employment subject to dismissal for cause, lay-off and redundancy as specified in this Collective Agreement.
11. When considering a candidate for promotion to the rank of Senior Lecturer (LS), the department and the University Review Committee (Article 11.1.40) shall recommend one of the following courses of action:
 - (a) The promotion be granted;
 - (b) The promotion be deferred for a period of either one or two years, to be followed by a final promotion hearing;
 - (c) The promotion be denied. In the case of a denial of promotion, the Lecturer's (LS) employment shall terminate with the expiration of their contract.
12. In recognition of the primary duties of teaching and service, Faculty in the Lecturer Stream shall teach 4.5 full credit courses, normally arranged so that up to a maximum 4.0 full credit courses are taught during the Academic Year and up to a maximum 1.0 full credit course outside the Academic Year. In arranging this teaching assignment the provisions of 15.1.10(a) shall not apply. Notwithstanding Article 15.1.11(a), Faculty Members in the Lecturer Stream may be required to teach a maximum of 0.5 FCE per semester in courses which are scheduled to start later than 4:30 p.m. during the Academic Year. In exceptional circumstances, teaching of one or more courses may be replaced with other duties that may be specified by the Chair of the Lecturer (LS)'s Academic Unit and with the approval of the Dean of the Faculty in which the Academic Unit resides. In scheduling teaching assignments, Department Chairpersons shall be cognizant of Article 13.1.11 (p). Faculty who hold appointments in the Lecturer Stream are not eligible to teach overload course assignments.

13. The service duties of Faculty Members in the Lecturer Stream shall be carried out principally in the form of student advising, high school and community outreach, mentoring/coaching of students primarily at the introductory levels, and program development.
14. Faculty Members in the Lecturer Stream may apply for a Professional Development Leave in accordance with the conditions and procedures in Article 19.3 except for the following modifications:
 - (a) The purpose of the leave is for the Faculty Member to engage in professional development activities and/or scholarship of teaching (Article 1.1(n)(iv)) for the enhancement of his/her teaching and/or service activities.
 - (b) The Professional Development Leave shall be for one-half year from either July 1 to December 31 or from January 1 to June 30.
 - (c) Faculty Members in the Lecturer Stream become eligible for an initial Professional Development Leave on completion of six (6) years of full time service in the rank of Lecturer (LS) or above. Eligibility for subsequent Professional Development Leave shall follow six (6) years of full time service.
 - (d) A Faculty Member in the Lecturer Stream granted Professional Development Leave shall receive eighty-five percent (85%) of their regular salary during the leave period.
15. Lecturer Stream faculty shall receive the annual salary applicable to their place on the Salary Scales attached and forming part of this Agreement as Schedule "A3".

10.1.30 University Appointments Committee

- (a) There shall be a University Appointments Committee composed of the Academic Vice-President or their designate, who shall be Chairperson and have a vote; one Dean to be appointed by the Employer; and three (3) tenured Faculty Members, one from each of the faculties of Arts, Science, and Commerce. Faculty Members will be elected under the auspices of Senate each year in April as needed to maintain a pool of 6 members (2 from each faculty), each to serve a three year term. The University Appointments Committee shall include at least one member of each gender. In no case shall the Dean be of the Faculty of the case in question, nor shall faculty members be from the Department. A quorum at any meeting will consist of a simple majority including at least two faculty members from different faculties. The Appointments Committee shall confirm that the procedures specified in Article 10.0 have been followed and that recommended candidates meet the qualifications for appointment to Academic Ranks as set out in Article 12.0.
- (b) The responsibilities of the University Appointments Committee shall be to:

- i) Review the dossiers and all recommendations concerning Candidates for academic appointment put forward by the Department and by the Dean;
 - ii) Ensure that the procedures specified in 10.1.20 above were followed including the fact that the recommendation is based solely upon academic merit and teaching capability;
 - iii) Consult with the appropriate Dean and/or Chairperson in cases where its recommendations may differ from that of the Dean or Chairperson.
 - iv) Report to the President, through the Chairperson, its views and recommendations, including those on rank, year in rank, salary, length of limited term where appropriate and any special condition(s) of employment, taking into account prior relevant equivalent service and/or professional experience. All such views and recommendations are to be consistent with the criteria for such matters as specified in appropriate provisions of this agreement;
 - 1) Each year of employment in an academic position in a University or equivalent institution either prior to or following the awarding of a doctoral degree shall be deemed equivalent to one year of service when it is directly related to a doctoral degree held by the candidate provided that the candidate had primary, independent responsibility for teaching and research.
 - 2) Each year of employment in a relevant professional position when it is directly related to a doctoral degree held by the candidate and/or each year of employment as a postdoctoral fellow shall be deemed equivalent to either 0.5 or 1.0 year of service. The value shall be based on the relevance of the work performed in the former position(s) to that in the position for which the candidate is being considered for appointment.
 - 3) Fractions of a year shall in all cases be rounded up or down to the nearest whole.
 - v) Report to the Employer its views and recommendations in accordance with 21.1 (f) hereof on the academic rank and/or tenure of Academic Administrators.
- (c) Appropriate credit for relevant equivalent service in equal ranks at other recognized universities (provided that the qualifications of the individual are at least equal to the minimum requirements for the equivalent rank at Saint Mary's University) and relevant equivalent professional experience shall be

recommended by the University Appointments Committee and determined when the President makes the appointment. It is agreed that the placement on the salary scale agreed to by the parties as recorded August 22, 1978 of Faculty Members who held full-time appointments in the 1978-79 salary year and the year in rank of new full-time Faculty Members, as determined on their appointment for the 1979-80 salary year and for the 1980-81 salary year shall be final and conclusive recognition and determination of prior service credit for such Faculty Members and that such placement and year in rank shall be the service entitlement for such Faculty Members for the purposes of Article 11.1.21 and determining possible early consideration for tenure pursuant to Article 11.1.22(a).

- (d) No special condition of employment shall be made part of an appointment without the approval of the Committee.
- (e) Except for their decision on salary, the President shall advise, with reasons, the University Appointments Committee of any terms or conditions of an appointment which differ from the recommendations of the Committee made pursuant to Article 10.1.30(b)iv). Following this communication, the Committee shall make a final recommendation. The President's decision following this final recommendation shall be final.
- (f) Where a Candidate for appointment appears not to be well qualified, the Committee shall determine through the Dean and Department Chairperson whether there are applicants of greater merit. This procedure is mandatory where the Candidate falls below the standard required for appointment to the rank of Assistant Professor.
- (g) The Union shall have the right to appoint a member of the bargaining unit to be present as an observer at all meetings of the University Appointments Committee. The Observer may not participate in any manner in the deliberations of the Committee but shall have the right to communicate their observations to the Chairperson between meetings, or, if deemed necessary, to request a recess in the proceedings of a given meeting for the purpose of communicating with the Chairperson of the Committee.

10.1.40 Mode of Appointment

For appointments under 10.1.10, except as specified below, letters of appointment shall be provided by the Vice-President, Academic and Research or designate.

For appointments under 10.1.10 (a), 10.1.10 (b), 10.1.10 (c) and 10.1.10 (g) the President shall provide to each new employee a letter of appointment which shall include the following:

- (a) The effective date of the appointment, for appointments under 10.1.10 (a), 10.1.10(b) or 10.1.10(g) will normally be July 1. The effective dates for all other appointments shall be September 1. Salary step increments for initial appointment at July 1 will be effective fourteen months from date of appointment. Salary step

increments for initial appointments under 10.1.10 (a), 10.1.10(b) or 10.1.10(g) with an effective date of January 1 shall be effective the following September. Subsequent salary step increments for all appointees will be effective September 1 of each year in accordance with Article 16.1.10.

- (b) The terminating date of the appointment.
- (c) The class of the appointment, specifying the sub-clause of Article 10.1.10 under which the appointment is made.
- (d) The rank, year in rank, and salary of the Faculty Member as of the effective date of the appointment as determined by placement on the effective salary scale plus an upward adjustment if any to that scale under Article 4.1(a) and/or through the application of market supplements (Article 16.7).
- (e) The Department or Division to which the Faculty Member will be attached.
- (f) Any special conditions (see 10.1.30(d) above).
- (g) The number of years of credit for prior service, as reflected in the appointee's year in rank (see 10.1.40(d) above), that will count toward eligibility for promotion to the next higher rank (See 11.1.21).

10.1.41

- (a) The credit given prior service in determining years in rank on appointment pursuant to Article 10.1.30(c) shall count as service or years in rank at the University for the purposes of Article 11.1.21 and shall be considered a factor in determining possible early consideration for tenure pursuant to the provisions of Article 11.1.22(a) but, unless otherwise specifically expressed, such credit shall not apply to any other matter for which by this Agreement service is a requirement or benefit.
- (b) The terms of appointment on rank and year in rank shall be reflected by placement on the salary scale (see Article 16.1) at a level no lower than that corresponding with such rank and year in rank (e.g. the placement on the salary scale of a Faculty Member who on appointment at the Assistant Professor rank received credit for two years of prior relevant equivalent service and/or equivalent professional experience shall in the first year of their appointment be at the Assistant Professor - Level 3 point on the salary scale).

10.1.42

- (a) One copy of the letter of appointment shall be kept by the Employer, a second by the Faculty Member, a third by the Union and a fourth by the Department Chairperson, it being understood and agreed that the letter and the information provided therein will be held in confidence by the Department Chairperson and the Union.

- (b) Each letter of appointment shall be accompanied by a copy of the Agreement and shall contain a statement that the appointment is subject to the terms and conditions of the Agreement.

10.1.43

- (a) Each full-time appointee shall be entitled to reimbursement of travel costs for themselves and dependants from the Appointee's place of residence to Halifax, Nova Scotia, for actual costs up to the amount of economy airfare; and upon production of receipts, they shall be entitled to receive up to eight thousand dollars (\$8,000) for moving expenses. This limit may be increased only if approved in writing and in advance by the President.
- (b) Employees appointed on a contract of twelve (12) months or less shall not be eligible to claim moving expenses under 10.1.43 (a) but may be provided with moving expenses only if approved in writing and in advance by the President.
- (c) If the Appointee is on an initial three-year probationary appointment and does not complete their probationary appointment, they shall pay back two-thirds (2/3) of the moving expenses if they leave the University's employ after one year's service, and one-third (1/3) if they leave after two year's service.
- (d) Article 10.1.43 shall apply only to appointments made after the date of the signing of this Agreement.

10.1.44 Termination of Appointment

- (a) If an Employee wishes to terminate their employment, they shall give notice to the President, in writing, no later than January 1st, if practicable, of the year during which the termination is to become effective. Notice of termination by the Employer shall be in writing and be signed by the President.
- (b) Employment may be terminated by mutual agreement in writing at any time.
- (c) A Faculty Member in receipt of an offer of renewal of appointment from the University (see Articles 10.1.12 and 11.1.20(a)) must communicate their acceptance or rejection of this offer in writing to the President no later than March 1st, if practicable, of the calendar year in which the renewed appointment would become effective. If the Faculty Member fails to so communicate their acceptance or rejection they shall be deemed to have rejected the offer and their appointment shall terminate at the end of their current probationary term.

10.2 APPOINTMENT – PROFESSIONAL LIBRARIANS

10.2.10

Whenever a vacancy occurs among Professional Librarians or whenever a new professional position is created, the University Librarian, in consultation with the Library Council, shall advertise the position within thirty (30) days.

10.2.20

Applications for appointment will be directed to the University Librarian who shall establish a list of all applicants.

10.2.30

The Professional Librarians shall form a Selection Committee of members who hold either a probationary or confirmed appointment. The Selection Committee shall elect one of its members as Chair of the Committee. The selection committee shall examine all dossiers, including proof of qualifications, treating them as confidential documents.

10.2.31

The selection committee and the University Librarian shall meet and develop a short-list of up to five (5) applicants.

10.2.32

The University Librarian shall arrange for interviews of the short-listed candidates. Notice of such interviews shall be circulated to all members of the selection committee at least one (1) week prior to their occurrence.

10.2.33

The University Librarian shall interview all candidates; the selection committee shall interview all candidates. The University Librarian shall have the option of attending candidate interviews with the selection committee, in a non-voting capacity.

10.2.40

The selection committee shall recommend a specific candidate or candidates with a rationale for their suitability for the appointment. If more than one candidate is recommended, they must be presented in priority order. The committee in making its recommendation may suggest rank, salary and any special conditions for appointment.

10.2.41

The chairperson of the selection committee shall forward the recommendation in writing, together with the recommended candidate's dossier, to the University Librarian. Should the University Librarian question the selection committee's recommendation, the University Librarian shall meet with the committee to discuss any reservations. Following this meeting, the University Librarian may make a separate assessment of the candidate and forward it, along with the selection committee's recommendation and the candidate's file, to the Academic Vice President. The University Librarian may also forward to the Academic

Vice President, a recommendation of a different candidate who has applied and been interviewed in accordance with Article 10.2.33.

10.2.42

If the recommendation of the selection committee and the University Librarian differ, the Academic Vice President shall meet with each party before rendering a decision. The decision of the Academic Vice President shall be final.

10.2.50

The University Librarian shall, in consultation with the Academic Vice President, negotiate the terms of the appointment with the successful candidate.

10.2.51

Each new Professional Librarian and the President of the Union shall receive from the Employer a letter of appointment which shall include the following:

- (a) The effective date of the appointment;
- (b) The terminating date of the appointment in the case of an appointment for a limited term;
- (c) The rank and salary of the Professional Librarian as of the effective date of the appointment.

10.2.52

Each letter of appointment shall be accompanied by a copy of this agreement.

10.2.60

New Professional Librarians, other than those appointed for a limited term, will be considered as probationary employees until they have been employed for a period of eighteen (18) months. During the probationary period, progress appraisals will be performed at three (3) month intervals, the results of which will be communicated to the Professional Librarian in writing and placed in their personnel file. Consecutive with the fifth performance appraisal, the opinion of the Professional Librarians will be sought on the appropriateness of the candidate for confirmation. A Professional Librarian may be confirmed at any time during the probationary period.

10.2.70

If a Professional Librarian, other than a probationary librarian as defined in Article 10.2.60 hereof, wishes to terminate their employment, they shall give at least one (1) month's notice in writing to the University Librarian. However, employment may be terminated by mutual agreement in writing at any time.

10.2.80

Each full-time Professional Librarian shall be entitled to receive reimbursement of travel costs for themselves and dependents from the Appointee's place of residence to Halifax, Nova Scotia, for actual costs up to the amount of economy airfare; and upon production of receipts, they shall be entitled to receive up to eight thousand dollars (\$8,000) for moving expenses.

10.3 APPOINTMENT – UNIVERSITY LIBRARIAN

10.3.10

- (a) In appointing a University Librarian the President shall strike a Search Committee to be chaired by the Academic Vice President. The Committee shall be comprised of the Academic Vice President, a Dean appointed by the President, two Professional Librarians appointed by the Professional Librarians, a Faculty Member appointed by Senate, a member of the library support staff appointed by the library staff, an external professional librarian appointed by the President in consultation with the internal Search Committee members and one additional member appointed by the President from the Saint Mary's University community.
- (b) The Search Committee shall prepare and approve the advertisement as per the formal qualifications required for the position. The vacancy shall be advertised in relevant academic and professional journals and publications, including the CAUT Bulletin, University Affairs and in mailing lists for Canadian academic libraries and library schools. The advertisements should appear at least one month before the close of competition. The Search Committee shall establish a short list of up to five (5) candidates to be interviewed.
- (c) The Search Committee shall interview all short-listed candidates.
- (d) The Search Committee shall schedule a meeting between any short-listed candidate(s) and the Professional Librarians, following which it shall solicit the views of the Professional Librarians on the suitability of the candidate(s) for the position.
- (e) The Search Committee shall recommend to the President a specific candidate. If more than one (1) candidate is recommended, they must be presented in priority order.
- (f) The decision on the appointment shall be made by the President.
- (g) The appointment of a University Librarian shall be for a specified term of not more than six (6) years. A formal review process shall be conducted during the last year of the term of the appointment. In each case, the Review Committee shall be composed in the same manner as the Search Committee, outlined in 10.3.10(a). The Review Committee shall consult widely and shall provide ample opportunity for input from Professional Librarians and library staff. The Review Committee shall make a written assessment of the performance of the incumbent.

The assessment shall be forwarded to the incumbent who shall be afforded the opportunity to meet with the Review Committee to discuss the review and any recommendations. The incumbent shall also have the opportunity to make a written response.

- (h) If the President fails to re-appoint an incumbent to the position of University Librarian, they shall be offered continuing appointment as a Professional Librarian within the bargaining unit in keeping with Article 21.1.
- (i) The process outlined in this Article does not apply to the appointment of an Acting University Librarian.

10.4 POSITIVE ACTION TO IMPROVE THE EMPLOYMENT OF WOMEN, ABORIGINAL PEOPLES, VISIBLE MINORITIES, AND PEOPLE WITH DISABILITIES

10.4.1

The parties to this Agreement are committed to the objective of equal opportunities through positive action to improve the employment and retention of women, aboriginal peoples, visible minorities, and people with disabilities in the university community.

10.4.2

The Employer will determine, in consultation with each academic unit, whether or not there are serious imbalances in the composition of Employees with respect to the four groups specified in 10.4.1 within the academic unit. Where it has been determined that a serious imbalance exists, reasonable goals shall be established to address the imbalances. The results of these actions shall be reported in writing as outlined in 10.4.8.

10.4.3

Prior to making any appointment, the Employer shall make a positive attempt to recruit women, aboriginal peoples, visible minorities, and people with disabilities as candidates.

10.4.4

The Selection Committees referred to in 10.1.20(d) and 10.2.30 shall include at least one member from one of the groups specified in 10.4.1. Where there are no members of a particular specified group employed in an academic unit, a replacement Employee from one of the specified groups will be selected from a cognate unit.

10.4.5

Evaluation of candidates shall be based solely on the requirements of the prospective job, for Faculty Members as per Article 10.1.20 and for Librarians

as per Article 10.2. The candidate who is clearly the best shall be recommended, but when candidates are judged to be equal, the best candidate with respect to the four groups specified in 10.4.1 shall be recommended until the academic unit has met the goals suitable under Article 10.4.2.

10.4.6

In forwarding the recommendation of a specific candidate for appointment to the Dean, the Selection Committee shall include a report outlining its actions with respect to Articles 10.4.1 to 10.4.5.

10.4.7

In reviewing the Selection Committee's recommendation the Dean, or University Librarian when the appointment is to a librarian position, shall consider the recommendation in the context of the requirements outlined in Articles 10.4.1 to 10.4.5.

10.4.8

The Employer shall report in writing annually to Senate and to the Board of Governors by 1 December, on actions taken to improve the employment of members of the four groups specified in 10.4.1 in the university community, and in particular the development and implementation of the hiring goals required for academic units. Such reports shall also be forwarded to the Union.

10.4.9

Members of the committees referenced in Articles 10.1.20 (d), 10.1.30, 10.2.30, and 11.1.40 shall be required to participate in University-provided equity training before commencing their work.

ARTICLE 11.0 RENEWAL, PROMOTION AND PERMANENCE

11.1 RENEWAL, PROMOTION AND TENURE - FACULTY

11.1.10

- (a) i. By April 1, Deans shall advise Departmental Chairpersons and the Vice President, Academic & Research, of the names of faculty members who will be eligible for renewal of appointment and/or regular tenure consideration in the ensuing academic year.

- ii. Candidates for renewal shall normally submit their materials to their Department Chair by August 31 prior to the Academic Year during which the appointment terminates;
- (b) Applications for promotion, tenure, accelerated promotion or early consideration of tenure, including supporting material as defined in Article 11.1.12, are the responsibility of the Faculty Member. Such applications shall normally be made according to the following schedule:
 - April 15** Candidates shall inform department chairs of their intention to apply and provide them with their list of potential assessors as per Article 11.1.13.
 - May 15** The Department Chair shall provide the Dean with a list of applicants, together with the applicants' and Department's list of potential assessors as per Article 11.1.13.
 - June 15** The Dean shall finalize the list of assessors to be consulted as per Article 11.1.13.
 - June 15** Candidates shall submit an electronic copy of their application file to the Dean and to the Department Chair.
- (c) Each Department shall review annually the qualifications and performance of each of its Faculty Members applying for renewal of appointment, promotion or tenure. All recommendations resulting from these reviews, together with all available reports and documents as specified in Articles 11.1.12 and 11.1.30 shall be communicated in writing by the Department Chairperson to the appropriate Dean and to the Vice-President, Academic and Research as Chairperson of the University Review Committee (see 11.1.40 below).
- (d) The following categories of employees are not eligible for consideration for renewal, promotion, or tenure:
 - i) Faculty Members holding appointments of class (c) specified in 10.1.10 above;
 - ii) Faculty Members holding contracts with a special condition or conditions requiring the fulfilment of an obligation before renewal, promotion or tenure can be granted, except in a situation where a faculty member with this kind of contract, in the view of their Department, has achieved significant academic performance. The Department may initiate a recommendation for the waiving of the condition(s) in order to make that person eligible for a renewal, promotion or tenure hearing. The Department will make its recommendation to the Vice-President, Academic and Research, as Chairperson of the University Appointments Committee, who will bring the matter for a final decision to the President.

- iii) Faculty Members holding terminal appointments, excepting those present Faculty Members holding regular probationary appointments specifically excluded from the operation of Article 11.1.20(c) of this Agreement.
- (e) Faculty Members appointed under the provisions of Article 10.1.10(a) or (b) above and who are promoted to the rank of Assistant Professor as a result of the fulfilment of a condition(s) in their contracts or as a result of promotion through the waiver of a condition(s) in their contracts shall be offered a probationary appointment on terms specified in Article 10.1.12 hereof.
- (f) Faculty Members holding an appointment under Article 10.1.10(b) who are eligible for consideration for renewal or tenure under articles 11.1.20 and 11.1.22, respectively, and who received an approved leave of absence under Articles 19.1 (exceeding 90 calendar days), 19.6, or 19.7 during the term of their appointment under Article 10.1.10(b) shall be granted upon their request a one-year deferral of such consideration, and a one-year extension of their existing probationary contracts.

11.1.11

The Department shall complete its review and communicate its judgement according to the following schedule:

- (a) Renewal of Appointment: no later than October 15 of the Academic Year during which the appointment terminates;
- (b) Promotion: no later than February 15 of the Academic Year preceding the possible date of promotion;
- (c) Tenure: no later than February 15 of the Academic Year during which the appointment terminates.

Faculty Members who are eligible to apply for tenure and promotion at the same time may submit one application file to be considered for both. Although application for promotion and tenure may be made at the same time, the different criteria laid out in Articles 11.1.21 and 11.1.22 for Promotion and Tenure, respectively, must be followed for each consideration.

11.1.12

As set out in 11.1.10, the preparation of applications for renewal, tenure or promotion is the responsibility of the faculty member. In considering candidates for renewal, promotion or tenure, the Department shall examine the following material as provided by the candidate:

- (a) A detailed curriculum vitae. In presenting the information specified in Article 8.4.13(b), the Candidate shall use the format described therein;

- (b) A teaching dossier that is based on Articles 15.6.2, 15.6.6, and 15.6.12, and other evidence of teaching effectiveness which the Department or the Candidate may consider relevant.
- (c) Brief statement explaining the candidate's current scholarship and its relationship to past and planned research;
- (d) Examples of scholarship,
- (e) Other evidence such as, but not limited to, Annual Reports including feedback letters from the Dean and Department, which the Candidate may consider relevant to the case.

11.1.13

- (a) In the case of promotion or tenure, the Department shall also examine, in addition to the materials outlined in Article 11.1.12, external assessments of the Candidate's scholarship and professional standing and other appropriate contributions to their discipline.
- (b) The external assessments shall be obtained by the Dean from three (3) referees. The Department and the Candidate shall each provide to the Dean, and to each other, a list of three (3) or more potential referees. These two lists shall not contain the same suggested referees. External assessors are required to provide an independent assessment of a candidate and therefore, former supervisors, co-authors, or close associates shall not be proposed as referees. Both the Candidate and the Department shall annotate their respective lists to indicate why the proposed external assessors are appropriate. The Dean shall provide to the Department and Candidate a list of three (3) or more potential referees, which may include those names proposed by the Candidate and/or the Department. The Dean shall likewise provide information as to why any person on their list who is not included on either the Candidate's or Department's list is suitable and, if applicable, reasons why anyone on the Candidate's or Department's list is unsuitable. In the event, a name on the Candidate's or Department's list is found to be unsuitable reducing either list below the minimum of three (3) required names, the Candidate and/or Department shall provide additional name(s) to restore the list to the minimum number of three (3) that is required. Once the Candidate, the Department, or the Dean have proposed a name, no correspondence in any way related to tenure or promotion shall occur between those named and the Candidate or the Department Chairperson.
- (c) The Dean shall solicit the assessments from at least one referee from each of the three (3) lists. The Dean may choose all of their potential referees from either the Candidate's or Department's list. The Dean shall solicit the assessments within five (5) days of their determination of the referees. A copy of the Dean's solicitation letter, without attribution, shall be forwarded to the Department Chairperson at the time of solicitation. External assessors will be asked to reply to the Dean by the following October 31st. In cases where a candidate is being

considered for both promotion and tenure in the same year, assessments for promotion and tenure may be obtained from the same referees in a single assessment encompassing an evaluation of the candidate on criteria for both promotion and tenure.

- (d) The external assessments are to be solicited in writing and provided in writing. The Candidate and the Department are to be provided with copies of the referees' assessments without attribution to the referees' name and institutional affiliation.
- (e) The Dean shall accompany the written solicitation for external referees with copies of Articles 1.1(n), 11.1.14, 11.1.21, 11.1.22 as appropriate, and 12.1 as well as copies of the items listed in 11.1.12. The Dean shall also accompany the solicitation with the complete file as submitted by the candidate on or before June 15.

11.1.14

Among the criteria which the Department must consider in making an assessment of a Candidate are the following:

- (a) Quality and effectiveness as a teacher;
- (b) Academic credentials, including degrees, special studies and honours;
- (c) Quality and significance of scholarship as defined in Article 1.1(n). The varied nature of academic and professional disciplines requires that appropriate weight be given to the various aspects of scholarship in terms of their contributions and relevance to a discipline.
- (d) Service on committees within the University; appropriate weight shall be given to such activity, although it is recognized that committee service is dependent either on appointment or election and is not necessarily under the control of Candidates for renewal, promotion, or tenure.
- (e) Other contributions to the University, including participation in its effective operation through academic advising, supervision of students, service as chairperson, director of division or programme coordinator, and performance of other functions which have been traditionally accepted as part of the collegial character of the University.
- (f) Other contributions to the professional field and the community such as serving on external grant selection committees; serving on a board, commission, council, or task force by virtue of special academic competence or expertise; service as an external examiner of graduate theses or academic programs. Appropriate weight shall be given to such activity in terms of its contribution to the discipline concerned.

11.1.20 Renewal of Appointment

- (a) Consideration of renewal of a probationary appointment shall take place during the final year of the appointment (see Article 10.1.12). A formal review by the University Review Committee is mandatory for all probationary appointments unless the Department, Dean and the Vice-President, Academic and Research have all recommended renewal. The candidate will be informed of the Employer's decision on renewal no later than January 1st of the final year.
- (b) Consideration for renewal of a second probationary term for a Faculty Member at the rank of Assistant Professor or above shall be made under the provisions for tenure as set out in 11.1.22 below.
- (c) A Faculty Member in the rank of Lecturer may, on completion of a second probationary term, be granted a further appointment of no more than two (2) years. Such an appointment shall be terminal.
- (d) A Faculty Member in the rank of Lecturer who holds a probationary appointment and is promoted to the rank of Assistant Professor may be eligible for additional probationary contracts to allow completion of sufficient full-time service at the University in the rank of Assistant Professor to qualify for consideration for tenure under Article 11.1.22. The renewal of such additional probationary contracts shall be carried out in accordance with Articles 11.1.20(a) and 11.1.20(b).

11.1.21 Promotion

- (a) Promotion is in no sense automatic, that is depending only on length of service at this University or elsewhere. Subject to any credit granted for years in rank pursuant to the provisions of Article 10.1.30(c) and as specified in the Letters of Appointment issued since July 1, 2009, a Faculty Member shall ordinarily spend a minimum number of years in each rank before becoming eligible for the next higher rank and they must demonstrate a standard of excellence appropriate to the rank sought.
- (b) Except as provided in clause (c) hereof, the minimum periods of service within rank shall be as follows:
 - Lecturer 3 years
 - Assistant Professor 5 years
 - Associate Professor 8 years
- (c) Accelerated promotion, that is, with fewer than the number of years in rank specified in (b) above, may be sought by a Faculty Member on the grounds of exceptional teaching or research accomplishments or both. At the time of application, the faculty member must state the grounds on which accelerated promotion is being sought. A Faculty Member may apply for accelerated

promotion only once for a given rank. The Faculty Member must meet the criteria for the rank being sought, as per Article 12.1.

- (d) In cases of promotion, the Department and the University Review Committee (see 11.1.40 below) shall take into account the appropriate qualifications for the rank as set out in Article 12.1 as well as the criteria indicated in 11.1.14.
- (e) A faculty member whose promotion has been denied shall not be eligible to be considered at a promotion hearing held in the following year, except for those Members who applied for promotion under Article 11.1.21(c) and who were denied early promotion.

11.1.22 Tenure

- (a) A Faculty Member on probationary appointment may be granted tenure on completion of five (5) years' full-time service at the University at the rank of Assistant Professor or above. Consideration for tenure shall take place before the end of the Academic Year completing the appropriate period of service. As a result of a special condition(s) of appointment, or, upon the request of the Employee, consideration for tenure may take place at an earlier time during the probationary appointment. Before requesting early consideration for tenure, the Faculty Member shall consult with their Dean.
- (b) In considering a possible recommendation for tenure, the Department and the University Review Committee (see 11.1.40 below) shall remain alert to the fact that they are assessing the worth of the Candidate to the University on a long-term basis. Tenure is to be recommended only if the Candidate has established themselves as a successful teacher, has contributed to the discipline through research and publication, and is, in their judgement, a person who will contribute to the growth and stature of the University, and will promote its objectives as set out in the Saint Mary's University Act, 1970. Additionally, the criteria set out in 11.1.13 above shall be taken into account.
- (c) The Department and the University Review Committee (see 11.1.40 below) shall recommend one of the following courses of action with respect to tenure:
 - i) Tenure be granted;
 - ii) A decision on tenure be deferred for a period of either one or two years, to be followed by a final tenure hearing; in exceptional circumstances, the University Review Committee may recommend one further deferral of either one or two years to be followed by a final tenure hearing;
 - iii) Tenure be denied.

11.1.30 Department Procedures

The following procedures shall be adhered to by a Department in considering a Candidate for renewal of appointment, promotion or tenure. All members participating in this procedure are to treat information received and exchanged as confidential.

- (a) The Candidate shall be notified in writing by the Chairperson at least two weeks before the initial Department meeting to consider the application.

The candidate's application with supporting material as defined in 11.1.12 and 11.1.13 shall be made available to the Department in electronic form for review and inspection at least two (2) weeks prior to the initial Department meeting to consider the application. Any additional evidence will be made available to the Department upon receipt.

- (b) The Candidate shall have the right to appear on their own behalf at the outset of the Department meeting considering their candidacy and to present such oral and written evidence as they deem relevant.
- (c) Written evidence, as listed in 11.1.12 and 11.1.13 above, shall be made available to the Candidate one week before the initial Department meeting to consider the application. This does not preclude the Department from examining additional evidence, written or oral, including letters of reference and such evidence, if negative in character, shall be summarized to the Candidate in a draft report (see paragraph (e) below). The Candidate shall be invited to respond to this report.
- (d) The Department shall maintain a record of attendance, appearances and decisions, and a dossier of all documents consulted.
- (e) The Department shall prepare a written draft of its report and shall communicate the draft and the date on which it intends to make its final recommendation to the Candidate to provide an opportunity for response by the Candidate before such date.
- (f) The Chairperson shall transmit, in writing, the Department's final report, recommendation, and detailed statement of reasons for its judgement, to the appropriate Dean, the Vice-President, Academic and Research as chairperson of the University Review Committee, and the candidate. The Chairperson shall also submit to the Dean, for transmission to the Vice-President, Academic and Research copies of all documentary evidence presented to and considered by the Department.
- (g) In the event a Department Chairperson is being assessed, the Department shall elect a substitute Chairperson who will preside over Departmental proceedings concerned exclusively with the assessment of the Chairperson and perform such other duties in connection with the Department's consideration of the case as would ordinarily be undertaken by the Department Chairperson.

- (h) Student participation in the Departmental assessment shall be determined by the policies in force at the commencement of this Agreement.

11.1.31 The Role of Dean

- (a) The Dean shall prepare a written assessment of the candidate, including a recommendation concerning renewal, tenure and/or promotion.
- (b) In making their recommendation, the Dean shall take into account the material considered by the Department in preparing its assessment and the Department's recommendation. The Dean's assessment and recommendation may be prepared in consultation with the Department Chairperson after the Department's recommendation has been submitted.
- (c) A copy of the Dean's assessment and recommendation shall be made available to the candidate normally within two (2) weeks of the Department's final report, but in no case later than one week before the University Review Committee is scheduled to review the case. The candidate shall be provided an opportunity to respond in writing to the Dean's recommendation and to submit a copy of this response to the University Review Committee.

11.1.40 University Review Committee

- (a) There shall be a University Review Committee which shall review the qualifications and performance of all Faculty Members being considered for renewal, promotion or tenure. The Committee shall consist of the Vice-President, Academic and Research or their designate, who shall be the chairperson and have a vote; one (1) Dean from the Faculty of Arts, the Faculty of Science or the Sobey School of Business other than that of the candidate under consideration, to be appointed by the Employer; three (3) Faculty members with one from each of the Faculties of Arts, Science and the Sobey School of Business to be elected under the auspices of the Senate each year in April as provided in paragraph (b) hereof. In the case of a candidate being considered for promotion to the rank of Full Professor, at least two members of the University Review Committee shall be Faculty Members holding the rank of Full Professor drawn as necessary from a panel elected under the auspices of Senate. If no vacancy exists on the Committee through the operation of Article 11.1.40(c), these members (i.e., panel members holding the rank of Full Professor) shall replace the regular members elected to the Committee that year with the lesser plurality.
- (b) Election of Faculty Members shall take place annually in the spring term no later than April 15, and the results shall be made known to the members of the Committee, the President of the University and the President of the Union no later than April 30. The election of Faculty Members shall produce:
 - i) A panel of not less than six tenured Faculty members with at least two from each of the Faculties of Arts, Science and the Sobey School of Business. The Faculty member in each Faculty receiving the highest number of votes shall sit as a regular

member of the Committee for a two year period and the remaining faculty members shall serve as alternates in order of plurality;

- ii) A panel of six Professors (no more than two being drawn from each of the Faculties of Arts, Science and the Sobey School of Business) who shall serve for a two year period, as may be required, in order of plurality on the University Review Committee for the purpose of considering candidates for promotion to the rank of Full Professor. Members of this panel may also serve as alternates to elected members of the committee in those circumstances when alternates elected under 11.1.40(b)ii) are not available.
- (c) In no case, however, shall any Faculty Member sit on the Committee during the year in which they have applied for promotion or tenure. Additionally, in no case shall any Faculty Member sit on the Committee to review the case of any member of their Department.
- (d) In considering a Candidate for accelerated promotion, or for promotion to the rank of Full Professor, the Committee shall hold a formal hearing, as defined in Article 11.1.44.
- (e) Faculty vacancies on the Committee shall be filled with faculty members elected to the panel to act as alternates according to the order of plurality.

11.1.41 Union Observer

The Union shall have the right to appoint a member of the bargaining unit to be present as an observer at all meetings of the University Review Committee. The Observer may not participate in any manner in the deliberations of the Committee but shall have the right to communicate their observations to the chairperson between meetings or, if deemed necessary, to request a recess in the proceedings of a given meeting for the purpose of communicating with the Chairperson of the Committee. A copy of the recommendations of the Committee sent to the President pursuant to Article 11.1.45 shall also be sent to the President of the Union. All material received by the Union in this process shall be treated as confidential.

11.1.42

The University Review Committee shall review all departmental recommendations on renewal of appointment, promotion or tenure. In so doing, it shall take into account the criteria set out in 11.1.14 above, and other provisions of the Agreement pertinent to renewal, promotion or tenure. All members participating in University Review Committee meetings are to treat information received and exchanged as confidential.

The Committee shall have available to it:

- (a) The documentary evidence presented to and considered by the Department.
- (b) The Department's final report, recommendation, and detailed statement of reasons for its judgement, submitted in writing by the Department Chairperson.
- (c) The Dean's assessment of the Candidate and, if applicable, the candidate's response to this assessment.

The committee shall:

- (a) Arrive at a decision either by secret ballot or open vote. A tie vote shall be considered as a negative decision.
- (b) Maintain a record of attendance, appearances and decisions, and a dossier of all documents consulted; and
- (c) Provide the Candidate, through its Chair, with a written outline of its concerns, and invite the candidate's response before arriving at a negative decision.

11.1.43

In reviewing a candidate for renewal of appointment, promotion or tenure, the University Review Committee may either concur with a Department's positive recommendation(s) or conduct a formal hearing on the candidacy. In the case of a negative Departmental recommendation(s), or upon the request of the Dean or the Candidate, or in the case of candidates for accelerated promotion or for the rank of Full Professor (see 11.1.40 (d) above), a formal hearing is mandatory.

11.1.44

In conducting a formal hearing, the University Review Committee shall adhere to the procedures defined in 11.1.42 and:

- (a) Consider evidence which is additional to that defined in Article 11.1.42, including additional evidence presented by the Candidate, Chairperson, Dean, and/or Vice-President, Academic and Research;
- (b) Invite the Candidate to appear before it on their own behalf and to present relevant evidence; and
- (c) Call other witnesses as required.

The Candidate shall be given copies of all additional, written evidence that may have been submitted to the University Review Committee through Article 11.1.44(a) above. The Candidate shall be given an opportunity to respond to this additional evidence.

11.1.45

The University Review Committee shall, through its Chairperson, submit its written recommendation to the President, together with the reasons for the recommendation, and provide copies to the Candidate, Chair of the Department, Dean of the Faculty and the Union Observer.

The President will also receive copies of the minutes of all meetings of the University Review Committee at which the Candidate was discussed, together with all other material provided to the Committee.

11.1.46

In the event the President rejects a positive recommendation of the University Review Committee, they shall communicate their written reasons to the Committee and the Candidate and shall meet with the Committee and the Candidate to discuss these reasons. Following this meeting, the Committee shall make a final recommendation. If this final recommendation is positive and the President again rejects it, they shall communicate their final decision, and the reasons on which that decision is based, to the Committee and the Candidate along with a copy of the recommendation outlined in Article 11.1.45. At the request of the affected Faculty Member, the matter shall be submitted to an arbitration board as outlined in Article 22.0 within ten (10) days of receiving the recommendation.

11.1.47

In the event the President rejects a negative recommendation from the University Review Committee, they shall communicate their written reasons to the Committee and shall meet with the Committee to discuss these reasons. Following this meeting, the Committee shall make its final recommendation. If this final recommendation is negative and the President again rejects it, they shall communicate their final decision, and the reasons on which that decision is based, to the Committee and the Candidate along with a copy of the recommendation outlined in Article 11.1.45. At the request of the University Review Committee, the matter shall be submitted directly to an arbitration board as outlined in Article 22.0 within ten (10) days of receiving the President's final decision.

11.1.48

In the case of the President accepting a negative recommendation from the University Review Committee, the President's decision shall be final except in tenure and renewal cases where either the Dean's and/or Department's recommendation is positive. In such cases the Faculty Member may submit the matter directly to an arbitration board as outlined in Article 22.0 within ten (10) days of receiving a copy of the President's decision.

11.1.49 Decisions on Renewal, Promotion or Tenure

The President shall communicate their decision on the renewal of appointment, promotion or tenure in writing to the Candidate and the appropriate Department Chairperson and Dean within fifteen (15) days of receiving the recommendation of the University Review Committee, unless prevented from doing so by absence from the campus or illness.

11.1.50

If the final decision on tenure is that it be denied, the Candidate shall be offered a one year terminal contract. If the decision on tenure is to be deferred (see 11.1.22(c)ii) above), an extension of the existing probationary appointment shall, if necessary, be granted to the Candidate. If the decision has been delayed because of an arbitration hearing, an extension of the existing probationary appointment shall, if necessary, be granted to the candidate to provide at least one full calendar year of employment, or salary in lieu thereof, following the final decision of the Arbitration Board. This period of employment may be extended at the employer's option to allow the Candidate to complete any current teaching assignments.

11.1.51

The Employer's decisions respecting renewal of appointment, promotion and tenure shall be in accordance with Article 11.1.49 and the following schedule:

- (a) Renewal of Appointment: no later than January 1 of the Academic Year during which the appointment terminates.
- (b) Promotion: no later than May 1 of the Academic Year preceding the possible date of promotion.
- (c) Tenure: no later than June 1 of the Academic Year during which the appointment terminates.
- (d) Notwithstanding the schedule above the President shall be allowed no fewer than ten (10) days to render a decision once the President receives a recommendation and documentation from the University Review Committee.

11.2 PROMOTION, SENIORITY AND PERMANENCE – PROFESSIONAL LIBRARIANS

11.2.10

Promotion is in no sense automatic. A Professional Librarian shall ordinarily meet the requirements of a higher rank before becoming eligible for that rank and they must demonstrate a standard of excellence appropriate to the rank sought.

11.2.11(i)

The general criteria to be used for promotion consideration for all full-time Professional Librarians shall be as follows:

- (a) Job performance with an emphasis on demonstration of judgement, initiative, and the ability to communicate and interact effectively;
- (b) Competent fulfilment of the duties and responsibilities of the librarian's position;
- (c) Ability to work constructively with other library staff and in the interest of the Library and the University in general;
- (d) Service to the University and the profession;
- (e) Practical experience and credentials including professional qualifications, degrees, special studies and honours.

11.2.11(ii)

- (a) In the case of promotion to Librarian IV, the Professional Librarians shall also examine, in addition to the materials outlined in Article 11.2.11.i, external assessments of the Candidate's scholarship and professional standing and other appropriate contributions to their discipline.
- (b) The University Librarian shall obtain the external assessments from two (2) referees. The Professional Librarians and the Candidate shall each provide to the University Librarian, and to each other, a list of two (2) or more potential referees. These two lists shall not contain the same suggested referees. External assessors are required to provide an independent assessment of a Candidate and therefore, former supervisors, co-authors, or close associates shall not be proposed as referees. The Candidate shall annotate their list to indicate why the proposed external assessor is appropriate and the Professional Librarians shall likewise provide information as to why any person on their list is suitable. Should the University Librarian believe that a name on either list is inappropriate, the University Librarian may ask for additional name(s). Once the Candidate, the Professional Librarians, or the University Librarian have proposed a name, no correspondence in any way related to promotion shall occur between those named and the Candidate or the Professional Librarians.
- (c) The University Librarian shall solicit the assessments from the two (2) external referees. One of the referees must be selected from the Candidate's list and the other from the list provided by the Professional Librarians. The University Librarian shall solicit the assessments within five (5) days of their determination of referees. External assessors will be asked to reply to the University Librarian within twenty (20) days after receiving the request.
- (d) The external assessments are to be solicited in writing and provided in writing. The Candidate and the Professional Librarians are to be provided with copies

of the referees' assessments without attribution to the referees' name and institutional affiliation.

- (e) The University Librarian shall accompany the written solicitation for external referees with copies of Articles 1.1(n), 11.2.11, 11.2.12 and 12.2. The University Librarian shall also accompany the solicitation with the complete file as submitted by the Candidate on or before January 1.

11.2.12

The rank qualifications as outlined in Article 12.2 are not exhaustive but will serve only as a general guideline in classifying present Professional Librarians and appointing and promoting these members of the Union within the classification of Professional Librarian.

11.2.13

After ordinarily meeting the specified qualifications in each rank and upon providing proof of those qualifications appropriate to a higher rank, Professional Librarians will be eligible for consideration for promotion. There may be accelerated promotion if warranted in the Employer's judgement.

11.2.14

A Professional Librarian who feels they meet the qualifications of a higher rank shall submit to the University Librarian, in writing no later than January 1st, a request for promotion stating their reasons with supporting documentation. The University Librarian shall acknowledge the promotion request within ten (10) days after receiving such a request.

11.2.15

Within ten (10) days after receiving the request, the University Librarian shall inform all Professional Librarians that a request for promotion has been made. The University Librarian will make available the supporting documentation provided by the applicant. Each Professional Librarian, if they choose to comment, must do so in writing to the University Librarian within ten (10) days after receiving notification of the request for promotion.

11.2.16

Within thirty (30) days after acknowledging receipt of a promotion request, the University Librarian shall assemble the Promotion Review Committee and make available to each member of that committee a copy of the applicant's request for promotion, a copy of the supporting document supplied by the applicant, and a copy of the Collective Agreement. As well, the University Librarian will make available to the candidate, without attribution, copies of all documentation and correspondence provided to the Promotion Review Committee.

11.2.17

The Promotion Review Committee shall be comprised of the University Librarian as Chair, one Professional Librarian member of the Union appointed by the Professional Librarians, one Faculty Member appointed by the Union, a Dean appointed by the University, and a Professional Librarian outside the Saint Mary's University community but within Nova Scotia, appointed by the University in consultation with the four (4) internal Promotion Review Committee members. No Professional Librarian who wishes to be a candidate for promotion shall serve on the Committee to hear an application for promotion during the year in which their application is made. The Union shall have the right to appoint a member of the bargaining unit to be present as an observer at all meetings of the Promotion Review Committee. The Observer may not participate in any manner in the deliberations of the Committee but shall have the right to communicate their observations to the Chairperson between meetings or, if deemed necessary, to request a recess in the proceedings of a given meeting for the purpose of communicating with the Chairperson of the Committee. The Observer shall receive a copy of the recommendations of the Committee sent to the Academic Vice-President pursuant to Article 11.2.18.

11.2.18

- (a) The Promotion Review Committee shall meet to review and discuss the documents outlined in 11.2.16, within twenty (20) days of the selection of the Professional Librarian from outside Saint Mary's community.
- (b) The Promotion Review Committee shall meet with the Candidate for promotion within five (5) days after the meeting outlined in 11.2.18(a), in order to provide the Candidate with the opportunity to present relevant evidence and to detail their reasons for promotion. The Promotion Review Committee will take into consideration the written comments of the Professional Librarians combined with other criteria.
- (c) The Promotion Review Committee shall maintain a record of attendance, appearances and decisions, and a dossier of all documents consulted. Before arriving at a negative decision, the Committee shall, through its Chair, provide the Candidate with a written outline of its concerns, and invite the candidate's response.
- (d) The Promotion Review Committee will forward its recommendation, whether positive or negative, to the Academic Vice-President and the Candidate within ten (10) days of their meeting with the candidate.
- (e) In the event of a positive recommendation by the Promotion Review Committee, the Academic Vice-President will accept the recommendation of the Committee and promote the Candidate. The Academic Vice-President shall communicate their decision in writing to the Candidate within ten (10) days of receipt of the

Promotion Review Committee's recommendation, unless prevented from doing so due to absence from campus or due to illness.

- (f) In the event of a negative recommendation by the Promotion Review Committee, the Academic Vice-President will notify the Candidate of this decision, in writing, within ten (10) days of receipt of the Promotion Review Committee's recommendation, unless prevented from doing so due to absence from campus or due to illness. The Candidate may appeal the negative decision to the Academic Vice-President. Within twenty (20) days of receipt of the appeal, the Academic Vice-President will meet with the Candidate and render a final decision to the Candidate.
- (g) In the case of a negative decision, the written communication of the Academic Vice-President shall include reasons for refusal and shall specify the criteria which are not met.

11.2.19

Ordinarily, promotions shall take effect on September 1st of each year.

11.2.20

Seniority shall mean continuous compensated service in the employ of the Employer. For Professional Librarians holding probationary appointments as defined in Article 10.2.60 at the conclusion of the probationary period, the member's seniority will revert to their hiring date.

ARTICLE 12.0 RANK/CLASSIFICATION

12.1 RANK – FACULTY MEMBERS

Academic ranks shall be designated and distinguished as follows for all full-time Faculty Members. In determining rank, due consideration shall be given to practical experience, the relevant merits of academic degrees and professional qualifications:

12.1.10 Lecturer

A Lecturer is a member of a Faculty of the University who shall have the following minimum qualifications:

- (a) A master's degree or professional accreditation equivalent to a master's degree, or considerable work leading to a doctoral degree; or, professional study and experience deemed the equivalent of a master's degree.
- (b) Aptitude for teaching university students.

12.1.11 Assistant Professor

An Assistant Professor is a member of a Faculty of the University who shall ordinarily have the following minimum qualifications:

- (a) A doctoral degree;
- (b) Aptitude for teaching university students.

The doctoral requirement may be waived if the Candidate has a record of suitable teaching, scholarship as defined in Article 1.1(n); or, in lieu of such a record, has professional qualifications and experience to enable them to make an appropriate academic contribution to the University.

12.1.12 Associate Professor

An Associate Professor is a member of a Faculty of the University who shall ordinarily have the following minimum qualifications:

- (a) A doctoral degree;
- (b) Successful experience in university teaching;
- (c) A significant record of scholarship as defined in Article 1.1(n).
- (d) A satisfactory record of service to the University, the profession, and the community, as defined in article 8.4.12 and 11.1.14.

12.1.13 Professor

- (a) A professor is a member of the Faculty of the University who:
 - (i) has achieved recognition as an outstanding scholar combined with a record of very good teaching and has a satisfactory record of service to the University, the profession, and the community, as defined in articles 8.4.12 and 11.1.14;
 - or
 - (ii) has distinguished himself as an outstanding teacher combined with a record of very good scholarship and has a satisfactory record of service to the University, the profession, and the community, as defined in articles 8.4.12 and 11.1.14;
- (b) A Faculty Member may qualify for promotion to the rank of Professor if the Faculty Member has achieved an outstanding record of service to the University, the profession, and the community, as defined in Articles 8.4.12 and 11.1.14, and also has established a very good record of both teaching and scholarship. Extensive involvement in administrative work does not by itself constitute outstanding academic service.

12.2 RANK – PROFESSIONAL LIBRARIANS

The following specific criteria for different ranks shall apply:

12.2.10 Librarian I

A Librarian I shall have a master's degree in Library Science or its equivalent as a minimum qualification.

12.2.11 Librarian II

A Librarian II, in addition to meeting the qualifications of Librarian I, shall ordinarily have three (3) years of successful relevant experience as a Professional Librarian and evidence of professional development.

12.2.12 Librarian III

A Librarian III, in addition to meeting the qualifications of Librarian I, shall ordinarily have seven (7) years of successful relevant experience as a Professional Librarian and evidence of on-going professional development.

12.2.13 Librarian IV

A Librarian IV, in addition to meeting the qualifications of Librarian I, shall ordinarily have twelve (12) years of successful relevant experience as a Professional Librarian and evidence of significant professional development and evidence of administrative and/or organizational ability.

12.2.20

For the purposes of this Article, professional development includes such things as research; publication; presentation at professional conferences; participation at a responsible level in library organizations; service on committees within the University; completing courses, workshops or seminars; and receiving additional degrees, diplomas and/or certificates.

12.3 RANK – LECTURER STREAM (LS)

The Lecturer Stream shall consist of two ranks: Lecturer (LS) and Senior Lecturer (LS)

12.3.10 Lecturer (LS)

A Lecturer (LS) is a member of a Faculty of the University who shall have the following minimum qualifications:

- a) A master's degree or professional accreditation equivalent to a master's degree, or considerable work leading to a doctoral degree; or, professional study and experience deemed the equivalent of a master's degree.

- b) Aptitude for teaching university students.

12.2.11 Senior Lecturer (LS)

A Senior Lecturer (LS) is a faculty member who in addition to meeting the requirements of a Lecturer (LS) is an individual who has distinguished themselves as an outstanding teacher and who has demonstrated a satisfactory level of service.

ARTICLE 13.0 DEPARTMENT CHAIRPERSONS, DIRECTORS OF DIVISIONS, PROGRAMME COORDINATORS AND ASSOCIATE DEANS - FACULTY

13.1.10 Department Chairpersons' Responsibilities

- (a) The Chairperson is "primus inter pares" in an academic department, provides academic and administrative leadership within the Department, represents the Department to other areas of the University, and works to achieve, in cooperation with the Department, Dean and other bodies of the University, progress and development in all matters affecting the academic life of the Department, the Faculty and the University. In representing the Department, the Chairperson will put forward the view of the Department.
- (b) Although responsible for communication, organization and administration within the Department, the Chairperson remains a scholar for whom teaching and research are also fundamental responsibilities.
- (c) Basic departmental policy, developed within the framework of Faculty and University policies, will be established and approved by the Department. The Chairperson shall execute such policies and be responsible administratively to the Dean.
- (d) In keeping with the concept of 'primus inter pares' the Chairperson shall make available to Department members all information relevant to the organization, administration and representation of the Department.

13.1.11

The Chairperson shall exercise the following particular responsibilities:

- (a) Initiate and formulate departmental academic policies, and the planning and development of academic programs;
- (b) Supervise generally the programs and progress of students in the Department; organize and oversee departmental advising aimed at providing students with

timely and accurate academic advice with respect to course selection and fulfilment of program regulations;

- (c) Provide feedback on annual reports to probationary faculty in accordance with 10.1.12(b);
- (d) Advise faculty colleagues, where appropriate, on their professional growth in teaching, research and service;
- (e) Make known and carry out University policies as formulated by the Employer, Senate or Faculty as they affect the Department; and assist the Dean in resolving cases where such policies are not followed.
- (f) Meet annually with the Dean to review the operation of the Department.
- (g) Prepare, in consultation with Department colleagues, proposed course offerings and submit these to the Dean for review by the deadline stipulated by the Academic Vice-President. Where the Dean has concerns with the proposed course offerings, the Dean shall refer the proposed course offerings back to the Chair for further consideration and consultation with the Department in light of the concerns. The Chair shall then submit an updated set of proposed offerings, including any revisions, to the Dean for approval;

In consultation with the Chairperson, the Dean shall establish enrolment limits for each class within existing room allocations. There shall be no change from these initial class limits without consultation with the Chair.

- (h) Prepare, in consultation with department colleagues and the Dean, an allocation of teaching responsibilities (no change is to be made in this allocation without the knowledge and consent of the Department): with the exception of teaching assignments for new Faculty Members the final allocation shall be made known to all concerned at least ninety (90) days before the commencement of the Academic Year in which the assignments will take effect. The ninety (90) day notice period above shall not apply when a course has to be cancelled according to the provisions of 13.1.11(k). In such a situation the Faculty Member will be given an appropriate replacement assignment by the Chairperson in consultation with the Dean, Department and Faculty Member;
- (i) Advise Department members including part time faculty of their teaching, research and other responsibilities, and bring to the attention of a colleague any reported allegations of neglect of such responsibilities within thirty (30) days of any such allegations having been made.
- (j) Initiate recommendations relating to departmental appointments, renewals, promotions or tenure in accordance with provisions of the Agreement;
- (k) Advise the Department and Dean of courses or sections of courses which may have to be cancelled for lack of instructors or students: the decision to cancel courses shall rest with the Dean;

- (l) Prepare budget estimates for departmental approval and administer authorized budgets;
- (m) Facilitate appropriate student involvement in the affairs of the Department;
- (n) Call and chair meetings of the Department at least four times during the Academic Year with at least two held each semester, at which meetings the Chairperson shall have a vote.
- (o) Communicate on a regular basis with part-time faculty, who may elect a representative to attend departmental meetings.
- (p) In allocating teaching assignments to Department Members, the Chairperson will take into account factors such as, the course levels, class sizes, number of course preparations, the availability of teaching assistants and others factors contributing to workload.

13.1.20 Appointment

A Nominating Committee shall be established, composed of:

- (a) Three members of the Department or, where this is impossible, of cognate departments, who hold the rank of Lecturer (LS), Assistant Professor or higher, to be elected by the Department; at least one of these members shall be tenured;
- (b) One tenured Faculty Member from outside the Department, to be elected by the Faculty;
- (c) One fourth-year, honours, or graduate student concentrating in a discipline within the department, to be chosen by the Dean.
- (d) The Dean of the Faculty, who shall be Chairperson and have a vote.

13.1.21

The Chairperson shall be appointed for a period of three (3) to five (5) years according to the procedures described below:

- (a) If there is a vacancy at the appropriate level in the Department at the time a Chairperson is sought, the Nominating Committee shall advertise the position nationally in suitable media, except in the case where the Academic Vice-President decides after consultation with the Dean and the Department that the initial search shall be restricted to internal Candidates. If no vacancy exists at the time a Chairperson is sought, the nominating committee shall solicit nominations of internal candidates from within the Department in the first instance.
- (b) The Committee shall examine the dossiers of all candidates and draw up a short list for interview. Members of the Department shall be given an opportunity to meet any external candidates who are brought in for interview.

- (c) Before finally determining upon its nomination, the Committee through its Chairperson shall ascertain that the nominee is acceptable to the majority of the members of the Department.
- (d) The Nominating Committee shall submit its nomination to the Vice-President, Academic and Research who shall make the decision on appointment.
- (e) Approval of any external candidate as Chairperson is contingent upon their appointment as a Faculty Member according to Article 10.1.
- (f) A chairperson shall not normally take a sabbatical during their term. When this results in the deferral of a sabbatical, the provisions of Article 19.3.20 (c) (vi) shall apply, except in the case of leave of absence in recognition of administrative service as provided for in Article 19.11.

13.1.22

- (a) An incumbent Chairperson may be reappointed to a second term by a recommendation from the department based on a majority vote of its members. No chairperson shall serve more than two consecutive terms.
- (b) The Department shall submit its recommendation to the Dean. The Dean shall forward this recommendation to the Academic Vice President with his/her own recommendation. The decision on the appointment shall be made by the Academic Vice President. In the event the Academic Vice President does not accept the Department's recommendation, the Department may refer the matter to the President, whose decision shall be final.
- (c) In the event that a Department does not recommend the reappointment of an incumbent Chairperson, a Nominating Committee shall be established according to Article 13.1.20.

13.1.23

The Department may elect an Acting Chairperson by majority vote for a term no longer than one year only under the following circumstances:

- (a) Where the nominating process has failed to result in the appointment of a Chairperson;
- (b) In exceptional cases, where the Chairperson is on sabbatical or other approved leave.

The Department's recommendation shall be forwarded to the Vice-President, Academic and Research, through the Dean. In cases where the Chairperson or Acting Chairperson is on vacation or away from campus for a period of up to 20 days, the Chairperson or Acting Chairperson shall designate a Department member to act as Chair.

13.1.24

When a Chairperson, who is not being reappointed, goes on sabbatical or other approved leave during the final year of an appointment or reappointment, the term as Chairperson shall expire with commencement of the leave. When a Chairperson, who is being reappointed, goes on sabbatical or other approved leave during the final year of an appointment or reappointment, the term as Chairperson shall continue and an Acting Chairperson shall be appointed for the term of the leave.

13.1.40 Recall of Department Chairperson

- (a) A two-thirds majority decision of the Faculty Members in the Department, determined by secret ballot, shall constitute a recall of the Department Chairperson.
- (b) In the case of a Chairperson failing to fulfill their responsibilities as defined in Article 13.0, the Dean may recommend to the Department that the Chairperson be recalled. The Dean shall accompany this recommendation with their reasons in writing. The Department shall respond to the Dean in twenty (20) days stating whether a majority of the Department agrees or disagrees with the Dean's assessment of the Chairperson.
 - i) If two-thirds of the Department as determined by secret ballot agrees with the Dean's recommendation, this vote shall constitute recall of the Chairperson under Article 13.1.40(a).
 - ii) If less than two-thirds of the Department as determined by secret ballot agree with the Dean, both the Dean's recommendation and Department's recommendations shall be forwarded to the Academic Vice-President who shall in turn make a recommendation to the President. The decision with respect to the recall shall rest with the President.
- (c) Recall of a Chairperson shall be followed by the procedures for appointment set out above.

13.1.50 Directors of Divisions

The Director of a Division shall be appointed in the same manner as a Chairperson, the members of the Division acting as a Department. The Director of a Division shall exercise those responsibilities of a Department Chairperson as specified in Article 13.0 consistent with established past practice appropriate to the operations of the Division. It is also recognized, however, that the Director of a Division has additional responsibilities and is responsible to the Dean for the supervision and administration of degree, diploma and/or certificate programmes.

13.1.60 Programme Coordinators

- (a) Programme Coordinators are responsible for the integration, planning and development of the appropriate course offerings which constitute the program and which may involve several Departments. They are to pursue the development of their programmes in consultation with the appropriate Deans and Chairpersons. They are administratively responsible to the Dean of the Faculty under whose auspices the programme is offered.
- (b) The Programme Coordinator is “primus inter pares” among Faculty Members holding appointments or cross-appointments (per Article 10.1.24 and 10.1.25) in the interdisciplinary programme. In representing the Programme, the Programme Coordinator shall put forward the view of the Faculty Members teaching in the Programme and those Faculty Members who taught during the period September 1st to August 31st of the previous year.
- (c) In keeping with the concept of ‘primus inter pares’ the Programme Coordinator shall make available to Programme members all information relevant to the organization, administration and representation of the Programme.

13.1.61

Programme Coordinators shall exercise the following particular responsibilities:

- (a) Initiate, formulate and recommend academic policies relating to the programme;
- (b) Supervise generally the progress of students engaged in the programme; organize and oversee programme advising aimed at providing students with timely and accurate academic advice with respect to course selection and fulfilment of programme regulations;
- (c) Advise students engaged in the programme and approve their course selections;
- (d) Make known and carry out University policies as formulated by the University, Senate or Faculty as they affect the programme; and assist the Dean in resolving cases where such policies are not followed;
- (e) Prepare budget proposals for the programme and administer authorized budgets;
- (f) Call and chair meetings at least once a semester during the Academic Year of the Faculty Members teaching in the programme and those Faculty Members who taught during the period September 1st to August 31st of the previous year, and at such meetings the Programme Coordinator shall have a vote.

13.1.62

Programme Coordinators remain scholars for whom teaching and research are fundamental responsibilities.

13.1.63

Programme Coordinators shall be appointed as prescribed in Articles 13.1.20, 13.1.21, and 13.1.22 and may be recalled as prescribed in Article 13.1.40 except that, for the purpose of appointing or recalling a Programme Coordinator, the full-time Faculty Members holding appointments or cross-appointments (per Articles 10.1.24 and 10.1.25) in the Programme shall be considered to be the "Department". In carrying out its work, the Nominating Committee shall consult with the Dean of Graduate Studies and Research, where the programs involve graduate students.

13.1.65

In a case where a permanent Programme Coordinator is not available or cannot be obtained due to circumstances as defined in Article 13.1.23, the Department may elect an Acting Program Coordinator by majority vote for a term of no longer than one (1) year, failing which the Dean may appoint a Faculty Member to fill the position or may assume the position themselves for a term of no longer than one (1) year. The Department's recommendation on an Acting Programme Coordinator or advice that no Acting Coordinator is available should be forwarded to the Vice-President, Academic and Research through the Dean.

13.1.70 Associate/Assistant Deans

13.1.71

Associate/Assistant Deans shall exercise those responsibilities assigned by the Dean, which are appropriate to the operations of the Faculty. While the Associate/Assistant Dean is responsible to the Dean for the supervision and administration of degree, diploma and/or certificate programmes, they remain a scholar for whom teaching and research are fundamental responsibilities.

13.1.72 Appointment

- (a) At the request of the Dean, a Nominating Committee shall be established composed of: three members of the Faculty who hold the rank of Assistant Professor or higher, to be elected by the Faculty, and at least one of these members shall be tenured; one fourth-year, honours or graduate student concentrating in a discipline within the Faculty, to be chosen by the Dean; and the Dean.
- (b) The Dean shall chair the committee and have a vote.

13.1.73

The Associate/Assistant Dean shall be appointed for a period of three (3) to five (5) years, with eligibility for re-appointment for one additional term, according to the procedures described below:

- (a) The Nominating Committee shall advertise the position internally.

- (b) The Committee shall examine the dossiers of all candidates and draw up a short list for interview.
- (c) The Nominating Committee shall schedule a meeting between any short-listed candidates and Members of the Faculty, following which it shall solicit the views of the Members of that Faculty on the suitability of the candidates for the position.
- (d) The Nominating Committee shall submit its nomination to the Academic Vice-President. Should the Dean question the Nominating Committee's recommendation, the Dean may make a separate report and forward it on with the Nominating Committee's recommendation to the Academic Vice-President.
- (e) The Academic Vice-President shall make a recommendation to the President.
- (f) The decision on the appointment shall be made by the President.
- (g) An Associate/Assistant Dean shall not normally take a sabbatical during their term. When this requirement results in the deferral of a sabbatical, the provisions of Article 19.3.20(c)(vi) shall apply, except in the case of leave of absence in recognition of administrative service as provided for in Article 19.11.

13.1.74

An incumbent Associate/Assistant Dean may be reappointed for a second term by a recommendation of the Dean, in consultation with the Faculty Executive, and the approval of the Academic Vice President and the President.

13.1.75

In cases where the Associate/Assistant Dean is on vacation or away from campus for a period of up to 20 days, the Dean may designate a Faculty member to act as Associate/ Assistant Dean.

13.1.76 Recognition

In addition to an honorarium, the Academic Vice-President shall grant a reduction in teaching duties appropriate to the Associate/Assistant Dean's responsibilities.

13.1.80

A Faculty Member may normally serve in only one of the following positions at the same time: Program Coordinator, Director of a Division, Assistant or Associate Dean and Department Chairperson.

ARTICLE 14.0 COUNCILS

14.1 FACULTY COUNCIL

14.1.10

- (a) There shall be a Faculty Council for each of the Faculties of the University (see Article 1.1(f)) composed of all the Faculty members employed in that Faculty or in the case of the Faculty of Graduate Studies and Research all full-time faculty members. The Dean of the Faculty shall be chairperson and have a vote.
- (b) The functions of the Faculty Council shall be to consider all matters of general academic interest to that Faculty and specifically to consider, amend and formally approve additions or amendments to the academic programs of the Faculty, prior to their being considered by the appropriate academic bodies of the University.
- (c) The Faculty Council shall meet at least once a Semester.
- (d) Each Faculty Council shall draw up a constitution governing its operation, which prior to promulgation thereof shall be subject to approval of the full Board of Governors of the University.

14.1.11 Executive of the Faculty Council

- (a) The Chairpersons of the Department in a Faculty or their designates, such other members as specified in the constitution of that Faculty, and the Dean of that Faculty shall constitute the Executive of the Faculty Council. The Dean shall be chairperson of the Executive and have a vote.
- (b) The functions of the Executive shall be to formulate proposals on additions or amendments to the academic programs of the Faculty for submission to the Faculty Council.
- (c) Minutes of executive meetings shall be kept and circulated to members of the Executive, who, in turn, shall make them available to Faculty Members.

14.2 LIBRARY COUNCIL

14.2.10

A Library Council consisting of the University Librarian, who shall be an ex-officio member and chairperson of the Council, and all Professional Librarians shall meet at least once a semester, to consider matters relating to the general welfare and functioning of the Library.

14.2.11

At the first meeting of the Council each academic year, a secretary shall be elected from the Librarian members to record the minutes of meetings and to assist in the preparation of agendas.

14.2.12

Agendas shall be prepared by the University Librarian in consultation with the secretary of the Council and sent to members at least two days prior to a Council meeting.

14.2.13

Additional meetings may be called at any time by the University Librarian or on written request by a majority of members of the Library Council.

14.2.14

Recommendations of the Library Council shall be considered by the University Librarian in making decisions relating to the general welfare and functioning of the library.

ARTICLE 15.0 WORKING CONDITIONS AND MISCELLANEOUS PROVISIONS

15.1 WORKING CONDITIONS – FACULTY MEMBERS

15.1.10

- (a) A Faculty Member's appointment, except as provided for in 10.1.10 (c) and (d), shall be on a full-time yearly basis from September 1 to August 31 of the following year inclusive. Although they shall not be obliged to be at the University outside the Academic Year, it is agreed that the Faculty Member, as a professional academic, will undertake research, study, or professional activities whenever they are not engaged in teaching or other administrative duties, whether during the Academic Year or outside it, excepting for a vacation period of one month.
- (b) A Faculty Member shall be deemed to have repudiated their appointment and thereby terminated their rights under the Agreement if:
 - i) They engage in full-time employment outside the University without the President's prior written consent, or
 - ii) They are absent from their classes for ten (10) consecutive days or more without leave or permission from the President excepting in circumstances beyond their control.

- iii) They are absent from their classes for five (5) or more consecutive days during summer school without leave or permission from the President excepting in circumstances beyond their control.

15.1.11 Standard Daily Hours

- (a) Classes taught by a Faculty Member shall be scheduled to begin no earlier than 8:30 a.m. and no later than 4:30 p.m., unless it is the expressed wish of the Faculty Member and their Department Chairperson that the class be scheduled to begin outside these hours.
- (b) A Faculty Member's classes shall not be scheduled consecutively on any given day excepting with the employee's consent.

15.1.12 Standard Teaching Load

- (a) In order to promote scholarship within the University the standard teaching load for each full-time Faculty Member shall normally be 2.5 full year credit courses or equivalent. Normally, the 2.5 courses will be taught during the Academic Year, but at the member's request, and with the approval of the Chairperson and Dean, 0.5 courses may be taught during the Spring or Summer sessions immediately preceding the Academic Year.

Notwithstanding 15.1.12(a), a faculty member appointed under 10.1.10(c)iv) shall have a teaching load of 3.0.

The standard teaching load for each full-time faculty member shall normally be 2.0 full year courses during each of the first two years of their first probationary appointment.

- (b) Notwithstanding 15.1.12(a) a Faculty Member may elect a standard teaching load of 3.0 full year credit courses or equivalent by notifying the Department Chairperson.
- (c) The standard teaching load for Faculty Members who do not demonstrate a level of scholarship appropriate for their discipline and rank will be increased to 3.0 full year credit courses or equivalent. The Dean, in consultation with the Chairperson, shall assess the Faculty Member's scholarship for a two year period immediately preceding the academic year in which the 3.0 teaching load is to take effect. If the Faculty Member disagrees with the assignment of the 3.0 teaching load, they may appeal the decision. Any appeal shall be made, in writing, within one (1) month of the date of the written notification of the decision to the University Review Committee under Article 15.1.12(d). In the case of an appeal, any such increase shall be in effect pending the outcome of the appeal and the 3.0 teaching load will be assigned in the next Academic Year. The increase in teaching load shall remain in effect until the faculty member applies for reinstatement of the 2.5 teaching load and the Dean and the Department support reinstatement. The Faculty Member must remain on the 3.0 teaching load for a minimum of one (1) Academic Year

before they could be reinstated to a 2.5 teaching load subject to the process in 15.1.12 (d). The Union shall be notified of all Faculty Members who have received an increase in their standard teaching load.

- (d) In those cases where the teaching load has been increased to 3.0 full year credit courses or equivalent, according to 15.1.12(c), the Faculty Member may appeal that decision to the University Review Committee. Also, in those cases where the Dean and Chairperson disagree on the assignment of 3.0 full year credit courses or equivalent, or reinstatement of a 2.5 full year credit courses or equivalent teaching load, such cases shall be referred to the University Review Committee. Based solely on an assessment of the Faculty Member's Annual Reports, the Dean in consultation with the Department Chair, shall make a recommendation to the University Review Committee; the University Review Committee will review the case and make a recommendation to the President who shall make the final decision. In cases where the Dean and the Chairperson disagree, the Chairperson shall also submit a recommendation to the University Review Committee. All procedures of the University Review Committee specified in this Collective Agreement must be followed, including but not limited to, the provision of copies of the Dean's recommendation and the right of the Faculty Member to respond to that recommendation.
- (e) For operational reasons Faculty Members may be requested or required to bank up to 0.5 credits for a maximum of one year. For greater clarity this means that a Faculty Member with a teaching load of 2.5 may be required to teach a 3.0 full year credit courses or equivalent teaching load in an academic year which would be followed by a 2.0 full year credit courses or equivalent teaching load in the subsequent year. Faculty Members may bank up to 0.5 credits for a maximum of one year. Any banked credits must be used in the academic year following that when the banking occurred unless the Faculty Member is on an approved leave, in which case the banked credit shall be used upon the Faculty Member's return.
- (f) Notwithstanding Article 15.1.11(a) a Faculty Member may be assigned to teach a total of 0.5 credits during the academic year in courses which are scheduled to start later than 4:30 p.m. to accommodate the normal standard teaching load of 2.5 credit courses or equivalent. A Faculty Member who has elected or been assigned a teaching load of 3.0 credit courses under 15.1.12(b) may not be required to teach any course that starts later than 4:30 p.m.
- (g) The Union and Employer worked cooperatively to provide for an orderly transition from a 3-3 workload to a 3-2 workload and shall continue to do so. Such cooperation shall include, but not be limited to, a review of class sizes, course offerings, classroom space, timetables, and the use of part-time and overload assignments as well as the use of technology and web-based instruction. Setting of the University timetable is the responsibility of the Academic Vice President or their designate who shall determine the overall timetable in consultation with Deans, Chairpersons and Program Coordinators. The allocation of teaching responsibilities is the responsibility of the Chairperson (Article 13.1.11(h)).

Faculty Members shall cooperate in carrying out their assigned teaching allocation and schedule.

- (h) For purposes of the Agreement, one hour of a laboratory period shall be considered to equal one-half hour of a class period. A Faculty Member must be physically available to the laboratory for the whole of the period for which they are claiming teaching load credit.
- (i) Faculty Members assigned primary responsibility for supervising one or more dissertations of doctoral students registered at Saint Mary's University shall be awarded a workload reduction up to one (1) full year credit course during the academic year(s) in which the student(s) is (are) registered for, and actively engaged in, preparing their dissertations. Faculty Members will receive a 0.5 full-year course reduction per academic year while supervising the thesis (theses) of one, two or three doctoral students, and a 1.0 full-year course reduction per academic year for supervising the theses of more than three students. An individual doctoral candidate will be counted toward the calculation of course reductions for thesis supervision for no more than two years. Normally the course reduction will be taken at the time that the supervision is being done. For operational reasons Faculty Members may be required to bank up to 0.5 full-year course reduction for a maximum of one year as defined in Article 15.1.12(e). Any banked credits must be used in the academic year following that when the banking occurred unless the Faculty Member is on an approved leave, in which case the banked credit shall be used upon the Faculty Member's return.
- (j) It is understood that the Union President, during the period of their presidency, is exempt from the normal committee assignments at the Departmental and University levels and shall be awarded a workload reduction equivalent to one and one-half (1.5) credit courses. In those cases where a Professional Librarian is serving as the Union President the reduction in workload awarded the Professional Librarian shall be the equivalent of one work day per week. The Union shall have the right to purchase, at the prevailing rate for overload payments as specified in Article 16.3.10, up to the equivalent of two (2.0) full-credit course reductions to be allocated at the Union's discretion amongst the Members of the Union Executive and the Chair of the SMUFU Health and Wellness Trust.
- (k)
 - i) A Faculty Member shall not be obliged to teach on the basis of overload. Where such an assignment is undertaken the Faculty Member shall not teach more than one full course (1.0 credit) during the Academic Year, nor shall they teach more than one full course (1.0 credit) in the summer. Compensation for such overload teaching shall be according to the provisions of Article 16.3.10.
 - ii) Notwithstanding 15.1.12 (k)i), where a Faculty member undertakes an overload course assignment which includes an

associated laboratory or tutorial session, the Faculty member may teach the laboratories or tutorials associated with the course to the extent that the total credits for the course and the associated laboratories or tutorials do not exceed 0.75 credits per semester or 1.5 credits during a summer session.

- (l)
 - i) Within two weeks of the close of registration for the course, the Faculty Member shall be provided with a letter authorizing them to undertake an overload assignment.
 - ii) The Union shall be informed of each overload assignment and shall be provided with a copy of the document confirming such assignment, which shall include a stipend figure. The Union shall also be informed of the cancellation of overload assignments.
- (m) All overload teaching assignments must first be offered to qualified full-time Faculty.
- (n) The teaching load for Faculty holding appointments under Article 10.1.10(d) shall not normally exceed in any one semester, the equivalent of one (1) full year credit course.
- (o) The Union shall be informed of all course reductions granted in accordance with Article 15.1.30.

15.1.13 Student Advising

- (a) It is understood that a Faculty Member's responsibility as a teacher extends beyond the classroom to include student advising, academic counselling, supervision of tests and examinations, evaluation of student performance and grading.
- (b) A full-time Faculty Member shall set aside at least six (6) hours a week in their office and/or elsewhere on campus for student advising and consultation. The hours of availability shall be posted on the Faculty Member's office door.
- (c) Faculty Members will assist in providing academic advising during regular registration, late registration, and the period allowed for course changes at the beginning of each term.
- (d) Faculty Members will also assist in providing academic advising during early registration and for such other purposes as are determined by Senate. The particular manner of such assistance is to be determined by each department in accordance with the procedures approved by Senate.
- (e) Faculty Members shall, except where there are extenuating circumstances, comply with the deadlines and procedures established by Senate for reporting the grades of their students. If a Faculty Member is unable to meet these deadlines or comply

with these procedures, the Faculty Member shall notify, in advance and in writing, their Chairperson and Dean stating the reasons for any such difficulty.

- (f) Grades submitted to the Registrar's Office by Faculty Members shall not be changed or altered in any way except through procedures established by Senate.

15.1.14 Outside Employment

- (a) For the purposes of this article, "outside employment" means:
 - i) Employment in any capacity by another employer including the carrying out of teaching duties
 - ii) Consulting
 - iii) Personal services contracts
 - iv) Private practice of the Employee's profession
 - v) Self-employment
- (b) Employees may engage in outside employment provided that such employment is in reasonable balance with their normal academic duties and responsibilities as defined in this Collective Agreement.
- (c) Outside employment by full-time employees that involves an average of more than one (1) working day per week requires prior approval of the Academic Vice-President. Before coming to a decision, the Academic Vice-President shall consult the Employee's Chairperson for advice on the potential impact of the outside employment on the academic unit and shall consider the relationship of the proposed employment to the Employee's area of specialization or expertise. The Employer's approval shall not be unreasonably denied.
- (d) Except in cases where an Employee's outside employment involves the minor or incidental use of University space, equipment, facilities, supplies, or services, use of such space, equipment, facilities, supplies, or services shall be subject to prior negotiation with the Employer. Costs for the use of University facilities, supplies or services shall be borne by the Employee at prevailing rates negotiated with the Employer, unless the Employer agrees, in writing, to waive all or part of such costs.
- (e) Employees may state the nature and place of their employment, rank and title(s) in connection with outside employment and to use their university address as a mailing address so long as they do not purport to represent the Employer or to speak on the Employer's behalf.
- (f) The Employee shall, upon written request, provide the Employer with information on the nature and scope of their outside employment. Such requests shall not be made more than once in any three (3) month period.

- (g) Employees agree not to engage in any outside employment, which conflicts with their duties and responsibilities at the University as defined in this Collective Agreement.

15.1.15 Outside Professional Activities

- (a) For the purpose of this article, "outside professional activities" means participation in the activities of professional associations, learned societies, faculty associations, or voluntary practice of the employee's profession, which support and/or promote the advancement of research, scholarship, teaching, artistic creation, or professional development, and improve the working conditions of academic staff.
- (b) Employees have the right to participate in outside professional activities in accordance with Article 8.4.12.
- (c) Participation in outside professional activities is deemed to represent contributions to the profession and/or the community for purposes of any evaluation carried out under this Collective Agreement.
- (d) The Employer agrees to support an Employee's participation in professional associations and/or learned societies where possible.
- (e) When engaging in outside professional activities, Employees shall not claim to speak on behalf of the Employer unless specifically authorized to do so.
- (f) Employees may state the nature and place of their employment, rank and title(s) in connection with outside professional activities and to use their university address as a mailing address so long as they do not purport to represent the Employer or to speak on the Employer's behalf.

15.1.16 Technologically Mediated Courses and/or Programs

- (a) Technologically Mediated Courses and /or Programs include, but are not limited to, those which:
 - i) Are taught by correspondence, by teleconferencing;
 - ii) Are videotaped, recorded, broadcast or televised; or
 - iii) Are transmitted or received via the Internet or World Wide Web.
- (b) The introduction of Technologically Mediated Courses and/or Programs shall follow the established procedures of Senate and shall be collegial in nature, specifically
 - i) Academic decisions regarding the approval of which new course(s) will be offered by the University and the objectives for said course(s) are ultimately the responsibility of Senate.

- ii) Pedagogical decisions regarding how to teach a particular course are the responsibility of the Employee who has primary responsibility for designing the course, in consultation with the Department.
 - iii) Recognition of external courses for credit towards a Saint Mary's University degree, including those which are Technologically Mediated, is the responsibility of Senate or its designate.
- (c) Employees shall not be compelled to teach Technologically Mediated Credit Courses. Employees may be assigned to teach Technologically Mediated Credit Courses only with their consent. When an employee agrees to teach such a course, it shall constitute part of the employee's normal or overload teaching assignment in accordance with Article 15.1.12 of this Agreement.
- (d) Faculty who are assigned to teach Technologically Mediated Credit Courses shall hold appointments under Article 10.00. The Employer shall not offer Technologically Mediated Credit Courses for academic credit, either in whole or in part, unless a Faculty member holding an appointment under Article 10.00 has been assigned to the Course, in accordance with Article 13.1.11(h). Any technologically mediated Credit Course and/or Program course imported onto campus by any means must have a Faculty Member assigned to it in accordance with this article; i.e., 15.1.16(d)
- (e) Employees who consent to teach Technologically Mediated Credit Courses shall be provided with training and support services.
- (f) Technologically Mediated Courses shall be recorded or stored only with the permission of the Employee assigned to the Technologically Mediated course. Such permission will not be unreasonably withheld.
- (g) All relevant aspects of Article 15.00 apply to Technologically Mediated Credit Courses.

15.1.17 Technologically Mediated Information Literacy Classes

- (a) Technologically Mediated Information Literacy Classes include, but are not limited to, those which:
 - i) Are taught by correspondence, by teleconferencing;
 - ii) Are videotaped, recorded, broadcast or televised; or
 - iii) Are transmitted or received via the Internet or World Wide Web.
- (b) Professional Librarians who are assigned to teach Technologically Mediated Information Literacy Classes shall hold appointments under Article 10.2. The Employer shall not offer Technologically Mediated Information Literacy Classes, either in whole or in part, unless a Professional librarian holding an appointment

under Article 10.2 has been assigned to the class, in accordance with the established workload assignment practices for Professional Librarians. Any Technologically Mediated Information Literacy Class imported onto campus by any means must have a Professional Librarian assigned to it in accordance with this Article.

- (c) Professional Librarians shall not be compelled to teach Technologically Mediated Information Literacy Classes. Professional Librarians may be assigned to teach Technologically Mediated Information Literacy Classes only with their consent.
- (d) Professional Librarians who consent to teach Technologically Mediated Information Literacy Classes shall be provided with training and support services.
- (e) Pedagogical decisions regarding how to teach Technologically Mediated Information Literacy Classes are the responsibility of the Professional Librarian who has primary responsibility for designing the class, in consultation with the University Librarian.
- (f) Technologically Mediated Information Literacy Classes shall be recorded or stored only with the permission of the Professional Librarian assigned to the Technologically Mediated Information Literacy Classes. Such permission will not be unreasonably withheld.
- (g) All relevant aspects of Article 15.2 apply to Technologically Mediated Information Literacy Classes.

15.1.20 Professors Emeritus

- (a) A Professor Emeritus shall have the same rights of access to University facilities as a person holding the rank of Professor with the exception of private office space where they shall be entitled to share office space with part-time Faculty Members whereso provided.
- (b) It is acknowledged that a Professor Emeritus may teach as a part-time Faculty Member or apply for Senate and other research grants and travel expenses as provided in Article 18.0 to the extent authorized by the Dean of the appropriate Faculty and within the overall travel budget of that Faculty, provided they are otherwise properly qualified and approved for such teaching or grants.
- (c) The title of Professor Emeritus shall be conferred only on the recommendation and according to the procedures of Senate.

15.1.30 Reduction in Teaching Load for Scholarly Purposes

A reduction in teaching load may be granted in special circumstances or for research or scholarly activities with approval of the Academic Vice-President upon the following conditions:

- (a) Application for teaching load reduction must be forwarded by the applicants to their departments for a recommendation to the Academic Vice President. The

application shall state the purpose(s) for which the reduction is being sought, reasons for the course reduction, and the amount of reduction that is being sought.

- (b) If the reduction is sought for purposes of research or scholarship, the applicant must have received external funding for the research.
- (c) A positive recommendation in favour of the reduction must have been received from the Dean by the Academic Vice-President.

15.1.31

In cases where the teaching reduction is being sought for the purposes of research or scholarship (15.1.30(b)), the applicant shall receive the course reduction that was confirmed by the Employer through the exercise of Article 15.1.30 at the time the Employee submitted a request for external funding.

15.1.32

Where an Employee seeks a reduction in teaching through the operation of 15.1.30 (b), the Employer shall grant the teaching reduction should the Employee be successful in obtaining external funding for research from those granting agencies on a list recommended by the Dean of the Faculty of Graduate Studies and Research and approved by the Vice-President, Academic and Research.

15.2 WORKING CONDITIONS – PROFESSIONAL LIBRARIANS

15.2.10

The Professional Librarian's normal daily work hours shall be seven (7) hours and the normal weekly hours shall be thirty-five (35) hours. Hours may include nights and weekends.

15.2.11

A reduced work week of thirty-two and one-half (32 1/2) hours for Professional Librarians shall be effective on the first Monday of June until the last working day before Labour Day of each year.

15.2.12

Professional Librarians accept that they may be called on at times to work in excess of seven hours in a day. In such cases, they will be given reasonable notice. Any approved work in excess of the normal work week to meet operational requirements shall be compensated at a rate of one and one-half times the Professional Librarian's normal rate of pay calculated on an hourly basis for that purpose, or at the option of the Employer, time off in lieu thereof on a basis of one and one-half hours off for each one hour of overtime worked.

15.2.13

Compensation for Professional Librarians' time voluntarily worked in excess of the minimum hours per week (15.2.10 or 15.2.11) shall be compensated at the rate of one (1) hour for each hour worked.

15.2.14

Normally, overtime accumulated by a Professional Librarian will not exceed thirty-five (35) hours. Time off for all overtime shall be taken at a mutually agreed upon time.

15.2.15

Professional Librarians who are required to work on a paid holiday shall receive the same compensation as stated in 15.2.12 and shall be subject to the same conditions. The following shall be considered paid holidays for the purpose of this Agreement:

New Years Day	Labour Day
Good Friday	Thanksgiving Day
Easter Monday	Remembrance Day
Victoria Day	Christmas Eve (designated .5 day)
Canada Day	Christmas Day
Halifax Natal Day	Boxing Day

or the day(s) officially proclaimed in lieu of such paid holidays and any holidays declared by the Employer in any year to be of general application throughout the University.

15.2.16

In accordance with Article 12.2, professional development, as defined in 12.2.20, is normally part of a Professional Librarian's workload. Professional development activities need not take place on campus.

15.2.20 Vacation - Professional Librarians

15.2.21

Service for vacation purposes shall mean continuous compensated service in the employ of the Employer.

15.2.22

Annual vacations shall be granted on the following basis:

- (a) During the term of this Agreement, Employees who have less than one (1) year's service on the 1st day of June of each year will be granted vacation on the basis of one and two-thirds (1-2/3) days' vacation with pay for every full month worked prior to June 1st;
- (b) During the term of this Agreement, Employees who have completed one (1) year's service on the 1st day of June in each year will be granted twenty (20) days' vacation with pay. Employees who have completed twenty (20) year's service on the 1st day of June in each year will be granted twenty-two (22) days' vacation with pay.

15.2.23

The vacation period is normally June 1 to September 1 in each year, but the Employee may take their annual vacation at any time during the year that is agreeable to the University Librarian or their delegate. Vacation which has not been taken by the Employee by March 31 of any year may be scheduled off by the Employer. Vacation credit, or any part thereof, shall not be cumulative or transferable from one year to another except with the consent, in writing, of the University Librarian.

15.2.24

If while on vacation an Employee's annual vacation is interrupted for a period of five (5) consecutive calendar days through serious illness or injury, or for a shorter period, all or part of which involves hospitalization or death in the immediate family which qualifies for bereavement leave, the period of annual vacation displaced may be charged against an Employee's sick or bereavement leave credits when medical evidence satisfactory to the University is provided.

15.2.25

If a paid holiday as outlined in 15.2.16 falls on a Professional Librarians' scheduled day off or within their vacation period, they shall be entitled to a paid holiday on the first working day following their vacation, unless other mutually agreeable arrangements are made in advance.

15.3 MISCELLANEOUS PROVISIONS

15.3.10 Meeting with Candidates for Academic Administrator Appointment

Subject to the Employer's By-laws relating to the initial appointment of Academic Administrators by the Employer, it is agreed that, on a suitable arrangement to be determined by the relevant Search Committee, members of the appropriate Faculty or Faculties or Professional Librarians shall be given the opportunity to meet with those candidates for Academic Administrator appointment selected by the Search Committee for formal presentation to the Employer, and other candidates for Academic Administrator appointment who in their initial visit to the University request an opportunity for such a meeting.

15.3.20 Legal Liability

The Employer shall indemnify and save harmless all members of the Faculty and Professional Librarians from legal liability and all actions, causes of action, claims or demands whatsoever arising out of any occurrence occurring during the course of or performed pursuant to and within the scope of their employment, save and except in the case of gross negligence or wilful misconduct, provided timely notice is given to the Employer of any occurrence giving rise or likely to give rise to a claim against a member of the Employer.

15.3.30 Conflict of Interest

- (a) No Employees shall knowingly participate in procedures for appointment, renewal, promotion or tenure of an individual with whom the Employee has an immediate marital, familial, sexual or significant financial relationship. Employees having such a conflict(s) of interest shall notify in writing their Dean/University Librarian and the Chair(s) of the University Committee(s) responsible for dealing with appointments, renewals, promotions or tenure that they have a conflict of interest under this Article. The specific nature of the conflict of interest need not be disclosed.
- (b) If the Dean/University Librarian or the Chair of the relevant University Committee receives a complaint(s) about a potential conflict of interest of any Employees involved in appointment, renewal, promotion or tenure proceedings, that Dean/ Chairperson/University Librarian shall forward the complaint to the Academic Vice President. The Academic Vice President will discuss the matter with the Employee concerned. If there is even an appearance of a potential conflict of interest, it is agreed that the Employee will withdraw from any further participation in the aforesaid procedures. The Vice President Academic's decision is final.

15.4 INTELLECTUAL PROPERTY, PATENTS AND COPYRIGHT

15.4.01

Intellectual property means any result of intellectual or artistic activity, created by an Employee, that can be owned by a person. This includes, but is not limited to, inventions, publications, computer software, works of art, industrial or artistic designs, as well as other creations that can be protected under patent, copyright, or trademark laws.

15.4.02

Any provisions of Article 15.4 shall apply to joint creators of a piece of intellectual property, on a pro rata basis.

15.4.03

All intellectual property is owned by the Employees who create it except in those cases:

- (a) Where there is a written contract to the contrary between the creator, the Employer, and/or a third party which assigns the ownership rights of the intellectual property to the Employer or the third party; or
- (b) Where the Employer provides funds, resources, and facilities to the Employee beyond those required for the payment of the Employee's salary and benefits, for the provision of a normal academic environment in which to work, and for the performance of a regular workload by the Employee, in which case, the Employee shall agree to share ownership rights of the intellectual property with the Employer.

15.4.04

The Employer shall not enter into any agreement with a third party which alters or abridges, or has the effect of altering or abridging, the intellectual property rights of an Employee without the Employee's written consent.

15.4.05

The Union shall be given copies of the agreements or contracts specified in Article 15.4.03 within 10 days of their conclusion. The nature and content of these agreements or contracts will remain confidential.

15.4.06

In recognition of the Employer's commitment to scholarship, including teaching, research, and publication activities:

- (a) The Employer agrees that Employees have complete intellectual and artistic freedom in the creation of intellectual property and the unqualified right to disseminate by any means whatsoever the intellectual property which they own; and
- (b) The Employee shall grant to the Employer a non-exclusive, royalty free, irrevocable, indivisible, and non-transferable right to use, solely for internal, non-commercial educational and research purposes, all intellectual property developed by Employees with the use of the Employer's time, facilities, and resources.

15.4.07

In the event that the ownership rights of intellectual property become a matter of dispute, the matter shall be submitted to mediation before an individual experienced in such matters and mutually agreed upon by the Employer and the Union. If a satisfactory resolution is not provided by mediation, the matter may be submitted directly to arbitration as specified in Article 22.6.

15.4.08

In the event that either the Patent or Copyright Acts of Canada are amended to the extent that Article 15.4 requires revision, the parties shall re-open the negotiation of Article 15.4 by mutual agreement.

15.4.09 Patents

The Employer and the Union agree that Employees have no obligation to seek patent protection for the results of their work or to modify research to enhance patentability; nor is the Employer under any obligation to exploit any potentially patentable discoveries or creations arising out of any Employee's work.

15.4.10

Potentially patentable discoveries or creations arising out of any Employee's work shall be disclosed to the Employer prior to public disclosure. The purpose for such disclosure is to allow the Employer to determine if it has ownership rights or obligations to a third party under Article 15.4.03.

15.4.11

In those cases where Article 15.4.03 does not apply to potentially patentable discoveries or creations, the Employer and the Employee who owns the intellectual property may enter into an agreement to pursue a patent application in the name of the Employee. The cost of this process shall be paid by the Employer. The Employee and the Employer shall share in the net proceeds on a case-by-case basis, but in no case shall the Employee receive less than 50%. "Net proceeds" means the proceeds after deduction of all costs incurred by the Employee and/or the Employer for patent searches, for obtaining patent protection and for maintaining said protection in Canada and other countries.

15.4.12

In the event that the Employee and the Employer enter into an agreement pursuant to Article 15.4.11 but are unable to agree to an income sharing arrangement as specified in Article 15.4.11, the matter will be referred to mediation and arbitration pursuant to Article 15.4.07. Either the Employee or the Union may have carriage of the dispute.

15.4.13

In the event that the Employer elects not to pursue a patent application on behalf of an Employee within forty-five (45) days following disclosures made under Article 15.4.10, Employees shall have the right to make their own arrangements for patent protection at their own expense and shall be entitled to all the proceeds therefrom.

15.4.14

The Employer waives, disclaims and abandons any interest in or claim to any invention, improvement, design or development made by Employees without the use of the Employer's time, resources, or facilities.

15.4.15

In all circumstances where an Employee wishes to use the Employer's time, resources, and facilities exclusively for development or production of potentially patentable discoveries and creations, the Employee shall enter into a written agreement with the Employer as specified in Article 15.4.03 which details the specific provision and use of funds, equipment, facilities, and resources; and which also delineates any assignment of intellectual property rights that may be related to the potential discoveries or creations.

15.4.16

In the case of Employees who have written arrangements with the Employer prior to the coming into effect of this Article with respect to patents, such arrangements shall continue until they naturally expire. Any renewal of such agreements must be made in accordance with the terms of Article 15.4.

15.4.17 Copyright

In accordance with Article 15.4.03, and as provided for by the Copyright Act of Canada, an Employee who is the creator, the author or the first person bringing the work into existence is the first owner of copyright in the work.

15.4.18

Copyright applies to all original scholarly, scientific, literary, dramatic, musical and artistic and recorded works. Such works include but are not limited to: books, texts, articles, monographs, glossaries, bibliographies, modular posters, study guides, laboratory manuals, correspondence course packages, interactive textbooks, course work delivered on the Internet, multimedia instructional packages, syllabi, tests and work papers, lectures, musical and/or dramatic composition, choreographic works, cartographic materials, unpublished scripts, films, filmstrips, charts, transparencies, other visual aids, video and audio tapes and cassettes, computer programs; live video and audio broadcasts, programmed instructional materials, drawings, paintings, sculptures, photographs, and other works of art.

15.4.19

The Parties agree that the copyright to all forms of scholarly, scientific, literary, dramatic, musical, artistic and recorded works shall be retained by the Employees responsible for the creation of the work. The Employer

acknowledges that it has no interest in and makes no claim to any copyright for works created by a member except as set out in Article 15.4.20.

15.4.20

The Employee shall share copyright with the Employer when:

- (a) The Employer provides direct support for the creation of the work as specified in Article 15.4.03(b); or
- (b) The Employer directly commissions the work or enters into an agreement under Article 15.4.03(a).

15.4.21

Otherwise than as specifically agreed to by the Employee in writing, all agreements for the provision of direct support or commission shall provide that the Employee responsible for the creation of the work shall retain the exclusive right to revise, rework, or amend any work.

15.4.22

To encourage harmony with the principle of first owner's rights, such rights will be relinquished in proportion to the direct support provided by the Employer to a maximum of one half (50%). More specifically, any direct support beyond one half (50%) of the rights vested in the first owner shall constitute a commission.

15.4.23

Any assignment of first owner's rights which is greater than one half (50%) shall be distinguished from an agreement for direct support and shall be deemed a commission.

15.4.24

In the event that the Employer or assignee relinquishes their rights in any work, all economic and moral rights shall revert back to the first owner. In the event that the first owner is deceased, the rights shall revert to the estate of the first owner.

15.5 OFFICE SPACE AND EQUIPMENT

Each full time Faculty Member shall be provided with an individual office. Each Professional Librarian shall be provided with an individual workspace with access to private space for conducting confidential business. The office or workspace shall be equipped with standard office equipment as well as a

standard configuration desktop computer linked to the Internet and the University computer network. The Employer shall also provide site licenses for word processing, spreadsheet, database, virus protection, Internet browser, and SPSS software, and technical support for hardware installation and repair and for the (re)installation and/or (re)configuration of software. The Employer is committed to a system, which will upgrade hardware and software to Tier 1 technical standards on a three-year cycle and is moving to a system which will provide off-site access to email and associated systems.

Non-standard configuration computer requests shall be dealt with on an individual basis by the Dean, follow procedures for “non-standard configuration requests,” comply with University standards regarding technical specifications, and be in keeping with reasonable financial and strategic plans of the University.

In considering such requests, the Dean shall give foremost consideration to the role that the requested equipment shall play in advancing the Employee’s responsibilities as defined in Article 8.4 of this Collective Agreement. Such requests shall not be unreasonably denied.

15.6 EVALUATION OF TEACHING PERFORMANCE

15.6.1

Any evaluation of an Employee’s teaching performance for any purpose shall be carried out in accordance with this article, as shall any recommendations and decisions related to teaching performance.

15.6.2

For purposes of this article, teaching includes, but is not limited to, the following activities performed by Employees either in a classroom, through correspondence, or from a distance through the use of technologically assisted instruction such as the Internet.

- (a) giving courses, conducting seminars, guiding tutorials and laboratories, doing fieldwork involving students, and supervising individual study projects;
- (b) preparing and correcting assignments, tests and examinations;
- (c) guiding the work of teaching assistants, markers and laboratory instructors;
- (d) guiding and evaluating students’ individual work, such as theses and papers;
- (e) granting individual consultations outside of class or laboratory time;
- (f) participating in the development of teaching methods, programs or course content;
- (g) preparing instructional material, laboratory exercises and course notes for the employee’s own students; and

- (h) writing textbooks, it being understood that such textbooks may also be considered when evaluating an Employee's scholarship.

All other activities in which the Employee engages for the purposes of preparing courses and seminars, including those undertaken to ensure that their teaching is in keeping with the current state of the subject taught, are considered teaching activities.

15.6.3

Any information considered during the assessment of an Employee's teaching shall be in writing and shall be made available to the Employee for comments prior to use of that information in any evaluation of the Employee.

15.6.4 Procedures

An evaluation of an Employee's teaching shall only take place when required by this Collective Agreement, and/or at the discretion of the University in exceptional circumstances. In such exceptional circumstances, a review of an Employee's teaching performance may be initiated by the Employee's Dean or Chairperson after consultation with the Employee. Normally evaluation of teaching performance will consider a period of two years or more.

15.6.5

Any person or committee assessing an Employee's teaching performance shall seek to balance all aspects of teaching as well as the Departmental and/or Faculty context within which the Employee works. Any person or committee assessing an Employee's teaching performance shall also take into account Departmental or discipline-based approaches to pedagogy that may differ from those observed elsewhere in the University. Assessments of teaching performance must take due note that:

- (a) An Employee's strong performance in some aspects of teaching may compensate for a weaker performance in other aspects of teaching;
- (b) An Employee's teaching shall be considered that much better if performance is good in several kinds of teaching activities;
- (c) An entire Department or discipline may differ significantly from the academic staff as a whole, and this may influence interpretation of information on teaching performance by individual Employees. Differences between Departments and disciplines may be considered when assessing teaching performance.

15.6.6

Any person or committee assessing an Employee's teaching performance shall review all relevant information, including but not limited to:

- (a) The type and nature of courses taught, including class size,

- (b) The nature of the subject matter,
- (c) The experience of the instructor with the course, and the number of new course preparations assigned to the instructor,
- (d) The quality and utility of pedagogical materials prepared by the Employee, the Employee's contributions in the areas of pedagogical development and innovation,
- (e) The opinions of students, including quantitative summary information gathered through a "course evaluation" questionnaire as per 15.6.12 of this Collective Agreement.
- (f) Any and all information submitted to the person or committee by the Employee being evaluated, such as observations based upon classroom visitations.

Persons or committees assessing an Employee's teaching performance shall, in consultation with the Employee, seek information from a variety of relevant sources. It should be understood by these persons or committees, and by Employees in preparing their dossiers, that any one source does not provide for a comprehensive assessment. No person or committee assessing an Employee's teaching performance shall rely entirely or mainly on information gathered from "course evaluations" unless no other information has been provided. The person or committee shall be alert to the inherent strengths and weaknesses of such "course evaluations".

15.6.7

Any Employee whose teaching performance is being evaluated has the right to submit any information the Employee believes is relevant to that evaluation.

15.6.8

Any person or committee assessing an Employee's teaching performance shall invite the Employee to provide information about the Employee's courses, such as the nature of the courses taught (e.g., whether they are compulsory or service courses), teaching methods and materials, or any special circumstances, such as the Employee's participation in Departmental colloquia, symposia, or the like, which may affect the Employee's teaching.

15.6.9

Any person or committee preparing an assessment of an Employee's teaching performance shall include in that assessment:

- (a) A statement of the scope of the assessment and the sources of information used
- (b) A summary of the information gathered, and
- (c) An analysis of the information gathered and the results of the assessment.

15.6.10

The assessment of an Employee's teaching performance shall determine that the Employee's teaching performance is either "outstanding," "satisfactory" or "unsatisfactory."

15.6.11

Observations based upon classroom visitations require the Employee's advance consent.

15.6.12 "Course Evaluations"

"Course Evaluations" provide one source of information regarding student experiences and degree of satisfaction with respect to an Employee's teaching performance. The system of "course evaluation" questionnaires, approved by the Senate, and currently in use, shall continue to be used according to existing procedures until such time as it may be replaced by a new "course evaluation" questionnaire, which has been approved by Senate. No other questionnaire than the questionnaire approved by Senate may be introduced or used during the term of this agreement without the approval of Union. The continued use of the existing student "course evaluation" questionnaire, or any other questionnaire that might be approved by Senate, does not constitute an endorsement or a dismissal of that questionnaire; neither does it imply that the questionnaire is properly constructed. The Union reserves the right to challenge the use of information obtained from any "course evaluation" system in any matter related to the renewal, tenure, promotion or discipline involving an Employee and the Employer retains the right to use "course evaluations" in the evaluation of teaching performance.

15.6.13

- (a) Information derived from the system of "Course Evaluation" questionnaires shall only be used by authorized persons or committees in relation to annual reports and in the formal evaluation of an employee's teaching performance.
- (b) Information derived from "Course Evaluation" questionnaires falls under the Freedom of Information and Protection of Privacy Act.

15.7 ON-CAMPUS DAY CARE

The Employer shall make every reasonable effort to make available on-campus day care for infants, in addition to the current pre-school day care, by September 1, 2005.

15.8 HOLIDAYS - FACULTY

The following shall be considered paid holidays for Faculty Members for the purpose of this Agreement:

New Years Day	Labour Day
Good Friday	Thanksgiving Day
Easter Monday (Effective in the academic year 2010 – 2011)	Remembrance Day
Victoria Day	Christmas Eve (designated .5 day)
Canada Day	Christmas Day
Halifax Natal Day	Boxing Day

or the day(s) officially proclaimed in lieu of such paid holidays and any holidays declared by the Employer in any year to be of general application throughout the University.

ARTICLE 16.0 SALARY AND ALLOWANCE

16.1.10 Salary Adjustment

- (a) Each full-time Faculty Member and Professional Librarian shall receive the annual salary applicable to their place on the Salary Scales attached and forming part of this Agreement as Schedule “A 1” for Faculty Members, Schedule “A 2” for Professional Librarians, and Schedule “A 3” for Lecturer Stream Faculty. A Salary Scale shall become effective on the date set out below opposite from the Salary Scale and shall replace any previously effective Salary Scales.

Salary Scale 1 – September 1, 2018

Salary Scale 2 – September 1, 2019

Salary Scale 3 – September 1, 2020

- (b) Subject to Articles 10.1.30(c) and 10.1.41(b), the Salary Scales referenced in 16.1.10(a) are only salary scales and have no other application in the employment relationships between the Employer and the individual Faculty Member to whom it applies (i.e., full-time Faculty Members).
- (c) For the application of the Salary Scales to individual Faculty Members, the proper placement on the scale of each individual Faculty Member to whom the scale applies will be updated on September 1 of each salary year from the placement referred to in Article 10.1.30(c) and recorded, and shall be incorporated in and be part of this Collective Agreement.
- (d) The first level for each of the ranks shown on the effective Salary Scale shall also be the salary floor for that rank. No member, when appointed to any specific rank shall receive a salary less than the floor for that rank.

- (e) The salary levels in each rank are annual incremental levels; i.e., subject to Article 4.1 of the Agreement, an Employee's salary shall advance only one (1) salary level for each year of full-time service in that rank at Saint Mary's University until they reach the highest level for that rank (except in the case of initial appointments that begin on January 1), and no other rank's levels shall apply to them unless and until they are promoted to such other rank according to the procedures specified in this Agreement.
- (f) A full-time Employee with an appointment for a period shorter than one (1) year, shall receive a pro-rated annual salary for their appropriate place on the scale.

16.1.20

- (a) Notwithstanding Article 16.1.10(a), each full-time Faculty Member who in the previous salary year (or who upon initial appointment during this Agreement) received an annual salary in excess of the salary level for their proper placement on the salary scale shall receive in each salary year either the salary level in their rank that is appropriate to their proper placement on the effective Salary Scale or an increase in their annual salary equal to the dollar difference between the salary level appropriate to their proper placement on the effective salary scale and their proper placement on the immediately preceding salary scale, whichever is greater.
- (b) When an Employee is promoted from Lecturer (LS) Step 5 to Senior Lecturer (LS) Step 1, from Lecturer Step 3 to Assistant Professor Step 1, from Assistant Professor Step 5 to Associate Professor Step 1 or from Associate Professor Step 8 to Full Professor Step 1 the Employee shall receive the salary set for Step 1 of the next rank. In subsequent years, with each move to the next step in the rank, the Employee shall receive the salary set for that step.
- (c) When an Employee is promoted before they achieve the minimum period of service under Article 11.1.21(b), as set out in Article 11.1.21(c) the Employee shall receive the salary set for Step 1 of the next rank. In subsequent years, with each move to the next step in the rank, the Employee shall receive the salary set for that step.
- (d) When an Employee is promoted from Lecturer (LS) Step 6 or higher to Senior Lecturer (LS), or from Assistant Professor Step 6 or higher to Associate Professor or from Associate Professor Step 9 or higher to Full Professor, the following shall apply:
 - (i) If the salary set for an Employee's current step/rank as of September 1st had they not been promoted (exclusive of anything else, including any market differential add-on) is less than or equal to the salary set for September 1st for Step 1 of the next rank, the Employee shall receive the salary set for Step 1 of the next rank.
 - (ii) If the salary set for an Employee's step/rank (exclusive of anything else, including any market differential add-on)

effective September 1 of the year in which the promotion takes effect is greater than the salary specified for Step 1 of the higher rank, the Employee shall be placed in the higher rank on a step closest to, but not less than, the salary the Employee would have received in their current rank had the Employee not been promoted to the next higher rank.

In subsequent years, with each move to the next step in the rank, the Employee shall receive the base salary set for that step.

16.2.10 Payment

Each Employee on a regular appointment shall have their annual basic salary distributed over the twelve (12) month calendar year; payment shall be made in twenty-six (26) instalments. An Employee not on a probationary or tenured appointment (see Article 10.1.10(c) and (d)) may be paid on a different arrangement according to the terms of their appointment.

16.2.20 Changes in the Academic Year

The annual basic salary for Employees shall not be reduced by any changes which may be made in the Academic Year as defined in the Agreement.

16.3.10 Overload Remuneration - Faculty Member

Effective September 1, 2018, September 1, 2019, and September 1, 2020, the stipend for teaching either one extra full-credit course during the Academic Year, e.g. Division of Continuing Education, or one extra full-credit course outside the Academic Year, e.g. Summer Sessions, shall be at least equal to the basic stipend negotiated for part-time teaching with CUPE, Local 3912 that is in place September 1 for each of the specified years.

16.3.11

If the student contact hours in the teaching of any courses under articles 16.3.10 are substantially different from the normal student contact hours in the teaching of a full-credit course, the University shall pro rate the stipend, up or down, provided that any such deviation from the normal contact hours is approved in writing by the Academic Vice-President.

16.3.12

Faculty Members who teach away from the campus of the University will receive an allowance based on the following:

- (a) for travel outside the Halifax Peninsula Area but not more than 75 km. from Halifax per the University mileage rate TIMES the number of times the trip must be made to carry out course responsibilities TIMES the length of the round trip.

- (b) for travel beyond 75 km, the kilometre charge as set out in (a) above, plus a meal allowance at the University per diem rates for each time the trip must be made to carry out course responsibilities. The appropriate allowance based on the provisions of this Article shall be confirmed by letter to the Faculty Member from the Academic Vice-President as part of the Faculty Member's letter of appointment. The letter shall also include a commitment by the University which entitles the Faculty Member to claim for overnight accommodation and meals where weather conditions make it dangerous for the Faculty Member to return to Halifax after teaching.
- (c) For travel beyond 75 km., the kilometre charge as set out in (b) above, plus a meal allowance of \$20.00 for each time the trip must be made to carry out course responsibilities. The appropriate allowance based on the provisions of this Article shall be confirmed by letter to the Faculty Member from the Academic Vice-President as part of the Faculty Member's letter of appointment. The letter shall also include a commitment by the University which entitles the Faculty Member to claim for overnight accommodation and meals where weather conditions make it dangerous for the Faculty Member to return to Halifax after teaching.

16.3.20 Honorarium for Department Chairperson

- (a) Chairpersons of Departments shall receive an honorarium in addition to their annual salaries and reduction in teaching duties in accordance with the following table:

Number of FTEs	Honorarium			Remission
	Sept 1/18	Sept 1/19	Sept 1/20	
Fewer than 10	4,301	4,366	4,431	1.0
10 – 19.9	5,159	5,236	5,315	1.0
20 or more	6,019	6,109	6,201	1.5

- (b) The number of F.T.E. faculty shall be determined at the beginning of each academic year and shall be mutually agreed between the President of the Saint Mary's University Faculty Union and the Academic Vice-President and such agreement shall be recorded.

16.3.21 Honarium for Programme Coordinators

- (a) On the recommendation of the Dean, the Academic Vice-President shall grant an honorarium in addition to their normal salaries and reduction in teaching duties to Undergraduate Programme Co-ordinators, in accordance with the following table:

Number of Students	Honarium			Remission
	Sept 1/18	Sept 1/19	Sept 1/20	
Fewer than 10	1,374	1,395	1,416	0 FCE
10 - 30	1,374	1,395	1,416	.5 FCE
31 - 40	1,883	1,911	1,940	.5 FCE
More than 40	1,883	1,911	1,940	1.0 FCE

- (b) The Co-ordinators of Graduate Programmes shall receive an honorarium (in addition to their annual salaries) and a reduction in teaching duties according to the following table:

Number of Students	Honarium			Remission
	Sept 1/18	Sept 1/19	Sept 1/20	
Fewer than 10	0	0	0	.5
10 – 20	1,540	1,563	1,586	.5
More than 20	1,540	1,563	1,586	1.0

- (c) In all cases where a currently appointed undergraduate or graduate Program Coordinator received an honorarium or a remission greater than specified in 16.3.21 (a) and (b), the Coordinator shall continue to receive that honorarium or remission for the duration of their appointment. All future appointments for undergraduate and graduate Program Coordinators shall be made in accordance with 16.3.21 (a) and (b).

16.3.22 Honorarium for Co-ordinators in the Library

The Co-ordinator of Services and Resources and Co-ordinator of Systems and Training shall receive an honorarium in addition to their base salary according to the following schedule:

September 1, 2018 \$2,603

September 1, 2019 \$2,603

September 1, 2020 \$2,603

16.4 TUITION WAIVER

- (a) An Employee and their spouse and dependants shall be entitled to a fifty (50) percent reduction in tuition for degree credit courses undertaken at the University.
- (b) The dependent children and spouse of a full-time Faculty Member and full-time Professional Librarian with no less than one year of service, who dies while employed by the University or while in receipt of Long Term Disability from the University shall be entitled to waiver of one hundred per cent (100%) of the tuition for degree credit courses undertaken at the University.

16.5.1 Stipends for Thesis Supervision

Faculty Members shall be entitled to compensation for thesis supervision of students enrolled at Saint Mary's University as follows:

- (a) Primary supervision of masters theses: for every completed thesis, \$1,581 honorarium, effective September 2018; \$1,605 effective September 2019; \$1,629 effective September 2020.
- (b) Primary supervision of major research projects (MRPs) as a part of the Masters of Business Administration or Executive Masters of Business Administration programs: for every completed MRP, \$792 honorarium effective September 2018; \$804 effective September 2019, \$816 effective September 2020.
- (c) Primary supervision of undergraduate honors theses: for every completed thesis, \$441 honorarium, effective September 2018; \$448 effective September 2019; \$455 effective September 2020.

16.6 PROFESSIONAL DEVELOPMENT EXPENSE REIMBURSEMENTS

16.6.1

The Employer shall reimburse professional development expenses which relate to those activities which enhance Faculty Members' who hold probationary or tenured appointments and full time Librarians' performance, ability or effectiveness as a teacher, librarian and/or scholar at the University. Such funds

are not to be used to subsidize the Employer's provision of equipment and supplies necessary to conduct the member's regular duties.

16.6.2

Commencing April 1, 2018, April 1, 2019, April 1, 2020, the member shall be eligible to receive a maximum of \$486, \$493, and \$500, respectively, for reimbursement of professional development expenses. Such expenses include but are not limited to:

- (a) travel and associated expenses related to meetings, conferences, study leave or other similar professional activities, and eligible expenses not covered by or in excess of monies available from other funds for similar purposes;
- (b) registration fees and other expenses for meetings of learned societies, other professional organizations, workshops, seminars and similar activities;
- (c) membership fees in learned societies and professional organizations;
- (d) books and subscriptions to scholarly journals;
- (e) expenses directly associated with teaching responsibilities or current active research or professional programs.

16.6.3

The amount specified in 16.6.2 shall be made available to the member through a Professional Development Expense Account.

16.6.4

All goods purchased through the Professional Development Expense Account remain the property of the University. If a member wishes, they may purchase these items from the University after four (4) years at fair market value.

16.6.5

Expenses must be claimed in the fiscal year in which they are incurred.

16.6.6 Procedures to Claim Reimbursement

- (a) Eligible members should submit their claims to the Dean or designate once a year after expenses are incurred, as early as possible but no later than March 15th.
- (b) All claims for reimbursement shall be accompanied by appropriate documentation for reimbursement by the Employer.

16.7 MARKET SUPPLEMENTS AT INITIAL APPOINTMENT

16.7.1

In the interest of fairness, equity and collegiality, Market Supplements awarded at initial appointment shall be made only in accordance with the provisions of this article.

16.7.2

The Union and Employer recognize that it may be necessary due to competitive market pressure in a specific academic discipline or sub-discipline to offer a salary in excess of the appointee's proper placement on the effective salary scale provided in Article 16. Such market supplements are part of the total compensation negotiated in this Collective Agreement.

16.7.3

A Market Supplement Review Committee (MSRC) composed of three members appointed by the Employer, one of which shall be the Academic Vice-President, and three members appointed by the Union shall make recommendations on whether a market supplement is warranted with respect to an academic discipline or sub-discipline and the related academic ranks. The Academic Vice-President shall Chair the MSRC.

16.7.4

A Chairperson or Dean may request, through the Academic Vice-President, that the MSRC determine whether there is a need for a market supplement for a specific discipline or sub-discipline by submitting reasons for the request along with verifiable data to support the request.

16.7.5

By October 31st each year, the Employer shall indicate to the MSRC the specific academic disciplines or sub-disciplines for which market supplements are to be assessed for the coming year. The market supplements shall be established by the MSRC by December 31 of any one year and shall remain in place for the following twelve months. Should markets change dramatically or unexpectedly, the MSRC may review and set new supplements.

16.7.6

Annually, the MSRC shall recommend to the President the minimum and maximum size of the market supplement appropriate to the academic discipline or sub-discipline, taking into account rank. In establishing the range of the market supplements, the MSRC shall examine independently verifiable data indicating that a market supplement is necessary to recruit persons with well defined qualifications in the face of competition from comparable Canadian universities for scholars of the same category and rank.

16.7.7

All market supplements offered to new hires must fall within the range specific to the academic discipline or sub-discipline and relevant academic rank as set by the MSRC.

16.7.8

Market supplements are not subject to annual percentage increases that are applied to the salary scales. Market supplements may be terminated for a discipline or a sub-discipline should the MSRC recommend that they are no longer warranted for a discipline or sub-discipline.

16.7.9

Market supplements shall be treated as normal salary.

16.7.10

The Employer shall present to the Union by April 15 the list of disciplines or sub-disciplines that are to receive market supplements and the range of the supplements for each rank. As well, the list shall include those disciplines or sub-disciplines for which market supplements are no longer warranted. By September 15, the Employer shall present the Union with the names of all Employees who have received market supplements including their rank, year-in-rank, gender, and the amount of the supplement. The list shall also include the names of any Employees for whom market supplements have not been renewed. In this latter case the Employee's market supplement shall be maintained until the following August 31.

16.7.11

Article 16.7 shall apply only to faculty receiving initial appointments on or after July 1, 2010.

ARTICLE 17.0 EMPLOYEE BENEFIT PLANS

17.1

- (a) The Pension plan currently in operation shall continue in operation for the term of the Agreement, or until such time during the Agreement as a new plan is agreed upon by the Employer and the Union.
- (b) The Employer shall make no change in the Pension plan specified in 17.1(a) without the consent of the Union.
- (c) The Employer shall provide 2.7% in September 1, 2018, September 1, 2019, and September 1, 2020, respectively, of the salary base for Union Members as their

premium contribution to the SMUFU Health and Wellness Benefit Trust Plan for Union Members.

17.2

As of April 1, 2001 a Supplemental Pension Plan as agreed between the Employer and the Union will be established.

ARTICLE 18.0 TRAVEL EXPENSES

18.1 TRAVEL EXPENSE FUND FOR SCHOLARLY PURPOSES

- (a) Employee travel allocations are intended to cover participation in academic activities outside the campus or other external activities which affect the academic tasks of the University, and are specified in each year's budget. Commencing April 1, 2018, the Employer shall provide a sum equal to \$1,333 times the filled full-time faculty complement and the filled full-time library positions; commencing April 1, 2019, the Employer shall provide a sum equal to \$1,353 times the filled full-time faculty complement and the filled full-time library positions; and commencing April 1, 2020, the Employer shall provide a sum equal to \$1,373 times the filled full-time faculty complement and the filled full-time library positions. The Travel Expense Fund shall be divided among the Faculties of Arts, Science and the Sobey School of Business and the University Library in proportion to the total full time number in each Faculty and the Library. Subject to budget limitations of all Faculties and the Library, the specific allocation of travel funds within a Faculty or Library is the responsibility of the Dean or University Librarian who, on the recommendation of the Department Chairperson in the case of a Faculty Member, shall authorize expenditures related to travel, fees, accommodations and meals according to the following priorities:
 - (i) Applicant is on program to present a prepared paper to an appropriate learned or professional organization or to participate in a symposium or panel.
 - (ii) Applicant is on a board or committee of an academic or professional organization and wishes to attend meetings: subsidy based on relevance of organization, significance of applicant's role, and the nature of support given by the organization itself.
 - (iii) Applicant is attending a meeting or conference but is not a participant in the formal program.
- (b) Unspent funds revert to the appropriate Dean or University Librarian on March 31st of each year and shall carry over to the next fiscal year's Travel Expense Fund. The carry over of unspent funds shall in no way lead to a reduction of the budget allocation specified in 18.1(a).

- (c) The rates for personal meals and per diems shall be the established University rate for travel within Canada and the United States, except that the rates shall be in U.S. dollars for expenses incurred for travel in the United States. For travel in all other countries, the rates shall be those established by the Treasury Board of Canada.

ARTICLE 19.0 LEAVE

For the purposes of this Article, service shall mean full-time service at the University. Subject to other specific provisions of this Agreement, if warranted in the judgement of the Employer, a leave of absence granted by the Employer may be counted as fully or partially equivalent service. Confirmation that the leave of absence will be counted as full or partial equivalent service must be approved in writing by the Academic Vice President before the leave commences. The service of an Employee who is granted leave of absence not counted as fully or partially equivalent service, shall on their return to the University be that held at the time they commenced such leave.

19.1 SICK LEAVE

19.1.10

- (a) The Employer shall grant sick leave with salary and benefits to a full-time Employee for the first ninety (90) calendar days of their absence from work on account of illness, disability, exposure to contagious disease, or physical or emotional inability to perform their duties. In any such case, the Employer reserves the right to require certificates from a legally qualified medical practitioner.
- (b) An Employee shall inform their Chairperson and Dean or University Librarian of the necessity to take sick leave with as much written notice as possible.
- (c) Upon the request of the Employer, Employees shall provide medical information. Such information shall be provided to Human Resources. Medical information will be held in confidence by Human Resources. Human Resources will provide non-medical information on such sick leave to the Chairperson, Dean or University Librarian, and Vice-President, Academic and Research.

19.2 COMPASSIONATE CARE, BEREAVEMENT, AND EMERGENCY LEAVE

19.2.10 Compassionate Care Leave

An Employee is entitled to unpaid compassionate care leave in accordance with the Labour Standards Code of Nova Scotia.
<https://nslegislature.ca/sites/default/files/legc/statutes/labour%20standards%20code.pdf>

19.2.11 Bereavement Leave

In the event of the death of a member of an Employee's family, the Employee will be granted a leave of up to five (5) days without loss of pay. In special circumstances, additional bereavement leave without loss of pay may be granted on terms determined by the Dean/University Librarian or their delegate. The term "members of an Employee's family" means spouse, children, parents, father-in-law, mother-in-law, brother, sister of the Employee. Any absence necessary under the provisions of this clause shall not be in addition to any days which the Employee may normally be off work.

19.2.12 Emergency Leave

(a) It is recognized that certain emergencies may arise in an Employee's personal or family life which may require their absence from the University for a limited period of time. Before departure, written notice of such emergencies shall be given to the Dean/University Librarian who may authorize the leave for the requested period. In the case of Faculty, the Dean will forward a copy of the authorization to the Department Chair. A Faculty Member shall, in co-operation with the Department Chairperson, make substitute arrangements for their teaching and other responsibilities during their absence.

(b) An Employee may apply for an unpaid Emergency Leave up to thirty-five (35) weeks and make an application for applicable EI benefits. Before departure, written notice of such emergencies shall be given to the Dean/University Librarian who may authorize the leave for the requested period. In the case of Faculty, the Dean will forward a copy of the authorization to the Department Chair. A Faculty Member shall, in co-operation with the Department Chairperson, make substitute arrangements for their teaching and other responsibilities during their absence.

19.3 SABBATICAL LEAVE - FACULTY MEMBERS

19.3.10 Principles

- (a) The Employer recognizes the importance of granting sabbatical leave to full-time Faculty Members to improve themselves academically by engaging in continued research, professional experience, or intellectual renewal.
- (b) While sabbatical leaves are available to Faculty Members, they are not granted automatically. The utilization of the sabbatical leave should benefit the leave taker, the home university and the academic community in general.
- (c) A Faculty Member on sabbatical leave shall continue to receive all fringe benefits and shall suffer no loss in rank, position, or salary entitlement because of such leave.
- (d) Once a sabbatical leave has been granted, it is normally expected that the Faculty Member will take the leave.

- (e) A Faculty Member on sabbatical leave is normally required to leave the University during the period of their leave. Before going on sabbatical leave a Faculty Member shall provide their Chairperson and Dean with a forwarding address.
- (f) Each Department or Division, and each Faculty shall cooperate in planning the sabbatical leaves of its members well in advance.
- (g) Should two or more Faculty Members in a Department or Division simultaneously become eligible for sabbatical leave, any issue of priority will be determined by the Department or Division in consultation with the appropriate Dean. In determining priority, the proposed sabbatical activity will be one of the determining factors.
- (h) In no case shall a Faculty Member who applies for sabbatical leave, including a Chairperson or Director, be a party to a decision of the Department or Division on any issue of priority, as specified in 19.3.10(g); this provision shall not preclude such persons being involved in discussions in the Department or Division that precede the written decision on priority; in cases where a Chairperson or Director has applied for sabbatical leave, a member of the Department or Division who has not applied for sabbatical leave (or in cases where this is impractical, a cognate academic) shall be elected by majority vote of the Department or Division to act as Chairperson for the purposes of 19.3.20(c)i) and ii).

19.3.20 Regulations

(a) Period of Sabbatical Leave

- i) Sabbatical Leave may be either for one full year or for one-half year subject to the restrictions of Article 19.3.20(c)v). Full year sabbaticals shall extend from September 1 to August 31 of the following year. Half year sabbatical leave, when granted, shall extend from either July 1 to December 31 or from January 1 to June 30.
- ii) If sufficient justification is given, initiation of sabbatical leaves on dates other than the above may be approved by the President, if recommended by the Department.

(b) Entitlement

- i) Because sabbatical leave involves a variable financial commitment, the number of such leaves granted in any one year is subject to budgetary restraint. If the number of applicants for sabbatical leave exceeds the budgetary limitations, a decision on priorities between Faculties and between Departments will be made by the Academic Vice-President.

(c) Application

- i) The Faculty Member shall make application, in writing, to the Department Chairperson no later than 12 months prior to the date of commencement of the proposed sabbatical leave (e.g., applications for sabbaticals commencing on July 1, September 1 or January 1 of any year must be made by July 1, September 1, or January 1 of the previous year). The Faculty Member shall submit, with their application, a statement of their proposed study, research, or other planned activity during the period of leave. If the applicant plans to remain at the University for the Leave, the application should include a request to retain or share office/laboratory space on campus for the duration of the leave. The Chairperson, in consultation with the Department, shall forward the application with their recommendation, to the appropriate Dean. The Department Chairperson shall include an assessment of the implications, including a request to retain or share office and/or laboratory space, of the requested sabbatical leave(s) on the Department's ability to offer its academic programme(s) and a recommendation concerning the number and kind of replacements that would be required. The Dean will, no later than the August 1, October 1, or February 1 following the deadline for receipt of sabbatical leave applications, consider all such applications received to that date from within their Faculty and make recommendations on them to the Academic Vice-President, taking into account the proposed sabbatical activity and the applicant's record of scholarship according to the applicant's last two annual reports submitted to the Dean prior to the sabbatical application, specifying the implications of such leaves, if granted, for academic programs, teaching allocations and financial resources.
- ii) The application will be forwarded by the Dean to the Academic Vice-President. Subject to the other provisions of this article, the Academic Vice-President will take into account the Dean's recommendations, the proposed sabbatical leave activity, the applicant's record of scholarship according to the applicant's last two annual reports and the effect of the sabbatical leave on the Department's academic programme(s) and the equitable distribution of sabbatical leaves across the University in determining whether an applicant will be granted sabbatical leave. The Academic Vice-President shall communicate the Employer's decisions on sabbatical leave requests within forty (40) days from receipt of a Dean's recommendations. If the application is denied written reasons will be provided.

- iii) A Faculty Member intending to apply for sabbatical leave is expected to seek outside financial support for their sabbatical year. In cases where such support is obtained, the Employer reserves the right to adjust its contribution so that total remuneration for the leave period shall not exceed normal salary entitlement plus a reasonable allowance for travel and expenses.
- iv) In general during the leave period, a Faculty Member shall not accept paid outside employment other than that which contributes to the Faculty Member's professional development. They may, however, apply for and may receive permission to undertake suitable limited paid work (teaching, research, or other). If this occurs, the adjustment of the Employer's financial support according to Article 19.3.20(c)iii) hereof shall apply.
- v) Faculty Members initially become eligible for sabbatical leave according to the provisions of this article on completion of six (6) years of full-time service in the rank of Assistant Professor or above. Eligibility for subsequent sabbatical leaves shall follow six (6) years of full-time service uninterrupted by sabbatical leave for full year sabbatical leave or three years of full-time service for half-year sabbaticals.
- vi) The University may defer granting a sabbatical where such leave will affect the department's ability to offer its programs or where suitable replacements cannot be found. Where a sabbatical is deferred at the request of the University, the faculty member shall receive one year's credit towards their next sabbatical request entitlement for each year of deferral. This credit shall not apply to the deferral of half-year sabbaticals.

(d) Miscellaneous

- i)
 - 1) A Faculty Member who has taken a sabbatical leave shall be expected to return to the University for a period of time equal to their sabbatical leave, except in the case of a Faculty Member who is granted a sabbatical leave in the year at the end of which the Faculty Member is due to retire. A Faculty Member who fails to return to the University for a period of time equal to their sabbatical leave shall be required to reimburse the university for the cost of salary and benefits paid by the University. On application to the President, this requirement may be waived.

- 2) A Faculty Member returning from sabbatical leave shall submit to their Department, Dean, and Academic Vice-President, no later than one month after completion of their sabbatical leave, a detailed written report of activities during the leave. The Employer may request more information regarding the nature and scope of activities undertaken during the sabbatical leave.

ii)

- 1) In the event a Faculty Member applies for and is otherwise qualified for a sabbatical leave but the leave is not granted because of the application of the provisions of this Article, that Faculty Member's application shall receive priority consideration the following year. It is the Faculty Member's obligation to initiate a new request for a sabbatical leave when the previous request has been either deferred or refused.
- 2) Faculty Members who refuse to apply for sabbatical in their first year of eligibility, or who refuse to take leave which has been granted, will be placed last in priority for subsequent years. This provision shall be waived if the reason for refusing is due to sick leave, maternity leave, extreme personal hardship or valid academic reasons.

- iii) A faculty member who has a 3-2 teaching load for the academic year in which they have an approved six-month sabbatical shall be required to teach, at most, two (2) courses during the semester that does not fall within the sabbatical leave period. For the next approved six month sabbatical, the faculty member shall be required to teach three (3) courses during the semester that does not fall within the sabbatical leave period. This pattern shall continue for all subsequent approved six month sabbaticals.

(e) Financial Support

- i) Sabbatical leave remuneration shall be on the following basis:
 - 1) After six (6) years of full-time service at the University, uninterrupted by sabbatical leave, a Faculty Member granted sabbatical leave shall receive a salary and/or research grants to the equivalent of eighty-five percent (85%) of their normal salary for the year of leave;
 - 2) After eight (8) years or more of full-time service at the University, uninterrupted by sabbatical leave, a Faculty Member granted sabbatical leave shall receive a salary

and/or research grants to the equivalent of ninety percent (90%) of their normal salary for the year of leave.

- 3) A Faculty Member granted a half year sabbatical leave shall receive a salary and/or research grants to the equivalent of eighty percent (80%) of their salary for the six months of leave.
- ii) In case a sabbaticant requests that, while on sabbatical leave, a portion of their salary be earmarked for research, they shall submit the research proposal along with an estimate of the expenses to be incurred to the Academic Vice-President. It is understood that the University will provide no guarantee that research expenses as presented by Sabbaticants will be acceptable to Canada Customs and Revenue Agency.
- iii) Funds generated by savings on the salaries of Faculty Members on sabbatical leave shall be directed towards the maintenance of academic programs across the University in those Departments with members on sabbatical.
- iv) Pension contributions for a Faculty Member on sabbatical leave shall be based on one hundred percent (100%) of their normal salary for the leave period.

19.4 RESEARCH OR PROFESSIONAL DEVELOPMENT LEAVE - PROFESSIONAL LIBRARIANS

19.4.10

A Professional Librarian may apply for a Research or Professional Development Leave. Such a leave must be for the Research Activities or Professional Development of the Employee and of benefit to the Library or the University.

19.4.20

A Professional Librarian who has been employed by the University for at least five (5) years may apply for either a Research or Professional Development Leave for up to one (1) year. A Professional Librarian, other than a Probationary Librarian, who has been employed at the University for less than five (5) years may apply for either a Research or Professional Development leave for up to one (1) month.

19.4.30

In addition to the requirements outlined in 19.4.10, other criteria considered will include those specified in 11.2.11 and 12.2 and the ability of the Library to make satisfactory arrangements to cover the Professional Librarian's absence.

The Employer will undertake to provide funds to finance Research or Professional Development Leaves.

19.4.40

A Professional Librarian seeking leave shall normally submit a written application to the University Librarian six (6) months prior to the proposed leave and the application shall include the following:

- (a) The period of the leave, and
- (b) A statement of the proposed activity and its professional value to the Professional Librarian and the Library or the University.

In exceptional circumstances, applications will be considered that do not conform to the six (6) month time limit but in no case shall the time limit be less than three (3) months.

19.4.50

If a Research or Professional Development Leave request is refused, the University Librarian will provide reasons in writing to the applicant.

19.4.60

Research or Professional Development Leave periods may vary. Ordinarily, no more than one (1) Professional Librarian shall be on long term Research or Professional Development Leave (i.e. one of six (6) months or more duration) at the same time. Research or Professional Development Leaves will be granted subject to operational requirements.

19.4.70

A Librarian on Research or Professional Development Leave shall receive eighty-five percent (85%) of their regular salary during the leave period.

19.4.71

A Professional Librarian on Research or Professional Developmental Leave shall continue to receive all fringe benefits, subject to the terms of the plans, and shall suffer no loss in rank, position, or salary entitlement because of such leave. A Research or Professional Development Leave will normally include the annual vacation entitlement prorated to the length of the leave.

19.4.72

Pension contributions for a Professional Librarian on Research or Professional Development Leave shall be based on one hundred percent (100%) of their normal salary for the leave period.

19.4.80

Upon completion of the Research or Professional Development Leave, the Professional Librarian shall be required to return to the University for a minimum of the time equivalent to the length of the leave. An Employee who fails to return to the staff of the University shall be required to reimburse the University for the compensation received during the Leave. Should the Professional Librarian return for a period of time less than the length of the Leave, reimbursement will be calculated proportionally.

19.4.90

Within one (1) month of completion of the Research or Professional Development Leave, a Professional Librarian must submit a report to the University Librarian.

19.5 LEAVE OF ABSENCE FOR POLITICAL OFFICE

19.5.10

The Employer recognizes that Faculty Members and Professional Librarians ought to be as free as are members of any other profession to participate in public life. It will, therefore, upon written request to the member's Dean or University Librarian, grant leave of absence to an Employee to be a candidate in Federal, Provincial or Municipal elections, subject to the following conditions.

- (a) The Employee shall be entitled to leave of absence with full salary and fringe benefits during an election campaign as follows:
 - i) For election to the Parliament of Canada: one month of leave
 - ii) For election to the Legislature of Nova Scotia: one month of leave
 - iii) For election as Mayor of the Halifax Regional Municipality: one-month of leave;
 - iv) For election to municipal office: one week of leave.
- (b) It is understood that the Employee will give reasonable assistance to the Chairperson or the University Librarian in making substitute arrangements satisfactory to the Employer for their teaching and/or other responsibilities during their period of leave as specified in (a) above.

19.5.20

- (a) If an Employee is elected, they shall be entitled to leave of absence as follows:

- i) As a Member of Parliament: full-time leave of absence without pay for one term of office;
 - ii) As a Member of the Legislative Assembly: a pro-rated leave of absence during one term of office with pay pro-rated to duties performed; the duties involved and consequent remuneration applicable shall be determined by the Dean/University Librarian after consultation with the Employee concerned;
 - iii) As Mayor of the Halifax Regional Municipality: full-time leave of absence without pay for one term of office.
- (b) In the case of pro-rated leave, the provisions of paragraph 19.5.10 (b) above will apply.

19.5.21

If an Employee is elected to a Municipal office other than Mayor, they shall be entitled to a pro-rated leave of absence with pay pro-rated to duties performed. The duties involved and the consequent remuneration applicable shall be determined by the Dean/University Librarian after consultation with the Employee concerned.

19.5.30

An Employee, whether serving as such or on leave, on being elected for a second consecutive term to one of the offices outlined in 19.5.20, shall be deemed to have terminated their University appointment.

19.6 PREGNANCY AND PARENTAL LEAVE

19.6.1 Pregnancy Leave

- (a) Subject to the notice provisions of Article 19.6.3, the Employer shall, upon the request of a pregnant Employee and upon receipt of a medical certificate indicating the expected birth date, grant the Employee 17 weeks of unpaid Pregnancy Leave.
- (b) An Employee may begin Pregnancy Leave no earlier than seventeen (17) weeks before the expected birth date.

19.6.2 Pregnancy Leave with Supplemental Benefits

- (a) During the period of Pregnancy Leave as specified in Article 19.6.1(a), an Employee who has been employed by the Employer for at least eleven (11) months immediately preceding the expected birth date is entitled to supplementary benefits as follows:
 - i) For the first one (1) week the Employee shall receive 95% of her nominal salary;

- ii) For up to a maximum of sixteen (16) additional weeks, the Employee shall receive an amount equal to the difference between the Employment Insurance (EI) benefits received and 95% of the Employee's nominal salary.
 - iii) In the case of 19.6.2 (a), payments shall begin no earlier than twelve (12) weeks before the expected birth date and end no later than seventeen (17) weeks after the birth unless the child is confined to hospital. In the event of a miscarriage or a still birth she shall be entitled to sick leave under Article 19.1.
- (b) To receive the supplementary employment benefit defined in 19.6.2 (a) (ii), the Employee shall supply the Employer with proof of application to Service Canada for EI pregnancy leave benefits.
- (c) If the Employee is disentitled or disqualified from receiving EI benefits or should EI cease to provide coverage for pregnancy benefits, the Employer will maintain the Employee at 95 percent of her nominal earnings for the period of her leave.

19.6.3 Notice Required for Pregnancy Leave

- (a) The Employee shall give the Employer at least four (4) weeks' written notice of the date the Pregnancy Leave, as per Article 19.6.1, is to begin. The payment of supplementary benefits under 19.6.2 also requires four (4) weeks' notice.
- (b) The notice period in Article 19.6.3(a) shall not apply if the Employee stops working because of complications caused by her pregnancy or because of a birth, still birth or miscarriage that happens earlier than the Employee was expected to give birth. In such circumstance, the Employee shall, within two weeks of stopping work, give the Employer:
 - i) Written notice of the date the pregnancy leave began or is to begin; and
 - ii) A certificate from a legally qualified practitioner that,
 - 1) In the case of an Employee who stops working because of complications caused by her pregnancy, states that the Employee is unable to perform her duties because of complications caused by her pregnancy and states the expected birth date; or
 - 2) In any case, states the date of birth, still birth or miscarriage and the date the Employee was expected to give birth.
- (c) Where notice required under Article 19.6.3(a) or 19.6.4(c) is not possible due to circumstances beyond the control of the Employee, the Employee shall provide the Employer as much notice as reasonably practicable of the commencement of her leave or her return to work.

19.6.4 End of Pregnancy Leave

- (a) The Pregnancy Leave of an Employee who is entitled to take Parental Leave under Article 19.6.6 shall end seventeen (17) weeks after the Pregnancy Leave began.
- (b) The Pregnancy Leave of an Employee who is not entitled to take Parental Leave shall end on the later of the day that is seventeen (17) weeks after the Pregnancy Leave began or the day that is six (6) weeks after the birth, still birth or miscarriage. In the case of still birth or miscarriage, the Employee will also be entitled to sick leave coverage, under Article 19.1, and other salary insurance coverage after the date the pregnancy ends if the Employee cannot return to full-time work for medical reasons.
- (c) If an Employee on Pregnancy Leave wishes to take less than seventeen (17) weeks' Pregnancy Leave, the Employee shall give written notice to the Employer of her intention to return to work at least four (4) weeks prior to her expected date of return.

19.6.5 Post-Natal Leave

- (a) On the occasion of the birth of a child, the child's parent who is not taking a Pregnancy Leave shall be entitled to a leave with full salary and benefits, and without loss of seniority, of up to ten (10) days, to be taken at the discretion of the Employee within four (4) weeks of the birth. An Employee taking such leave shall give the Employer as much advance written notice as possible.

19.6.6 Parental Leave

- (a) An Employee who has been employed with the Employer for at least 13 weeks, who becomes a parent for one or more children through the birth of the child or children, is entitled to an unpaid leave of absence of up to sixty-one (61) weeks.
- (b) An Employee who becomes a parent for one or more children through the placement of the child or children in the care of the Employee for the purpose of adoption of the child or children pursuant to the law of the Province, is entitled to an unpaid leave of absence of up to sixty-one (61) weeks.
- (c) Where an Employee takes pregnancy leave pursuant to Article 19.6.1 and the Employee's newborn child or children arrive in the Employee's home during pregnancy leave, parental leave begins immediately upon completion of the pregnancy leave and without the Employee returning to work. After the parental leave began, it ends not later than thirty-five (35) weeks in the case of an employee choosing to use the standard parental leave as defined by the Federal Employment Insurance Act (EI), or not later than sixty-one (61) weeks in the case of an employee choosing to use the extended parental leave as defined by the Federal Employment Insurance Act (EI).
- (d) Where an Employee did not take pregnancy leave pursuant to Article 19.6.1, standard parental leave begins on such date as determined by the Employee

coinciding with or after the birth of the child or children first arriving in the Employee's home and ends not later than thirty-five (35) weeks after the parental leave begins or fifty-two (52) weeks after the child or children first arrive in the Employee's home, whichever is earlier. If an employee elects to use extended parental leave, this leave ends not later than sixty-one (61) weeks after the parental leave begins or seventy-eight (78) weeks after the child or children first arrive in the Employee's home, whichever is earlier.

- (e) When a parental leave has begun, and the child is hospitalized for at least one week, the Employee is entitled to resume work and to defer the unused portion of the Parental Leave until the child is discharged from hospital.
 - i) An Employee is entitled to only one interruption or deferral of a Parental Leave.
 - ii) An Employee who intends to use a deferral shall give the Employer as much notice as possible of the dates of resumption of employment and the Parental Leave.

19.6.7 Parental Leave with Supplemental Benefits

- (a) In accordance with the requirements set out in Article 19.6.7(c), an Employee who is eligible for Parental Leave under Article 19.6.6(a) and who has been employed by the Employer for at least eleven (11) months, shall be entitled to supplemental benefits as follows:
 - i) In the case of an Employee electing to use standard Parental Leave benefits of up to thirty-five (35) weeks as defined by the Federal Employment Insurance Act (EI), for the first nine (9) weeks of Parental Leave, the Employee shall receive an amount equal to the difference between EI benefits received and 95% of the Employee's nominal salary.
 - ii) In the case of an Employee electing to use extended Parental Leave benefits of up to sixty-one (61) weeks as defined by the Federal Employment Insurance Act (EI), for the first nine (9) weeks of Parental Leave, the Employee shall receive an amount equal to the difference between EI benefits received and 73% of the Employee's nominal salary.
- (b) In accordance with the requirements set out in Article 19.6.7(c), an Employee who is eligible for Parental Leave under Article 19.6.6(b) and who has been employed by the Employer for at least eleven (11) months and who has adopted a child(ren) five years of age or younger, shall be entitled to supplemental benefits as follows:
 - i) In the case of an Employee electing to use standard parental leave benefits of up to thirty-five (35) weeks as defined by the Federal Employment Insurance Act (EI), for fourteen (14) weeks of Parental Leave, the Employee shall receive an amount equal

to the difference between EI benefits received and 95% of the Employee's nominal salary.

- ii) In the case of an Employee electing to use extended parental leave benefits of up to sixty-one (61) weeks as defined by the Federal Employment Insurance Act (EI), for fourteen (14) weeks of Parental Leave, the Employee shall receive an amount equal to the difference between EI benefits received and 73% of the Employee's nominal salary.

- (c) To receive the supplementary employment benefit defined in 19.6.7 (a) or (b), the Employee shall supply the Employer with proof of application to Service Canada for EI Parental Leave benefits.
- (d) If an Employee who is eligible for supplemental Parental Leave benefits under Article 19.6.7(a) or (b) is disentitled or disqualified from receiving EI benefits or should EI cease to provide coverage for Parental Leave, the Employer will maintain the Employee at 95 percent of their nominal earnings for the period of their leave.

19.6.8 Notice Required to Take Parental Leave

- (a) An Employee shall give written notice to the Employer of their intention to take a Parental Leave at least four (4) weeks prior to the commencement of such leave. Where an Employee qualifies for such leave as a result of adoption and where the child comes into their custody, care and control earlier than expected, the Employee shall give reasonable written notice.
- (b) If an Employee on Parental Leave wishes to return to work earlier than their original return to work date, the Employee shall give written notice to the Employer of their intention to return to work at least four (4) weeks prior to the expected date of return.

19.6.9 General Considerations

- (a) Provisions of the Pregnancy Leave and/or Parental Leave for an Employee shall be in accordance with the Nova Scotia Labour Standards Code, R.S.N.S. 1989, c. 246, ss 59-60, and as further amended, unless increased leave or benefits are provided by this Collective Agreement.
- (b) All insurance coverage and benefits shall be maintained during the Pregnancy and Parental Leave periods. The Employer and Employee shall pay their respective portions to all insurance and benefit plans on the basis of the Employee's nominal salary throughout the leave period.
- (c) Upon return to work from a Pregnancy or Parental Leave, the Employee shall resume their former position, with no loss of salary level, benefits or rank, or in

seniority, vacation, sabbatical entitlements, or credit towards leave of absence in recognition of administrative service. The period of an Employee's leave shall be included in the calculation of their length of service for seniority purposes.

- (d) When a Pregnancy or Parental leave begins or ends within the Fall or Winter semesters, the Faculty Member may request alternative duties be assigned in lieu of any full-semester teaching assignments. These alternative duties may include research and service duties as well as teaching duties that do not include assignment to a full-semester course. These duties will be assigned by the Department Chairperson in consultation with the Dean.

19.7 LEAVE OF ABSENCE WITHOUT SALARY - FACULTY MEMBERS

19.7.10

- (a) Leave of absence without salary is not sabbatical leave and may be granted at any time by the Academic Vice-President on the recommendation of the appropriate Dean. The Faculty member shall make their request, in writing, specifying reasons and requested duration, to their Department Chairperson not later than December 1st of the academic year preceding that in which leave is requested to begin. The Department Chairperson shall forward the application, together with the Department's written recommendation and reasons to the Dean within fifteen (15) days of receipt of the application. The Department's recommendation shall not include any qualifications or conditions. The Dean shall consult with the Department prior to making a recommendation which is contrary to the Department's recommendation. The Dean shall forward the application together with the Department's recommendation and reasons for their recommendation to the Vice President, Academic & Research within fifteen (15) days of receiving it. The Vice President, Academic & Research shall give a written decision, including reasons, within thirty (30) days of receiving the application. These dates may be varied by mutual agreement. In the event the Vice President, Academic and Research's decision is negative, the Vice President, Academic and Research shall communicate written reasons for the decision to the applicant.
- (b) Leave of absence without salary may be sought for further studies, research, public service, or for other reasons which the faculty member shall specify and which the Academic Vice-President may accept. Except for the leaves provided in 19.5, or in exceptional circumstances such leave shall not exceed three (3) years. In all cases, the Faculty Members on leave without pay shall be required to indicate firmly to the University by a mutually agreed date, whether they intend to return to their positions at the University. Faculty Members who fail to provide such an indication will be deemed to have repudiated their positions.
- (c) An Employee on leave of absence without salary shall be entitled to participate in University benefit plans from time to time in force, subject to University policy and provided the plans so permit.

19.8 LEAVE OF ABSENCE WITHOUT SALARY - PROFESSIONAL LIBRARIANS

19.8.10

Leave of absence without salary may be granted by the University Librarian. The Professional Librarian shall make their request in writing, specifying reasons, to the University Librarian at least as far in advance as the period of leave requested, up to a maximum of six (6) months. This notification period may be varied by mutual agreement between the Employee and the University Librarian. The University Librarian shall reply in writing (stating the reason for the decision, if negative) as soon as possible but not later than thirty (30) days from the date they received the request. An employee on leave of absence without salary shall be entitled to continue their contributions to University benefit plans from time to time in force, provided the plans so permit. In all cases, Professional Librarians on leave without pay shall be required to indicate firmly to the University by a mutually agreed date, whether they intend to return to their positions at the University. Professional Librarians who fail to provide such an indication will be deemed to have repudiated their positions.

19.9 PAID JURY OR COURT LEAVE

19.9.10

The Employer shall grant leave of absence with pay to an Employee who serves as a juror or witness at any court.

19.10 GENERAL

- (a) When, at the request of the Employer, an Employee agrees to undertake retraining in a new field, the Employee shall be allowed leave for the required period of retraining during which time the Employee shall receive a salary and/or research grants, stipends, fellowships, etc. to the equivalent of no less than one hundred percent of the member's normal salary for the period of leave. An Employee who is granted leave under this article must undertake to return to the University for a period equivalent to the period of leave.
- (b) An Employee may request consideration by the Employer for retraining in a new field. The period of leave and conditions of leave shall be mutually agreed.
- (c) It is understood that when a Faculty Member is going on sabbatical or other long-term leave the Department Chairperson will explore the possibility of obtaining a qualified replacement through one of the existing exchange programs wherein a Visiting Professor's salary is paid, in part or in whole, by the sponsoring agencies.
- (d) Service credit with the Employer shall not be interrupted by the sick leave provided in 19.1, the compassionate and bereavement leave provided in 19.2, the paid leave of absence during election campaigns provided in 19.5.10 (a) and the parental leave provided in 19.6 hereof.

- (e) Employee working service credit shall be maintained during unpaid leave (19.7 or 19.8) provided that a written request is received in advance of the leave by the Vice-President Academic and Research justifying the reasons to continue service credits while on unpaid leave.

19.11 LEAVE OF ABSENCE IN RECOGNITION OF ADMINISTRATIVE SERVICE

Upon completion of five (5) years of uninterrupted service as a Department Chairperson, Director of a Division, Assistant Dean or Associate Dean, either in an acting or fulltime capacity, an Employee shall be eligible for a twelve (12) month leave of absence at 85% of their salary for the purpose of re-establishing their teaching and research responsibilities and/or pursuing other career development activities.

- (a) In cases where a Faculty Member has accumulated credit toward sabbatical leave (per Article 19.3) prior to beginning five (5) years of uninterrupted service as Department Chairperson, Director of a Division, Assistant Dean or Associate Dean, such accumulated credit shall be carried forward and applied to eligibility toward their next sabbatical leave.
- (b) Years of service applied toward leave of absence in recognition of administrative service shall not be applied toward the Faculty Member's eligibility for sabbatical leave.
- (c) Years of uninterrupted service as a Department Chairperson, Director of a Division, Assistant Dean or Associate Dean in excess of five (5) years shall be applied towards the Faculty Member's eligibility for their next sabbatical leave.
- (d) A Faculty Member who qualifies for a leave of absence in recognition of administrative service shall notify the Dean of their intention to take such a leave no later than twelve (12) months prior to the date of the commencement of the leave of absence. The Faculty Member shall include with such notice a statement of their proposed study, research or other planned activity during the period of the leave of absence.
- (e) A Faculty Member who has taken a leave of absence in recognition of administrative service shall be expected to return to the University for a period of twelve (12) months, except in the case of a Faculty Member who is granted the leave of absence in the year at the end of which the Faculty Member is due to retire. A Faculty Member who fails to return to the University for a period of time equal to their leave of absence shall be required to reimburse the university for the cost of salary and benefits paid by the University.
- (f) A Faculty Member returning from a leave of absence in recognition of administrative service shall submit to their Dean, and Academic Vice-President, no later than one month after completion of their leave, a detailed written report of activities during the leave of absence. The Employer may request more

information regarding the nature and scope of activities undertaken during the leave of absence.

- (g) Leave of absence in recognition of administrative service shall normally be taken immediately upon completion of five (5) years of uninterrupted service as a Department Chairperson, Director of a Division, Assistant Dean or Associate Dean. At the request of the Faculty Member and with permission of the Dean and the Academic Vice-President such leave may be deferred for no more than twelve (12) months.
- (h) The provisions of Article 19.11 shall be effective from the date of signing of this agreement and shall also apply to Faculty Members who are currently serving as Department Chairperson, Director of a Division, Assistant Dean or Associate Dean at the time that this agreement is signed.

ARTICLE 20.0 DISCIPLINE AND DISMISSAL

20.1.10

For the purposes of this Article, discipline shall include a formal letter of reprimand to an employee, suspension with or without pay, or dismissal. In the case of suspension without pay, an Employee shall not incur any loss of salary or benefits until the Arbitration Board renders its decision in the event that the disciplinary action is grieved.

20.1.20

For the purposes of this Article, dismissal means the termination of an appointment by the Employer, without the consent of the Employee, before the end of the appointment period. Non-renewal of a probationary or limited appointment or denial of tenure does not constitute dismissal. Subject to Article 23, termination of a nontenured appointment during the course of its term and termination of a tenured appointment at any time other than retirement constitutes dismissal.

If disciplinary procedures are in progress at the same time as the Employee is being considered for tenure or renewal, the Employer or the Employee may request that the tenure or renewal process be deferred until the disciplinary process has been concluded.

20.1.30

Any discipline, up to and including dismissal, imposed upon an Employee will be for just and proper cause. The Employer agrees that it bears the onus of proving that any disciplinary action taken was for just and proper cause should the discipline give rise to a grievance which is then processed to arbitration.

Disciplinary action shall be commensurate with the seriousness of the events giving rise to the discipline.

20.1.40

In the event that the Employer disciplines an Employee, the Employee shall be notified in writing of the disciplinary decision and the specific reasons therefore. Any action which is not confirmed in writing shall not form part of an Employee's disciplinary record.

20.2.10

The President shall initiate discipline procedures by notifying the Employee to meet with the President in the presence of the Vice-President, Academic and Research, the Employee's Dean/University Librarian, the Department Chairperson, and a Union Representative. A copy of the notice shall be sent to the Union President. Also, the President and the Employee may each have a representative present at this meeting. This meeting shall take place within twenty (20) days of the mailing to the Employee of the President's notification. The Employee shall be given at least seven (7) working days notice of the time and place of this meeting. The notification shall be by registered mail addressed to the Employee at the Employee's last known address. The notification shall state the specifics of the Employee's alleged infraction or the Employer's alleged source of dissatisfaction with the Employee. An attempt shall be made at the meeting to resolve the matter in a manner satisfactory to all concerned.

20.2.20

If no satisfactory solution is reached at the meeting provided for in 20.2.10 above, the President shall advise the Employee in writing of the disciplinary decision and the specific reasons therefore. This notice shall be sent within twenty (20) days of the final meeting provided for in 20.2.10 above by registered mail addressed to the Employee at the Employee's last known address.

20.2.30

If the Employee's whereabouts are unknown to the Employer and the Union or if the registered mail delivery is unsuccessful, the meeting contemplated in 20.2.10 above shall be dispensed with and the President may immediately give notice of discipline by registered mail addressed to the Employee at the Employee's last known address.

20.2.40

In cases where there is an immediate threat by the Employee to an individual(s) at the University or to University property, or an immediate or serious threat to the functioning of the University, the Employer retains the right to immediately

suspend an employee until the matter can be dealt with under Article 20.2.10. Any such suspension shall be with pay and benefits.

20.3.10

If the Employee wishes to contest the discipline, the Employee may, within seven (7) calendar days of receiving written notice of discipline (or, in the case of the Employee being notified by registered mail as provided for in 20.2.30 above, within fourteen (14) calendar days of the mailing of the discipline notice), give the Employer notice that the discipline is being grieved and referred to arbitration as set out in Article 22.6.

20.3.20

It is agreed that the Employer will not introduce into evidence at arbitration any notices of discipline of which the Employee was not aware at the time of the disciplinary action.

20.3.30

Until the Arbitration Board renders its decision, the Employee shall continue to receive their salary and other benefits but, at the Employer's discretion, may be suspended from the performance of some or all of their duties.

ARTICLE 21.0 ENTRY OR RE-ENTRY TO THE BARGAINING UNIT OF ACADEMIC ADMINISTRATORS AND RELATED PROVISIONS

21.1

- (a) All Academic Administrators may automatically enter or re-enter the bargaining unit upon completion of their terms of office as Academic Administrators. Time spent as an Academic Administrator shall be deemed equivalent service for all appropriate purposes of this Agreement except service required for sabbatical leaves under Article 19.3.20(c)v) hereof, (provided that Academic Administrators whose terms of office are three (3) years or less shall receive recognition of this service for sabbatical leave entitlement), provided that an Academic Administrator may be granted leave of absence on such terms as may be determined by the Employer at the completion of their term of office prior to actively taking up position in the bargaining unit. Service credits to which an Academic Administrator may be entitled prior to their being appointed an Academic Administrator shall not be interrupted or abrogated as a result of such

appointment. If at the time of the appointment the Academic Administrator is assigned to a Department, the Chairperson of that Department will be notified of the Employer's decisions regarding rank and tenure.

- (b) If an Academic Administrator should be granted leave of absence or a sabbatical leave for a one-year period following their term of office, entry or re-entry to the bargaining unit shall automatically take place at the conclusion of the leave. If the Administrator should request an extension of this leave, with or without pay, the application for this extension shall be made as a member of the bargaining unit and the leave will be governed by the terms and procedures of Articles 19.7 and 19.10(b).
- (c) When an Academic Administrator enters or re-enters the bargaining unit, if there is not a vacancy in the area or areas within the Department for which they are qualified, their entry or re-entry shall be considered to be a supernumerary position, i.e., an additional allocation for that Department until such time as a vacancy occurs in that Department in an area or areas for which they are qualified, at which time they shall fill such vacancy. During the time when there is no such vacancy aforesaid, the additional allocation shall not be at the expense of any other Department's allocation and shall not be considered a position for the purpose of establishing allotments under Article 10.1.20(a) hereof. No Faculty Member holding an appointment under Article 10.1.10(a) or (b) hereof shall be displaced from the bargaining unit by the entry or re-entry of an Academic Administrator into the bargaining unit.
- (d) The granting of tenure and/or academic rank by the University to any Faculty Member or Academic Administrator which prior to the signing of the 1976-77 Collective Agreement between the parties was determined by rules and/or procedures in effect at the time such tenure and/or academic rank was granted shall be deemed to have been granted in accordance with and to have the same effect as tenure and/or academic rank granted pursuant to the provisions of this Collective Agreement.
- (e) Where provisions of this Agreement apply to full-time Faculty Members this shall include application to full-time Faculty Members excluded from the bargaining unit by LRB 2056.
- (f) Any recommendations made to the Employer regarding appointment of Academic Administrators subsequent to the signing of this Agreement shall include the views and recommendations of the University Appointments Committee on the academic rank and/or tenure for each candidate submitted to the Employer. The Chairperson of the University Appointments Committee shall make these views and recommendations known to the Employer as soon as possible but in any event within fifteen (15) days of referral of the matter to the Committee. These views and recommendations shall be based solely on the academic merits of the candidates. The Academic Administrator shall be entitled to such academic rank and/or tenure as determined by the Employer at the time of their appointment as

an Academic Administrator should they enter or re-enter the bargaining unit pursuant to the provisions of Article 21.1(a) above.

ARTICLE 22.0 GRIEVANCE AND ARBITRATION

22.1 GENERAL

22.1.1

Union representatives acting for an aggrieved Employee or Employees shall not be hindered, coerced, restrained or interfered with in the performance of their duties as representatives.

22.1.2

The parties agree to make every reasonable effort to settle all claims, disputes and grievances in a prompt manner.

22.1.3

The Union shall have carriage of all grievances, except those initiated by the Employer. The employer shall deal only with the Union with respect to a grievance.

22.1.4

Where a member of the bargaining unit acts as a representative of a grievor, it is understood that their normal duties, including teaching and student advising, will continue.

22.2 DEFINITIONS

22.2.1

- (a) Grievance: A grievance is a claim, dispute or complaint involving the interpretation, application, non-application, alleged violation of this collective agreement.
- (b) Grievor: The grievor is the Union or the Employer whoever initiates a grievance on behalf of an individual or group of individuals or itself.

22.2.2 Types of Grievance

- (a) An individual grievance is a grievance initiated by the Union on behalf of an individual Employee;
- (b) A group grievance is a grievance initiated by the Union on behalf of a group of Employees similarly affected by the Employer's action;

- (c) A policy grievance is a grievance by the Union which may involve a matter of general policy or of general application of the Collective Agreement;
- (d) A Union grievance is a grievance which directly affects the Union.
- (e) An Employer grievance is a grievance initiated by the Employer.

22.3 TIME LIMITS

[For clarification in this article, working days means Monday to Friday, September 1 to August 31 inclusive, excluding statutory holidays.]

22.3.1

- (a) The Union shall file a grievance according to procedures outlined in 22.5.1 within twenty (20) working days after the occurrence of the incident giving rise to the grievance, or twenty (20) working days from the date it became aware of the events giving rise to the grievance, whichever is later.
- (b) Should the incident giving rise to the grievance have occurred outside the academic year (as defined in this collective agreement), the grievor shall have thirty (30) working days from the start of the next academic year in which to initiate the grievance, or thirty (30) working days from the date the Union knew of the events giving rise to the grievance, whichever is later.

22.3.2

- (a) In the event a party fails to reply in writing within the time limits prescribed in this article, the other party may submit the matter to the next step as if a negative reply or denial had been received on the last day for the forwarding of such reply.
- (b) The time limits specified in this article may be extended by mutual agreement by the parties. The amended time limits must be specified in writing.

22.4 TERMINATION OF EMPLOYMENT

22.4.1

In cases involving dismissal, failure to renew a probationary contract or denial of tenure, the Union shall have the right to take a dispute directly to arbitration.

22.4.2

In cases involving dismissal, failure to renew a probationary contract, denial of tenure or discipline, the burden of proof shall be on the Employer to establish its case. In a case of alleged discrimination the Union shall be required to present evidence first.

22.5 GRIEVANCE PROCEDURES

22.5.1

The Union shall lodge a written grievance with the Vice-President, Academic and Research by completing the grievance form attached as Schedule "B" to this Agreement.

22.5.2

No later than ten (10) working days following the receipt of the grievance, the Vice-President, Academic and Research shall meet with the Union representative and any Employee affected. The parties shall make every reasonable attempt to resolve the grievance.

22.5.3

If the grievance is resolved at this first stage, such settlement shall be reduced to writing and countersigned by the Union representative and the Vice-President, Academic and Research within five (5) working days of the meeting at which resolution was reached.

22.5.4

In the event that the Union representative and Vice-President, Academic and Research cannot resolve the grievance within twenty (20) working days of the meeting specified in 22.5.2, the Vice-President, Academic and Research shall forward in writing to the Union representative the reasons for denying the grievance.

22.5.5

If the first stage decision does not resolve the grievance, the Union may refer the matter to the President within five (5) working days of the date of receipt of decision or of the date such decision should have been given. The submission to the President shall be in writing and shall include a copy of the grievance and a copy of the first stage decision. Within ten (10) working days of receipt of the submission, the President shall call a meeting with the appropriate parties to discuss the grievance. The President shall, within five (5) working days after such meeting, give a decision in writing to the Union.

22.5.6

If the grievance is not resolved at the meeting(s) held under article 22.5, none of the settlement discussion can be brought forward as evidence in any subsequent arbitration.

22.5.7

In the event the Employer has a grievance which it wishes to process under this Article, the Vice-President, Academic and Research or the Acting Vice-President, Academic and Research, shall present the grievance in writing to the President or Secretary of the Union, or their respective delegates. If the matter is not resolved to the satisfaction of the Employer within twenty (20) working days of the Union's receiving the grievance, the Employer may submit the matter to arbitration pursuant to the provisions of Article 22.6 hereof.

22.6 ARBITRATION

22.6.1

The Union may, within ten (10) working days of receipt of the response specified in 22.5.5, give written notice of its intention to submit the matter in dispute to an arbitrator for final and binding arbitration.

22.6.2 Arbitration Board

- (a) There shall be an Arbitration Board composed of three (3) persons: a Nominee of each of the parties and a Chairperson to be chosen jointly by the two nominees. By mutual agreement, the Arbitration Board may be composed of one person.
- (b) The Members of an Arbitration Board hearing cases related to renewal promotion, tenure or dismissal shall be persons who hold or have held a full-time academic university appointment or university administrative appointment on the academic side.
- (c) At the time notice is given, the party shall indicate the name of its Nominee on the Board, and within seven (7) working days the other party shall reply, naming its Nominee. The two Nominees will then select a Chairperson for the Arbitration Board.
- (d) If the recipient of the notice fails to nominate an Arbitrator or if the two Nominees fail to agree on a Chairperson within seven (7) working days of their appointment, any required appointment shall be made by the Minister of Labour for the Province of Nova Scotia upon the request of either party.

22.7 POWERS OF THE ARBITRATION BOARD

22.7.1

The Arbitration Board shall have all the powers of an arbitrator as stated in the *Nova Scotia Trade Union Act*, as amended from time to time.

22.7.2

For the purposes of this article, grievances involving the non-renewal of a probationary contract or denial of tenure shall be treated as grievances involving dismissal.

22.7.3

The Arbitration Board shall not have the power to alter, add to, modify, or amend the Agreement in any respect whatsoever.

22.8 PROCEDURES

22.8.1

The Arbitration Board shall determine its own procedures but all parties will be given full opportunity to present evidence and to make any representations.

22.8.2

The Arbitration Board shall not be barred on the basis of a technical objection from hearing the substance of a grievance and rendering an award. For greater certainty, technical violations include, but are not limited to, irregularities occasioned by clerical, typographical or technical error in the written specification of the grievance.

22.8.3

In any arbitration concerning discipline and/or discharge, the parties agree that the following procedures shall apply:

- (a) The parties shall not present to the arbitration panel any evidence or information which was obtained from meetings which the parties had previously agreed, in writing, were to be held without prejudice;
- (b) If either party tenders, as evidence, an investigation report into the subject matter of the grievance, the other party may:
 - (i) Cross-examine the maker of the report; and
 - (ii) Cross-examine any other individuals referred to in such report;
- (c) In reference to (b)i) above, should the author of the report not be available for cross-examination, the report shall not be entered into evidence;
- (d) In reference to (b)ii) above, should any individual referred to in the report whose testimony is deemed relevant to the case not be available for cross-examination, their testimony shall be removed from the report prior to its being submitted.

22.9 DECISION

22.9.1

The decision of the majority shall be the decision of the Arbitration Board and, where there is no majority decision, the decision of the chairperson shall be the decision of the Board. The decision of the Arbitration Board shall be final, binding and enforceable on both parties.

22.10 EXPENSES

22.10.1

Each party shall bear the fees and expenses of its appointed Arbitrator and one-half (1/2) of the fees and expenses of the Chairperson of the Arbitration Board not paid by the Department of Labour.

ARTICLE 23.0 REDUCTIONS IN WORKFORCE

23.1 REDUCTIONS IN FACULTY

23.1.10

A major change in academic programs or course offerings resulting from significant changes in student enrollment or serious financial considerations, or the merger or amalgamation of Departments, Faculties, or Programs, or significant change due to the adoption of Technologically Mediated Credit Courses or Programs, where such change can be expected to result in an adverse effect on the employment security of Employees, shall require prior consultation between the Union and the Employer, and shall also require the approval of the Senate to the extent provided for in the Saint Mary's University Act, 1970. The Union and the Senate shall, in such circumstances, be given detailed financial information.

23.1.11

The following measures shall be considered jointly by the Employer and the Union before a decision is made to declare any faculty redundancy:

- (a) Moratorium on new appointments in the area affected and cognate areas;
- (b) Realignments and adjustments to the University budget;
- (c) Adjustments to academic programs and course offerings, provided such shall not have a major adverse effect on students;
- (d) Use of sabbatical or other leaves, and normal or early retirements, with the consent of the Employee concerned;

- (e) Transfer to other Departments or positions with the University with the consent of the Employee concerned and with the consent of the Department or administrative unit to which the Employee is to be transferred;
- (f) Consideration for re-training at the option of the Employer. All such re-training shall be with the Employee's consent. An Employee selected for re-training shall be allowed leave for up to two years and shall receive a salary and/or research grants, stipends, fellowships, etc., to the equivalent of 100% of the Employee's normal salary for such year(s) of leave. An Employee selected for re-training must undertake to return to employment at the University for a period equivalent to the period of re-training leave.

23.1.20 Lay-Off and Recall - Faculty Members

23.1.21

- (a) When a declaration of redundancy is made and no satisfactory provision can be made by the Employer for the continued employment of Faculty Members affected, redundant Faculty Members shall be laid off in the following order:
 - i) Part-time Faculty Members,
 - ii) Visiting or sessional Faculty Members,
 - iii) Faculty Members on limited term appointments,
 - iv) Other full-time Employees, based on academic priorities and
- (b) Notice of lay-off shall be given to Faculty members appointed under Article 10.1.10(a), (b) or (g) not less than fifteen (15) months prior to the termination date.
- (c) Any full-time Faculty Member laid off as a consequence of redundancy shall have the right to be recalled, within four (4) years of the end of the Academic Year in which the redundancy is declared, to the first available appointment for which the Faculty Member's qualifications make them competent: in such cases, Faculty Members on lay-off shall be recalled in the reverse order of their lay-off.
- (d) In the event of recall to their previous position or to a different position for which the Faculty Member is qualified, the Faculty Member shall receive all the entitlement of the previous position:
 - i) Recalled Faculty Members shall receive the same rank; years of service in rank; tenure or appointment status; sabbatical entitlement; and seniority which they held before being laid off.
 - ii) The recalled Faculty Member shall receive a salary that is not less than the minimum annual salary that was applicable to their place on the Salary Scales specified in Article 16.00 before being laid off. Appropriate credit for relevant equivalent service performed by the Faculty Member during the lay off period shall

be determined in accordance with Article 10.1.30. The recalled Faculty Member shall be advanced on the Salary Scales to reflect any increase in service credit.

23.1.22

A Faculty Member hired under Article 10.1.10(a), (b) or (g) who is declared redundant and leaves the employ of the University as a result of such redundancy shall receive one month's salary for each year of full-time service with the University up to a maximum of twelve (12) months' salary. The monthly salary shall be computed on the basis of the Faculty Member's salary during their final year of employment. Faculty Members leaving the employ of the University under this clause retain their right to be recalled under Article 23.1.21(c).

23.1.23

Seniority for Faculty Members shall mean the total amount of compensated service in the employ of the University. Seniority will be considered broken, and all rights hereunder forfeited, when the Faculty Member:

- (a) Voluntarily leaves the employ of the University;
- (b) Is discharged for cause;
- (c) Fails to return to work within forty-five (45) calendar days after receiving a recall notice;
- (d) Is laid off for a period in excess of forty-eight (48) months.

23.2 REDUCTIONS IN PROFESSIONAL LIBRARIANS

23.2.10

Where a major change in library programs or where the merger or amalgamation of departments, divisions, or services can be expected to result in an adverse effect on the employment security of Professional Librarians, prior consultation between the Union and Employer shall be required.

23.2.11

The following measures shall be considered jointly by the Employer and the Union before a decision is made to declare any Professional Librarian redundancy:

- (a) Moratorium on new appointments in the Library;
- (b) Realignments and adjustments to the University budget;
- (c) Adjustments to programs, services or hours of operation, in the Library, provided such shall not have a major adverse effect on students;

- (d) Use of professional development or other leaves, and retirement or early retirement for Professional Librarians with the consent of the Employee concerned;
- (e) Transfer to other Departments or positions with the University with the consent of the Employee concerned and with the consent of the Department or administrative unit to which the Employee is to be transferred;
- (f) Consideration for re-training at the option of the Employer. All such re-training shall be with the Employee's consent. An Employee selected for re-training shall be allowed leave for up to two years and shall receive a salary and/or research grants, stipends, fellowships, etc., to the equivalent of one hundred (100) percent of the Employee's normal salary for such year(s) of leave. An Employee selected for re-training must undertake to return to employment at the University for a period equivalent to the period of re-training leave.

23.4 LAY-OFF AND RECALL - PROFESSIONAL LIBRARIANS

23.4.10

Should it become necessary to lay off Professional Librarians, they will be laid off in the following order:

- (a) Part-time Professional Librarians;
- (b) Professional Librarians on term appointment;
- (c) Professional Librarians on probationary appointments;
- (d) Non-probationary Professional Librarians.

23.4.20

In the event of a lay-off, the affected Librarian(s) will receive notice or salary in lieu of notice calculated at a rate of one (1) month's notice or salary for every year of compensated service. In no case shall the notice or salary in lieu of notice be for less than three (3) months or for more than twenty-four (24) months.

23.4.30

Any full-time Professional Librarian laid off shall have the right to be recalled, within forty-eight (48) months of the end of the Academic Year in which the redundancy is declared, to the first available appointment for which the Librarian's qualifications make them competent: in such cases, Professional Librarians on lay-off shall be recalled in the reverse order of their lay-off.

23.4.40

In the event of recall to their previous position, there shall be no probationary period for that Employee, the Professional Librarian's salary shall not be less than that salary they were receiving at the time they were laid off unless there has been a general reduction in salary of the Bargaining Unit, and they shall receive the same rank, years of service in rank, appointment status, professional development leave entitlement and seniority earned up to the time they were laid off. Appropriate credit for relevant equivalent service performed by the Professional Librarian during the lay off period shall be given in a manner consistent with Article 10.2.50. The recalled Librarian shall be advanced on the salary scale to reflect any increase in service credit.

23.4.41

In cases of lay-off or recall, the skill, ability, experience, knowledge, and training to immediately perform all of the required functions of the work required shall be the primary and governing considerations, provided that where all of those qualifications are equal, seniority will govern.

23.4.50

The seniority of a Professional Librarian will be considered broken and all rights hereunder forfeited, if they:

- (a) Voluntarily quit their employ with the Employer;
- (b) Are discharged for cause;
- (c) Fail to return to work within forty-five (45) calendar days after recall notice is given to them personally or by registered mail to their last address on file with the Employer. It shall be a condition of possible future recall that all Professional Librarians keep the Employer informed of their current mailing address;
- (d) Are laid-off for a period in excess of forty-eight (48) months.

ARTICLE 24.0

AMALGAMATION, MERGER, AND PROGRAM SUSPENSION OR CLOSURE

24.1 AMALGAMATION AND MERGER PROTECTION – UNIVERSITY

The Employer shall not sell or transfer the whole of the assets of the University, or amalgamate or merge with any other body, during the term of the Agreement without prior consultation with the Union. In the event of the sale, transfer, amalgamation or merger of the University, the terms and conditions of the

Agreement shall remain in effect during the life of the Agreement. The Employer will use its best efforts to ensure that after the Agreement has expired:

- (a) All Employees will be employed by the new Employer and, to the extent possible, in the same Departments as they are employed with the Employer;
- (b) All Employees will receive the same rank with the new Employer and enjoy the same status and privileges, including tenure or seniority, which they enjoyed with the Employer;
- (c) So far as possible all rights accrued with the Employer related to sabbatical entitlement, tenure, seniority, vacation, sick leave and similar benefits will be preserved with the new Employer; and
- (d) Conditions of employment and salaries with the new Employer shall be at least on par with the conditions of employment and salaries enjoyed by the Employees with the Employer.

24.2 AMALGAMATION, MERGER, SUSPENSION OR CLOSURE - ACADEMIC PROGRAMS

24.2.1

The amalgamation, merger, suspension or closure of academic credit programs, for reasons other than financial exigency, requires notice to and prior consultation with: the Department or Departments in which the program is housed; the appropriate Faculty Executive; the appropriate Faculty Council; and the approval of Senate to the extent provided for in the Saint Mary's University Act, 1970.

24.2.2

For the purposes of Article 24.2, consultation shall mean that the Department, Faculty Executive, and Faculty Council shall be asked to submit their recommendations regarding the proposed amalgamation, merger, suspension or closure to Senate or a committee designated by Senate, and that each shall also have the right to meet with and discuss the amalgamation, merger, suspension or closure with Senate or a committee designated by Senate. Consultation also means that the affected Department, Faculty Executive or Faculty Council shall be given 30 days from the date of notice in which to make their recommendations and in which to meet with Senate or a committee designated by Senate prior to any Senate decision with respect to the amalgamation, merger, suspension or closure.

24.2.3

Any amalgamation, merger, suspension or closure of an academic credit program is subject to the provisions of Article 23.0.

24.3 AMALGAMATION, MERGER OR CLOSURE – LIBRARY

24.3.1

The amalgamation, merger, or closure of the Library, or one or more of the Library's operational areas, or changes that may result in layoff of Professional Librarians, for reasons other than financial exigency, requires notice to and prior consultation with the Library Council and the Senate.

24.3.2

For the purposes of Article 24.3, consultation shall mean that the Library Council and Senate shall be asked to submit their recommendations regarding the proposed amalgamation, merger, or closure to the Academic Vice-President, and that they shall also have the right to meet with and discuss the amalgamation, merger, or closure with the Academic Vice President. Consultation also means that the Library Council and Senate shall be given 30 days from the date of notice in which to make their recommendations and in which to meet with the Academic Vice President prior to any recommendation they would make to the President with respect to the amalgamation, merger, or closure.

24.3.3

Any amalgamation, merger, or closure of the Library, is subject to the provisions of Article 23.0.

ARTICLE 25.0 OFFICIAL FILES

25.1.10

Subject to the provisions of 25.1.30, all documents and materials maintained by the Employer and used, or to be used, in determining the employment status of, or in evaluating the professional performance of, an Employee shall be placed in an Official File. There shall be only one Official File for each Employee. The Official File shall contain an inventory of all of the material contained in the File. This file shall be kept in the office of the Academic Vice President. Copies of these documents and materials may be filed and used elsewhere as necessary for normal administrative purposes. Documents and materials contained in the Official File shall be clearly marked as confidential. Copies of any documents or materials, the originals of which are contained in an Official File, shall clearly be marked as copy and confidential.

25.1.20

An Employee, and with the Employee's written consent, an Employee's agent, shall have the right, during normal business hours, and upon reasonable notice, to examine the entire contents of their Official File. The examination may be

carried out in the presence of a person designated by the Academic Vice President. Employees, or their agents, shall not remove their Official File or parts thereof from the office. An Employee or their agent may obtain, upon written request, a copy of any of the contents of their Official File.

25.1.30

Employees have the right to have included in their Official Files, their written comments about the accuracy, relevance, meaning or completeness of the contents of their Files. Upon written request from an Employee or the Employee's agent, the Academic Vice President shall remove from the Employee's Official File information which has been shown to be false.

25.1.40

The Employer will not rely upon any anonymous complaints or criticisms when making decisions with respect to the Employee's employment status or in evaluating the Employee's professional performance. No anonymous material shall be contained in the Employee's Official File. This Article does not apply to procedures provided for in this Collective Agreement which allow for material to be provided to the Employee without attribution. Similarly, the results of any collective student opinion survey of the Employee's teaching which is produced under the auspices of Senate shall not be considered anonymous material.

25.1.50

The Employer agrees that only reports relating to an Employee's performance, conduct, or behaviour, which have been placed in an Employee's Official File may be used by the Employer in decisions affecting the Employee's employment status.

25.1.60

No report which is unfavourable to an Employee may be placed in the Employee's Official File or constitute a part of the Official File unless a copy of the report is sent to the Employee within twenty (20) days of such performance, behavior or conduct coming to the attention of the Employer or of the Employer's alleged source of dissatisfaction with the Employee.

25.1.70

Upon signing of this Collective Agreement, the Employer agrees to create an Official File for each Employee in keeping with the requirements stipulated in this Article.

25.1.80

Notwithstanding Article 25.1.20, an Arbitration Board shall have access to all Official Files, including confidential material, which they decide are relevant to the issue(s) under consideration.

An Arbitration Board shall have the right to exclude from consideration material from an Official File on the grounds that it is stale, i.e. more than five years old.

ARTICLE 26.0 AMENDMENT TO UNIVERSITY ACT

26.1

Any proposal by the University to amend the Saint Mary's University Act, 1970, shall require prior consultation with the Union.

ARTICLE 27.0 CORRESPONDENCE

27.1

All correspondence between the Employer and the Union, arising out of the Agreement or incidental thereto, shall pass to and from the President of the University and the President of the Union.

ARTICLE 28.0 COPIES OF THE AGREEMENT

28.1

The Employer shall make available a copy of the Agreement on the University website and will provide a PDF of the Agreement to each Employee via the Employee's preferred email address registered in Banner. In addition, the Employer will provide fifty (50) printed copies to the Union within thirty (30) days of the date of signing of the Agreement.

ARTICLE 29.0 TERM OF AGREEMENT

29.1 DURATION AND APPLICATION

The Agreement shall be binding and remain in effect from the 1st day of September, 2018 until and including the 31st day of August, 2021. All provisions of this Agreement shall, unless otherwise stated, be effective from the date of the signing of this Agreement except for the following: Monetary

adjustments provided in Article 16.0. This Agreement shall continue from year to year after the 31st day of August, 2021 unless either party gives the other party notice in writing at least thirty (30) days, but not more than one hundred and fifty (150) days prior to the 31st day of August in any year that it desires renegotiation of the Agreement.

29.2 NOTICE OF RENEGOTIATION

The notice of renegotiation shall stipulate as completely as possible the revisions requested or the articles to be renegotiated. Within twenty (20) days of receipt of such notice by one party, the other party shall enter into negotiation of a new Agreement.

29.3

The Agreement shall remain in force, including during any period of negotiation, until a new Agreement is ratified by both parties, or until a lockout or a strike is declared pursuant to the Trade Union Act of Nova Scotia.

SAINT MARY'S UNIVERSITY

SAINT MARY'S UNIVERSITY
FACULTY UNION

Chair of the Board

President of the Faculty Union

Witness as to the signing by
Saint Mary's University

Witness as to the signing by
Saint Mary's University Faculty Union

President of the University

Secretary to the Faculty Union

Ratified by the Board of Governors and the Saint Mary's University Faculty Union, March 5th, 2019.

MEMORANDUM OF UNDERSTANDING
APPOINTMENT OF ACADEMIC ADMINISTRATORS

1. In the application of Article 15.3.10, during the search process for Academic Administrators, Employees shall be provided the opportunity to have a meeting with the relevant Search Committee's top two or three preferred candidates (one preferred candidate for the President), in a manner to be determined by the Search Committee, but with at least five (5) days of notice. The preferred candidate's/candidates' curriculum/curricula vitae shall be made available to Employees at least five (5) days in advance of the meeting. Following the meeting(s) with the candidate(s), any Employee may provide attributed written comments on the candidate(s) to the relevant Search Committee via electronic mail within five (5) days of the relevant meeting.
2. This MOU is intended to be part of the Collective Agreement and shall expire in accordance with Article 29.3 of the Collective Agreement.

Schedule “A1” - Faculty Salary Scales

Salary Scale 1: September 1, 2018

	LECTURER	ASSISTANT PROFESSOR	ASSOCIATE PROFESSOR	FULL PROFESSOR
1	\$63,902	\$73,989	\$91,096	\$115,834
2	\$67,219	\$76,917	\$94,197	\$119,128
3	\$70,148	\$79,847	\$97,299	\$122,418
4		\$82,774	\$100,400	\$125,709
5		\$85,704	\$103,500	\$129,001
6		\$88,631	\$106,602	\$132,290
7		\$91,561	\$109,704	\$135,583
8		\$94,489	\$112,805	\$138,872
9			\$115,904	\$142,165
10			\$119,007	\$145,456
11			\$122,106	\$148,747
12			\$125,207	\$152,037
13				\$155,327

Salary Scale 2: September 1, 2019

	LECTURER	ASSISTANT PROFESSOR	ASSOCIATE PROFESSOR	FULL PROFESSOR
1	\$64,924	\$75,173	\$92,554	\$117,687
2	\$68,295	\$78,148	\$95,704	\$121,034
3	\$71,270	\$81,125	\$98,856	\$124,377
4		\$84,098	\$102,006	\$127,720
5		\$87,075	\$105,156	\$131,065
6		\$90,049	\$108,308	\$134,407
7		\$93,026	\$111,459	\$137,752
8		\$96,001	\$114,610	\$141,094
9			\$117,758	\$144,440
10			\$120,911	\$147,783
11			\$124,060	\$151,127
12			\$127,210	\$154,470
13				\$157,812

Salary Scale 3: September 1, 2020

	LECTURER	ASSISTANT PROFESSOR	ASSOCIATE PROFESSOR	FULL PROFESSOR
1	\$65,963	\$76,376	\$94,035	\$119,570
2	\$69,388	\$79,398	\$97,235	\$122,971
3	\$72,410	\$82,423	\$100,438	\$126,367
4		\$85,444	\$103,638	\$129,764
5		\$88,468	\$106,838	\$133,162
6		\$91,490	\$110,041	\$136,558
7		\$94,514	\$113,242	\$139,956
8		\$97,537	\$116,444	\$143,352
9			\$119,642	\$146,751
10			\$122,846	\$150,148
11			\$126,045	\$153,545
12			\$129,245	\$156,942
13				\$160,337

Schedule “A2” - Professional Librarian Salary Scales

Salary Scale 1: September 1, 2018

	LIBRARIAN I	LIBRARIAN II	LIBRARIAN III	LIBRARIAN IV
1	\$56,905	\$63,026	\$76,772	\$90,997
2	\$59,429	\$65,210	\$78,941	\$93,152
3	\$61,612	\$67,392	\$81,106	\$95,309
4		\$69,578	\$83,271	\$97,462
5		\$71,760	\$85,438	\$99,619
6			\$87,603	\$101,777
7			\$89,769	\$103,934
8			\$91,935	\$106,087
9			\$94,100	\$108,244
10				\$110,400
11				\$112,556
12				\$114,713
13				\$116,867

Salary Scale 2: September 1, 2019

	LIBRARIAN I	LIBRARIAN II	LIBRARIAN III	LIBRARIAN IV
1	\$57,901	\$64,129	\$78,116	\$92,589
2	\$60,469	\$66,351	\$80,322	\$94,782
3	\$62,690	\$68,571	\$82,525	\$96,977
4		\$70,796	\$84,728	\$99,168
5		\$73,016	\$86,933	\$101,362
6			\$89,136	\$103,558
7			\$91,340	\$105,753
8			\$93,544	\$107,944
9			\$95,747	\$110,138
10				\$112,332
11				\$114,526
12				\$116,720
13				\$118,912

Salary Scale 3: September 1, 2020

	LIBRARIAN I	LIBRARIAN II	LIBRARIAN III	LIBRARIAN IV
1	\$58,914	\$65,251	\$79,483	\$94,209
2	\$61,527	\$67,512	\$81,728	\$96,441
3	\$63,787	\$69,771	\$83,969	\$98,674
4		\$72,035	\$86,211	\$100,903
5		\$74,294	\$88,454	\$103,136
6			\$90,696	\$105,370
7			\$92,938	\$107,604
8			\$95,181	\$109,833
9			\$97,423	\$112,065
10				\$114,298
11				\$116,530
12				\$118,763
13				\$120,993

Schedule “A3” – Lecturer Stream Salary Scales

Salary Scale 1: September 1, 2018

	LECTURER (LS)	SENIOR LECTURER (LS)
1	\$65,937	\$80,309
2	\$69,254	\$83,059
3	\$72,183	\$85,822
4	\$74,989	\$88,594
5	\$77,761	\$91,372
6	\$80,536	\$94,149
7	\$83,309	\$96,923
8		\$99,697

Salary Scale 2: September 1, 2019

	LECTURER (LS)	SENIOR LECTURER (LS)
1	\$67,091	\$81,714
2	\$70,466	\$84,513
3	\$73,446	\$87,324
4	\$76,301	\$90,144
5	\$79,122	\$92,971
6	\$81,945	\$95,797
7	\$84,767	\$98,619
8		\$101,442

Salary Scale 3: September 1, 2020

	LECTURER (LS)	SENIOR LECTURER (LS)
1	\$68,265	\$83,144
2	\$71,699	\$85,992
3	\$74,731	\$88,852
4	\$77,636	\$91,722
5	\$80,507	\$94,598
6	\$83,379	\$97,473
7	\$86,250	\$100,345
8		\$103,217

Schedule "B" - Grievance Form

GRIEVOR'S NAME: _____

DEPARTMENT: _____ Phone Number: _____

HOME ADDRESS: _____ Phone Number: _____

1. Nature of Grievance:

2. Section(s) of Collective Agreement Involved:

3. Facts of the Case: (Attach separate page, if necessary)

4. Remedy Sought:

Signature of Grievor:

Date:

Schedule “C” - Reduced Duties Status – Phased Retirement and Reduced Duties Status – Special Circumstances

Applications and Conditions

Reduced Duties Status (RDS) is an arrangement in which an Employee, at their request, and subject to the Employer’s approval, carries a reduction in duties for a specified period of time.

RDS shall normally constitute the same proportional reduction in each of the components of the Employee's duties as specified in Article 8.4 or 15.0 as appropriate, except where the Employee and the University agree to a variation. It may constitute a reduction throughout the academic year or a release from duties for a portion of the academic year or any combination thereof. It shall normally not involve a reduction of more than two-thirds of normal duties or a release from all duties for more than two-thirds of the academic year. The Reduced Duties Status shall normally be available only to Employees who are (a) at least 62 years of age and applying for Phased Retirement, or (b) applying for Reduced Duties Status where special circumstances exist.

Except for employees on RDS under (b) above, once RDS begins it is irrevocable and it shall continue for three (3) consecutive years after which the Employee shall retire on August 31st of the third year. The RDS will end should the Employee leave the employ of the University before the expiry of the three year period. Employees on RDS under (b) above shall remain on RDS for the period of time that has been mutually agreed upon by them and the Employer, but in no event to exceed eighteen (18) months. RDS shall normally begin on any July 1 or January 1. Applications for this leave under (a) must be received twelve (12) months prior to the starting date. Application for RDS under (b) shall take place as circumstances arise and end on any June 30 or December 31.

An Employee initiates the application for RDS in writing to the Vice-President, Academic and Research by requesting a change from full-time to RDS. A copy of the application will be sent by the Vice-President, Academic and Research, to the Union and to the Employee’s Dean or University Librarian, as appropriate, upon receipt. The application for RDS shall be dealt with within 30 days of application.

The application should include proposals for:

- (a) the period of time for the RDS;
- (b) a detailed plan of the nature of the reduction; and
- (c) any other conditions the applicant deems relevant.

The Employee granted RDS status under (a) shall be replaced by a faculty member holding an appointment under Article 10.1.10(a) or 10.1.10(b) or 10.1.10 (g), in accordance with 10.1.26 2., where the Employee holds a Lecturer Stream appointment, or by a Professional

Librarian holding an appointment under Article 10.2.60. Employees shall not normally be denied RDS unless the cost of the replacement exceeds the cost savings of the RDS and/or the granting of the RDS would result in the operational requirements of the unit not being met.

The Employer shall negotiate the terms of RDS with an Employee; the Employee is entitled to have a representative of the Union attend the negotiating sessions.

No RDS arrangement shall take effect unless and until the Employee and the Employer agree in writing to all the terms and conditions of the RDS. When a RDS arrangement is negotiated, the duration of the agreement must be specified. When the agreement has been signed, the University shall send a copy of the agreement to the Union.

Rights of Employees with Reduced Duties Status

An Employee with RDS has all the rights under this Agreement of an Employee who is not on RDS, except as specifically excluded in the Reduced Duties Agreement. RDS shall not change the Member's rights to security of employment. Time spent on RDS shall be counted as the proportion of the reduced duties as a period of service for Sabbatical Leave or Research or Professional Development Leave credit.

Before an Employee moves to RDS, the Employee's academic unit shall submit its recommendation on the RDS application to the Dean or University Librarian, as appropriate. The Academic unit shall include, along with its recommendation, its plan for covering the duties that will be reduced. The Dean or University Librarian shall include the Department's recommendation with their recommendation to the Vice-President, Academic and Research who shall take the said recommendations into account before making a decision. The AVP's decision shall be communicated in writing with reasons to the Employee and the Department.

Salary and Benefits

During a RDS arrangement, the Employee's Reference Salary; i.e. the salary the Employee would normally receive without any reduction of duties, shall be subject to all salary adjustments which would be applicable to that Employee's salary had the Employee not received any reduction in duties. During the negotiated period of service under the RDS, the actual salary received by the Member shall be in proportion to the reduced duties based on the Reference Salary.

An Employee granted RDS under (a) is entitled to full benefits, as applicable, related to their reference salary. Participation in benefit plans shall be on the same basis, i.e. mandatory or voluntary, as that for employees without reduced duties. The Employer shall continue to pay its portion of the premiums for the Employee's benefits. Pension contributions will be based on the reduced salary and the Employer shall make its contributions on the same basis in accordance with the Income Tax Act. The Employee's contributions and eligibility to health and dental, life insurance, LTD, and EAP plans shall be according to premiums established by the SMUFU Health and Benefit Trust. Union dues shall be based on the Employee's actual salary.

An Employee granted RDS under (b) will be eligible for all benefits available to Employees without RDS. Participation in benefit plans shall be on the same basis, i.e. mandatory or voluntary, as that for employees without reduced duties. Benefit premiums, pension contributions, and Union dues will be based on the reduced salary. The Employee's contributions and eligibility to health and dental, life insurance, LTD, and EAP plans shall be according to premiums established by the SMUFU Health and Benefit Trust.

While on RDS under (a) or (b), the Employee's Professional Development Expense Reimbursements under Article 16.6 shall be prorated on the basis of the Employee's reduced salary. There shall be no reduction in the allocation of travel funds to the Employee.

The parties, the University and the Union, acknowledge that nothing in Schedule C constitutes discrimination on the basis of age.

Schedule D – Faculty/Librarian Early Retirement Incentive Plan (ERIP)

- The Early Retirement Date (ERD) will be June 30 of the year in which the ERIP contract is signed by the University and the employee, as indicated below
- Eligibility requirements at ERD
 - open to Faculty members/Professional Librarians at Saint Mary's University
 - length of service with SMU in the bargaining unit → minimum 20 years
 - age → minimum 55 years of age
 - For the purposes of this Schedule, Normal Retirement Date (NRD) means the thirty-first (31st) day of August coincident with or next following the date on which the Employee attains age sixty-five (65).
- Maximum term for early retirement period – from ERD until August 31 after 65th birthday
- Calculation of the retirement incentive

Salary at the ERD

Less estimated replacement salary (assume Assistant Professor Level 4 or Librarian II Step 2)

Equals Annual saving

Annual saving is multiplied by a recovery factor ranging from 90% to 80% (depends on years to Normal Retirement Date (NRD) → 1 - 3 years 90%, 4 years 85%, 5 - 10 years 80%)

Annual saving x recovery factor = Net annual saving

ERIP = Net annual saving x years from ERD to NRD (maximum allowable is \$270,000)

- The retirement incentive is payable through a series of bridging payments from the bi-weekly payroll system, beginning at the ERD and ending at the NRD
- Application deadline is April 1 of any given year, with ERIP contract signing April 1 of the following year (to provide sufficient notice), and early retirement beginning three months later on June 30 (contract signing and ERD have to fall in same fiscal year to avoid an accrual requirement with no related salary savings)
- For one year following the ERD, the method and timing of the replacement shall be at the discretion of the University

Other benefits available until normal retirement date

- Tuition waiver benefits per the Faculty Collective Agreement
- SMU Fit benefit – 50% discount for faculty, 25% discount for spouse
- Library benefits per the Faculty Collective Agreement
- Maintain eligibility for research grants and travel expenses to the extent authorized by the Dean or other agencies

Other conditions of the retirement incentive program

- Employees who receive the ERIP shall not be eligible to participate in the Saint Mary's University's pension plan as of the retirement date. Employees who receive the ERIP shall not be eligible to participate in the Saint Mary's University's group life program, extended health care program, employee assistance program, and long term disability insurance program. Participation and eligibility in the group life program, extended health care program, employee assistance program, and the long-term disability insurance program is at the discretion of the SMUFU Health and Wellness Benefit Trust.
- The program is entirely voluntary for both parties, the University and the Employee, and is subject to budgetary constraints
- Employee must, in writing, request access to the retirement incentive program. The request must be made to the Vice-President, Academic and Research at least one year in advance of the ERD.
- Employee will be required to sign an agreement to voluntarily accept early retirement and waive right to claim or action
- Employee will no longer be a member of the SMU pension plan at the ERD
- Employee will cease to be a full-time Faculty member/Professional Librarian at SMU at the ERD
- The Collective Agreement requires that the University provide to the Faculty Union a copy of the ERIP agreement 10 days prior to signing

In the event of the employee's death during the early retirement period, the bridging payments will continue to be paid to the estate of the employee until the NRD.

The parties, the University and the Union, acknowledge that nothing in Schedule D constitutes discrimination on the basis of age.

Schedule E – Faculty/Librarian Retirement Incentive

1.

(a) Employees with at least 20 years service in the bargaining unit at Saint Mary's University who retire on August 31 following:

- their 65th, or
- their 66th, or
- their 67th

birthday shall be eligible to receive a Retirement Incentive based on their final year's salary. Employees retiring on the August 31st that falls within the 12 months following their 65th birthday shall receive 2.5% of their final year's salary for each year of service up to a maximum payment of 70% of their final year's salary. Employees retiring on the August 31st that falls within the 12 months following their 66th birthday shall receive 2.5% of their final year's salary for each year of service up to a maximum payment of 60% of their final year's salary. Employees retiring on the August 31st that falls within the 12 months following their 67th birthday shall receive 2.5% of their final year's salary for each year of service up to a maximum payment of 50% of their final year's salary. In no case shall the Retirement Incentive be less than 50% of their final year's salary.

(b) In respect to (a) above, Employees who wish to take advantage of the Retirement Incentive and who are returning from:

- i. a sabbatical must first fulfil the requirements of Article 19.3.20 (d) i)1 in regard to returning to the University for a period of time equal to their sabbatical leave.
- ii. a Leave of Absence in Recognition of Administrative Service must first fulfil the requirements of Article 19.11 (e) in regard to returning to the University for a period of twelve (12) months.

(c) Employees on a RDS in accordance with Schedule C shall not receive the Retirement Incentive specified in 1(a).

2. Employees wishing to receive the Retirement Incentive must give the Employer notice of their intent to retire in writing according to Article 10.1.44 that they intend to retire on August 31 following their 65th, their 66th, or their 67th birthday.

3. At the Employee's option, the payment, or portions thereof, shall be paid as a lump sum or directed to an RRSP account pending written evidence of available contribution room to the RRSP provided by the Employee. The payment(s) shall be payable in full on retirement.

4. Employees who receive the Retirement Incentive shall not be eligible to participate in the Saint Mary's University's pension plan as of the retirement date. Employees who receive the Retirement Incentive shall not be eligible to participate in the Saint Mary's University's group life program, extended health care program, employee assistance program, and long term disability insurance program. Participation and eligibility in the group life program, extended health care program, employee assistance program, and the long-term disability insurance program is at the discretion of the SMUFU Health and Wellness Benefit Trust.
5. Employees will be required to sign an agreement on April 1st to voluntarily accept the Retirement Incentive, waive right to claim or action, and terminate their employment effective as of the retirement date.
6. For one year following the Employee's retirement date, the method and timing of their replacement shall be at the discretion of the University.
7. Notwithstanding #6 above, if in any given year more than one full-time Tenure Stream faculty member within a department chooses to retire under Schedule E, the University shall authorize at least one appointment under Articles 10.1.10(a) or (b) in the following year.
8. Notwithstanding #6 above, if in any given year more than one full-time Librarian chooses to retire under Schedule E, the University shall authorize at least one appointment under Article 10.2 in the following year.
9. The parties, the University and the Union, acknowledge that nothing in Schedule E constitutes discrimination on the basis of age.

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